

# A Simple Unified Framework for Detecting Out-of-Distribution Samples and Adversarial Attacks

Kimin Lee<sup>1</sup>

Kibok Lee<sup>2</sup>

Honglak Lee<sup>3,2</sup> Jinwoo Shin<sup>1,4</sup>

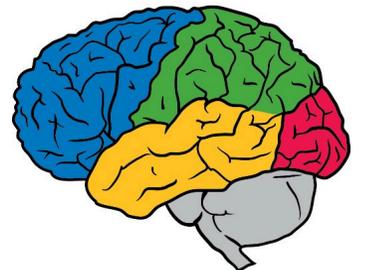
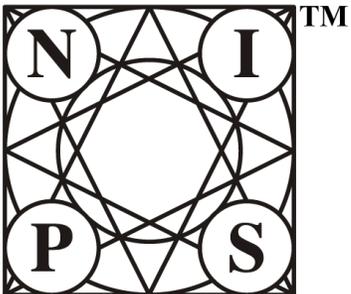
<sup>1</sup> Korea Advanced Institute of Science and Technology (KAIST)

<sup>2</sup> University of Michigan

<sup>3</sup> Google Brain

<sup>4</sup> Altrics

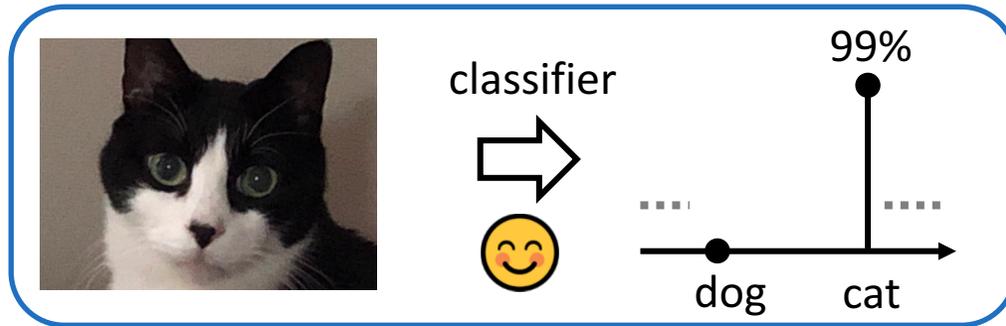
NeurIPS 2018 Montréal



# Motivation: Detecting Abnormal Samples

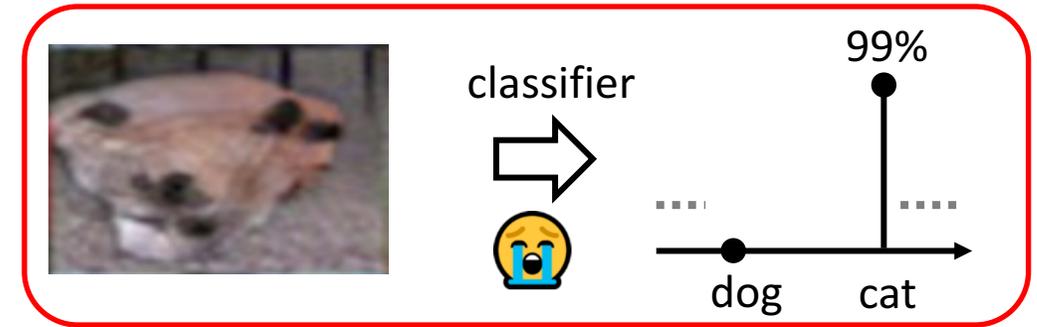
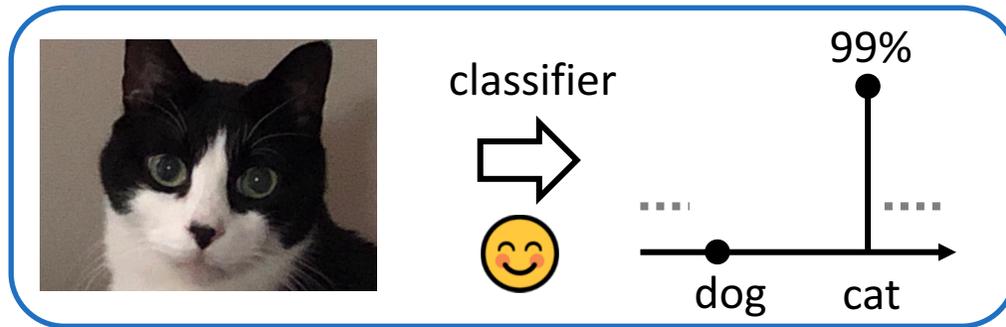
- A classifier can provide a meaningful answer only if a test sample is reasonably similar to the training samples

- E.g., training data = animal



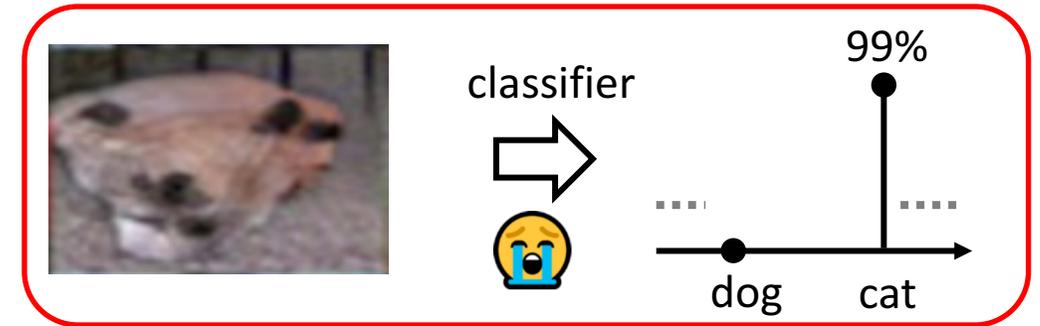
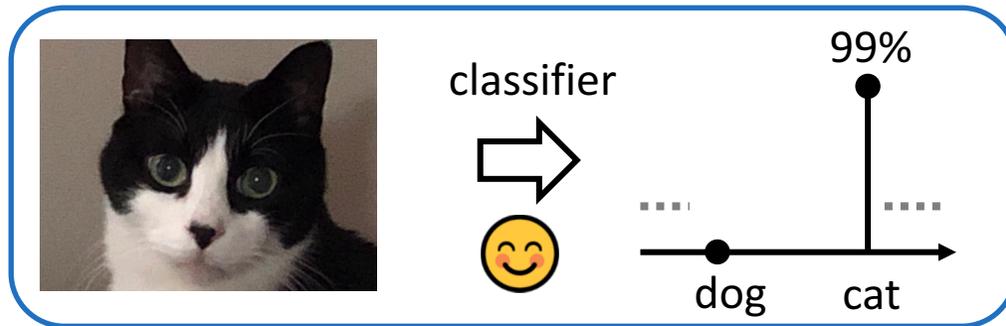
# Motivation: Detecting Abnormal Samples

- A classifier can provide a meaningful answer only if a test sample is reasonably similar to the training samples
  - However, it sees many **unknown/unseen test samples** in practice
  - E.g., training data = animal

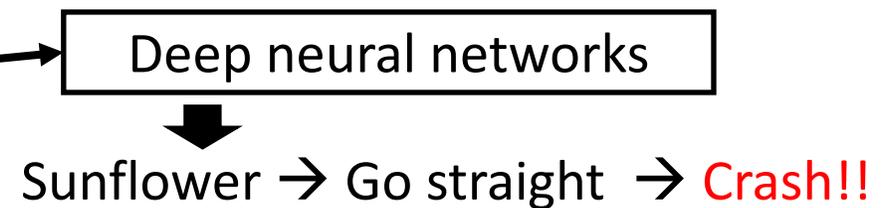


# Motivation: Detecting Abnormal Samples

- A classifier can provide a meaningful answer only if a test sample is reasonably similar to the training samples
  - However, it sees many **unknown/unseen test samples** in practice
  - E.g., training data = animal

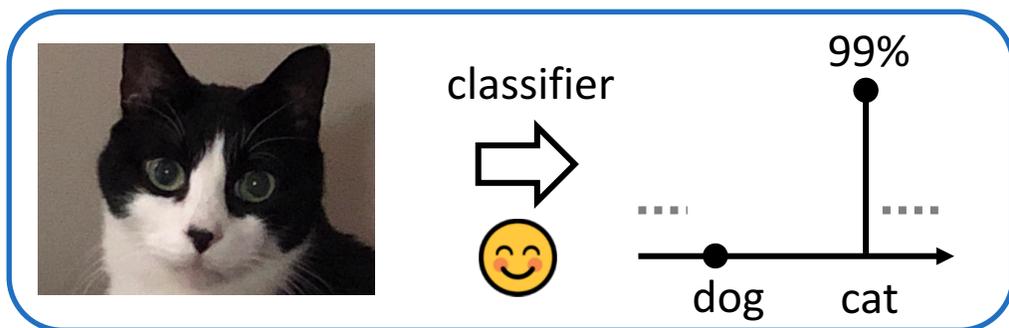


- It raises a critical concern when deploying the classifier **in real-world systems**
  - E.g., Rarely-seen items can cause the self-driving car accident

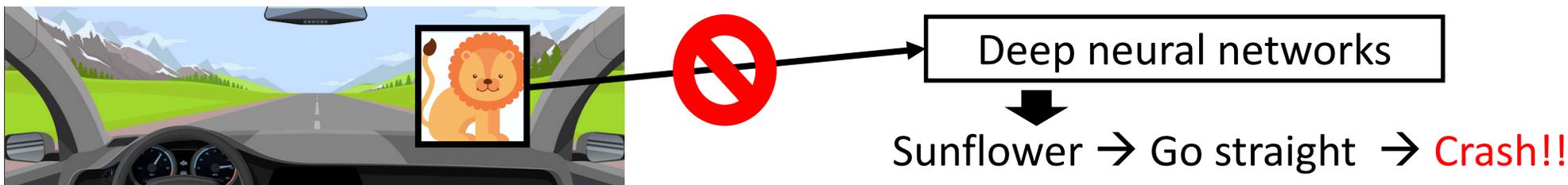


# Motivation: Detecting Abnormal Samples

- A classifier can provide a meaningful answer only if a test sample is reasonably similar to the training samples
  - However, it sees many **unknown/unseen test samples** in practice
  - E.g., training data = animal



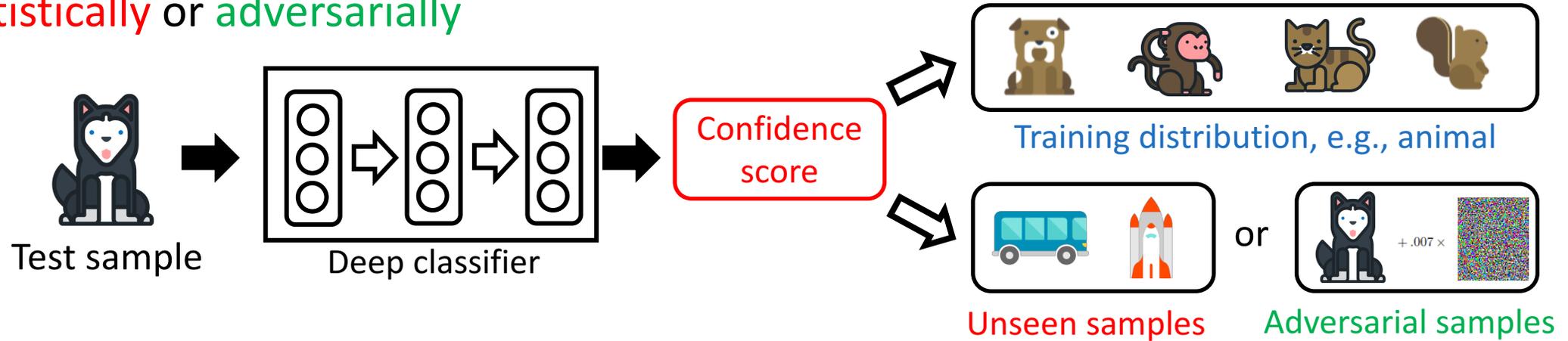
- It raises a critical concern when deploying the classifier **in real-world systems**
  - E.g., Rarely-seen items can cause the self-driving car accident



- Our goal is to design the classifier to say **“I don’t know”**

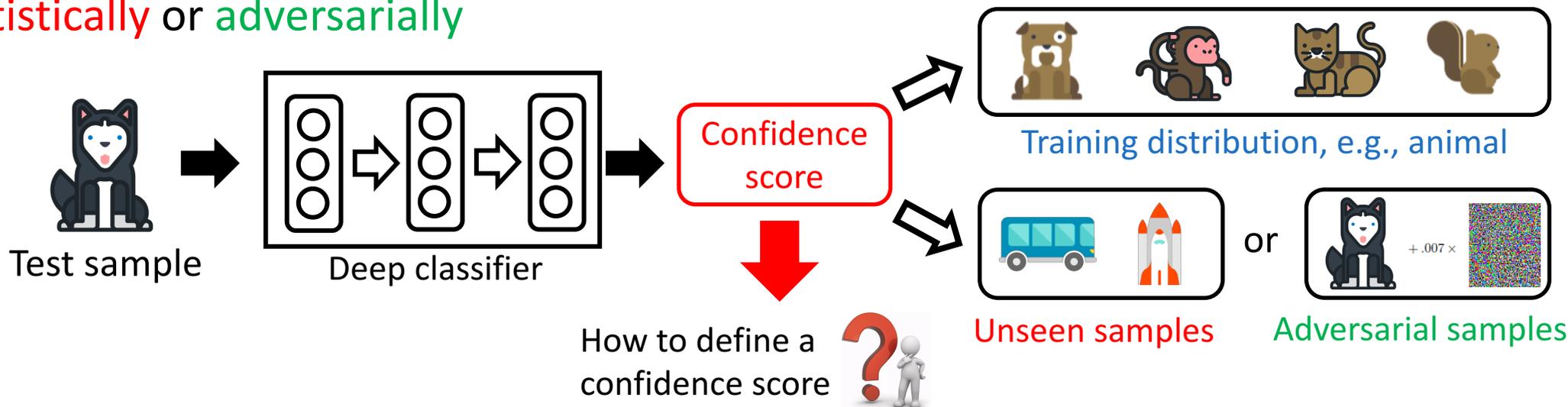
# Motivation: Detecting Abnormal Samples

- Detecting test samples drawn sufficiently far away from the **training distribution** **statistically** or **adversarially**



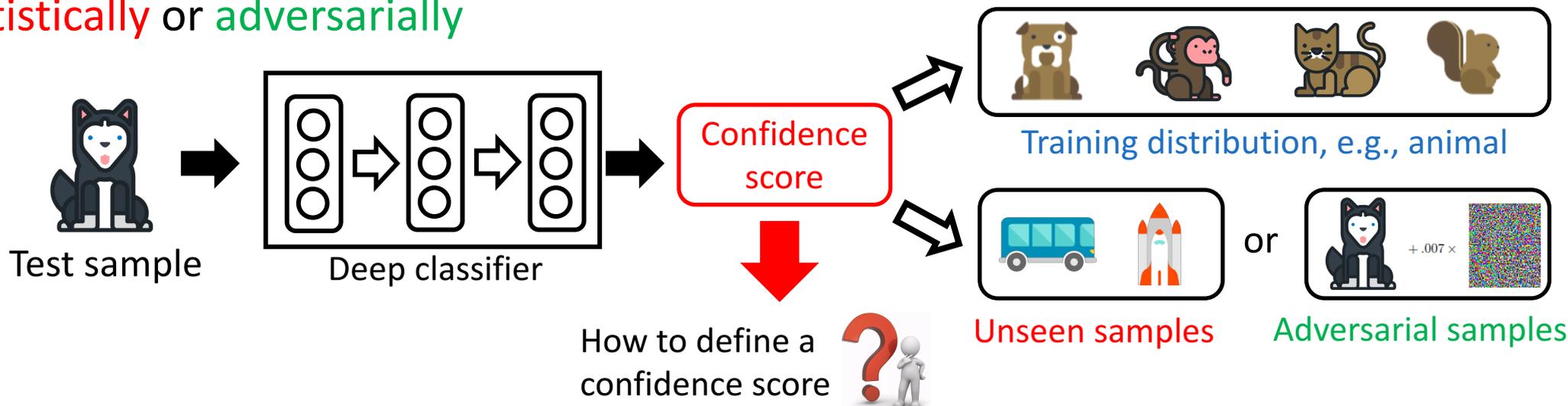
# Motivation: Detecting Abnormal Samples

- Detecting test samples drawn sufficiently far away from the **training distribution** **statistically** or **adversarially**

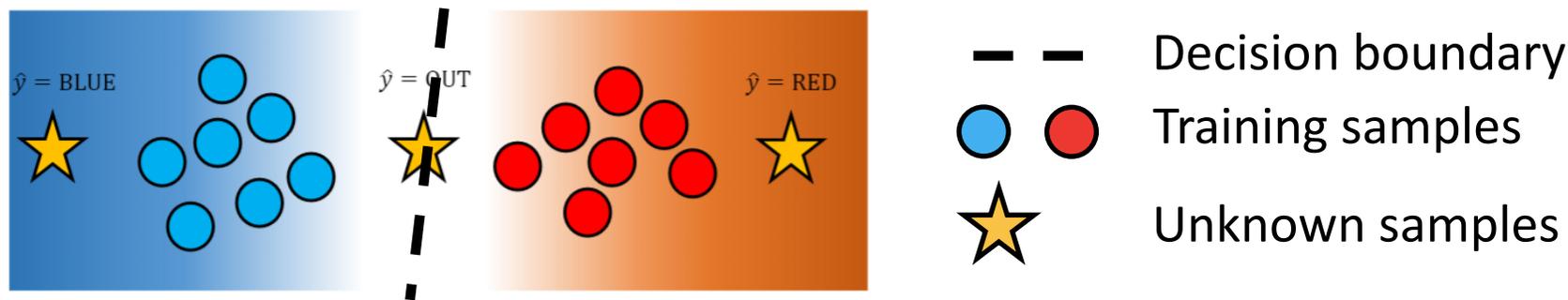


# Motivation: Detecting Abnormal Samples

- Detecting test samples drawn sufficiently far away from the **training distribution** **statistically** or **adversarially**



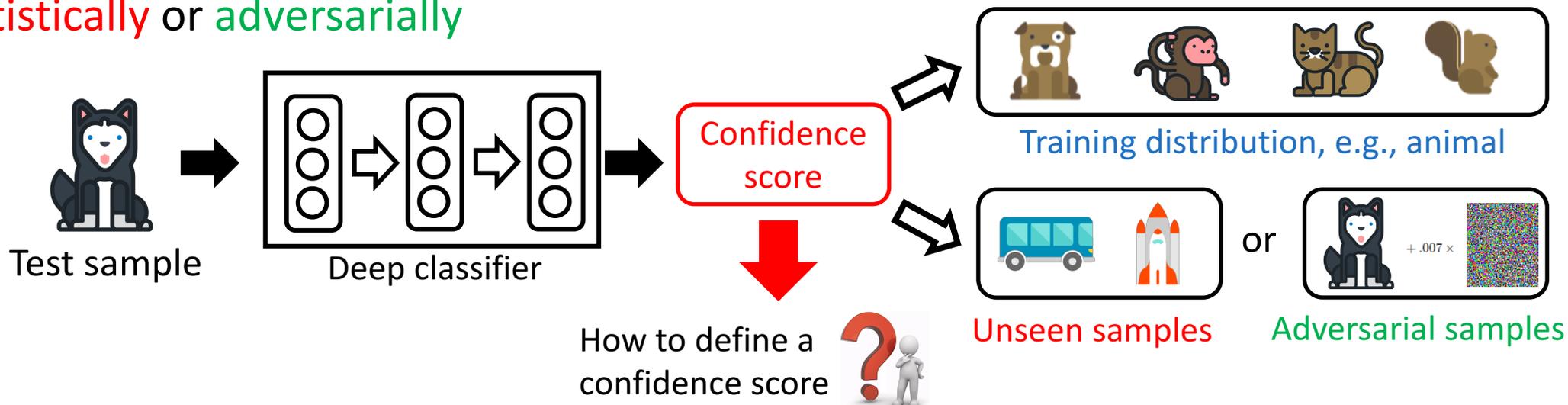
- One can consider a **posterior distribution, i.e.,  $P(y|x)$** , from a classifier



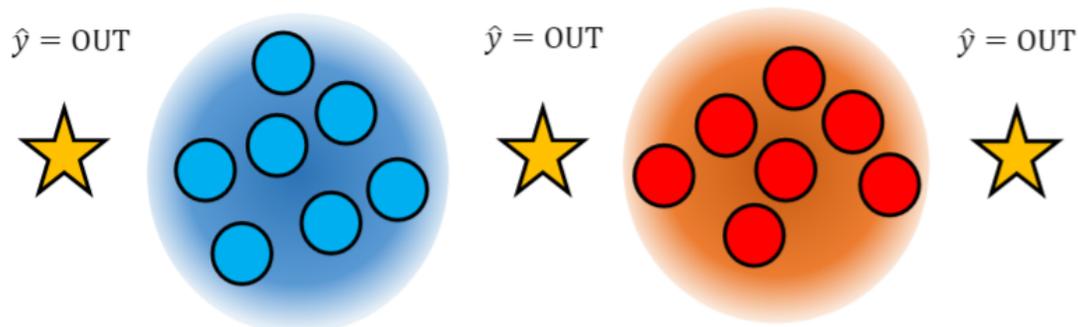
- However, it is well known that the posterior distribution can be easily **overconfident** even for such abnormal samples [Balaji '17]

# Motivation: Detecting Abnormal Samples

- Detecting test samples drawn sufficiently far away from the **training distribution** **statistically** or **adversarially**



- One can consider a **posterior distribution, i.e.,  $P(y|x)$** , from a classifier

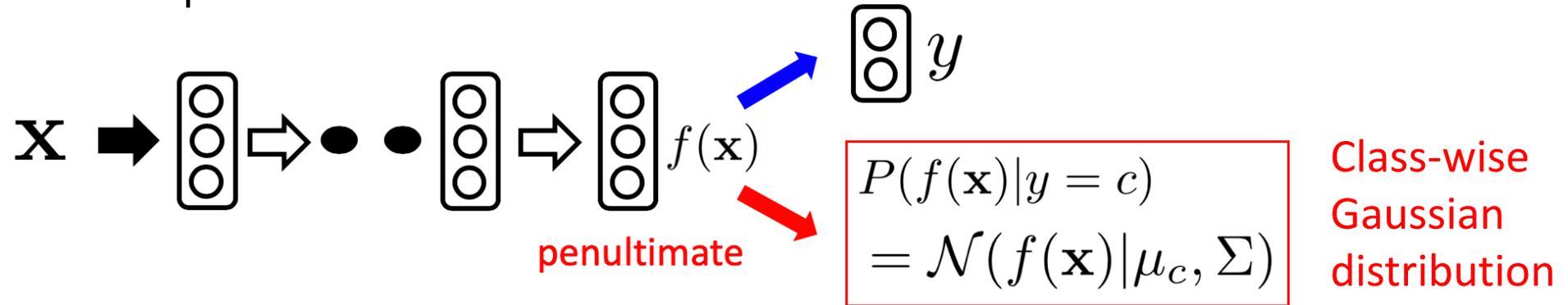


- For the issue, we consider to model the **data distribution, i.e.,  $P(x|y)$**

# Mahalanobis Distance-based Confidence Score

- **Main idea: Post-processing a generative classifier**

- Given a pre-trained **softmax classifier**, we post-process a simple **generative classifier** on hidden feature spaces:



- **How to estimate the parameters?**

- Empirical class mean and covariance matrix

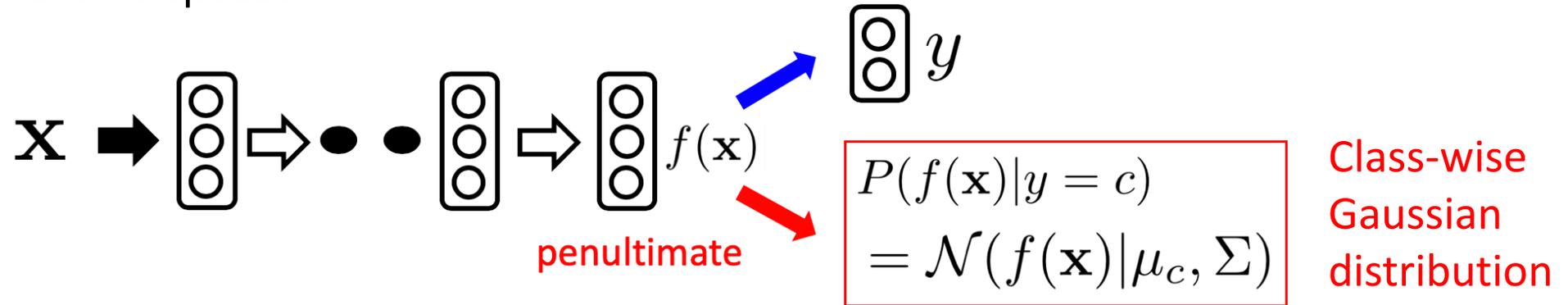
$$\hat{\mu}_c = \frac{1}{N_c} \sum_{i:y_i=c} f(\mathbf{x}_i), \quad \hat{\Sigma} = \frac{1}{N} \sum_c \sum_{i:y_i=c} (f(\mathbf{x}_i) - \hat{\mu}_c)(f(\mathbf{x}_i) - \hat{\mu}_c)^\top$$

- Using training data  $\{(\mathbf{x}_1, y_1), \dots, (\mathbf{x}_N, y_N)\}$

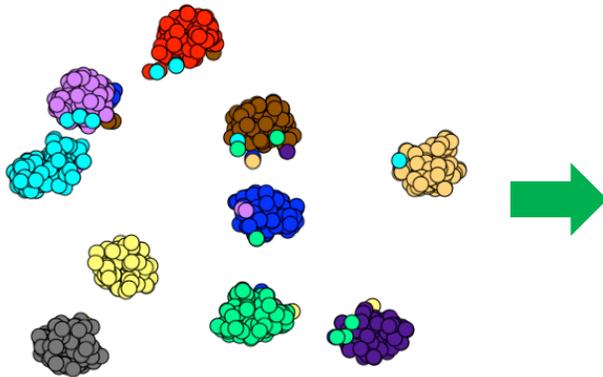
# Mahalanobis Distance-based Confidence Score

- **Main idea: Post-processing a generative classifier**

- Given a pre-trained **softmax classifier**, we post-process a simple **generative classifier** on hidden feature spaces:



- **Why Gaussian?** the posterior distribution of the **generative classifier (with a tied covariance)** is equivalent to the **softmax classifier**



[T-SNE of penultimate features]

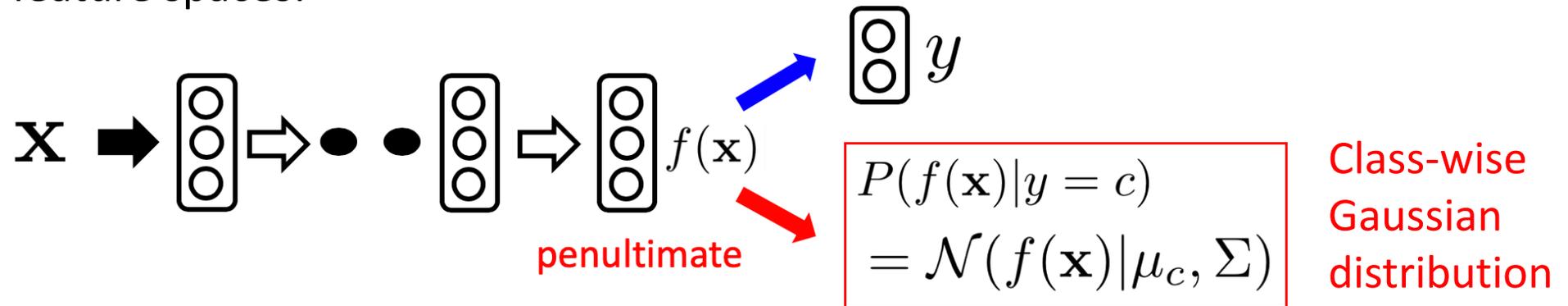
- **Empirical observation**

- ResNet-34 trained on CIFAR-10
- **Hidden features** follow class-conditional **unimodal distributions**

# Mahalanobis Distance-based Confidence Score

- **Main idea: Post-processing a generative classifier**

- Given a pre-trained **softmax classifier**, we post-process a simple **generative classifier** on hidden feature spaces:



- **Why Gaussian?** the posterior distribution of the **generative classifier (with a tied covariance)** is equivalent to the **softmax classifier**
- **Our main contribution: New confidence score**
  - **Mahalanobis distance** between a test sample and a **closest class Gaussian**

$$\begin{aligned} M(\mathbf{x}) &= \max_c \log P(f(\mathbf{x})|y=c) \\ &= \max_c - (f(\mathbf{x}) - \hat{\mu}_c)^\top \hat{\Sigma} (f(\mathbf{x}) - \hat{\mu}_c) \end{aligned}$$

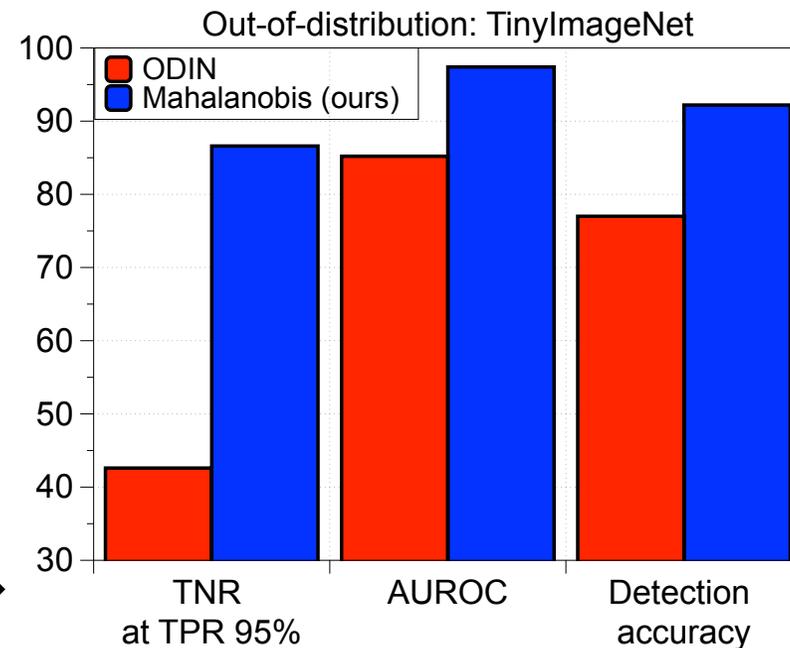
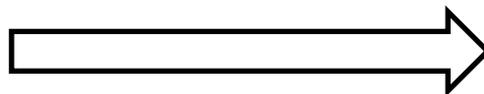
# Experimental Results

- Application to detecting out-of-distribution samples

- **State-of-the-art baseline: ODIN** [Liang' 18]
  - **Maximum value of a posterior** distribution after post-processing

- DenseNet-110 [Huang '17] trained on the CIFAR-100 dataset

- **Our method** outperforms the ODIN

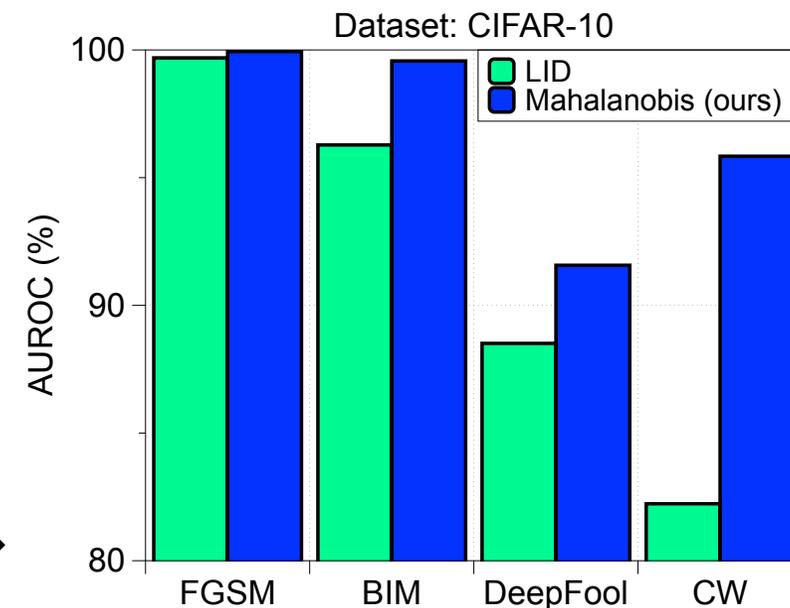
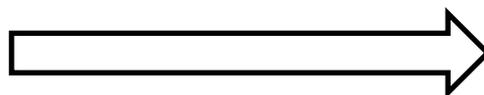


- Application to detecting the adversarial samples

- **State-of-the-art baseline: LID** [Ma' 18]
  - **KNN based confidence score**: Local Intrinsic Dimensionality

- ResNet-34 [He' 16] trained on the CIFAR-10 dataset

- **Our method** outperforms the LID



# Conclusion

- Deep generative classifiers have been largely dismissed recently
  - Deep discriminative classifiers (e.g., softmax classifier) typically outperform them for fully-supervised classification settings

# Conclusion

- Deep generative classifiers have been largely dismissed recently
  - Deep discriminative classifiers (e.g., softmax classifier) typically outperform them for fully-supervised classification settings
- **We found that the (post-processed) deep generative classifier can outperform the softmax classifier across multiple tasks:**
  - Detecting out-of-distribution samples
  - Detecting adversarial samples

# Conclusion

- Deep generative classifiers have been largely dismissed recently
  - Deep discriminative classifiers (e.g., softmax classifier) typically outperform them for fully-supervised classification settings
- **We found that the (post-processed) deep generative classifier can outperform the softmax classifier across multiple tasks:**
  - Detecting out-of-distribution samples
  - Detecting adversarial samples
- Other contributions in our paper
  - **More calibration techniques:** input pre-processing, feature ensemble
  - **More applications:** class-incremental learning
  - **More evaluations:** robustness of our method
- **Poster session: Room 210 & 230 AB #30**

Thanks for your attention