

REINCARNATING RL: REUSING PRIOR COMPUTATION TO ACCELERATE PROGRESS

NEURIPS 2022

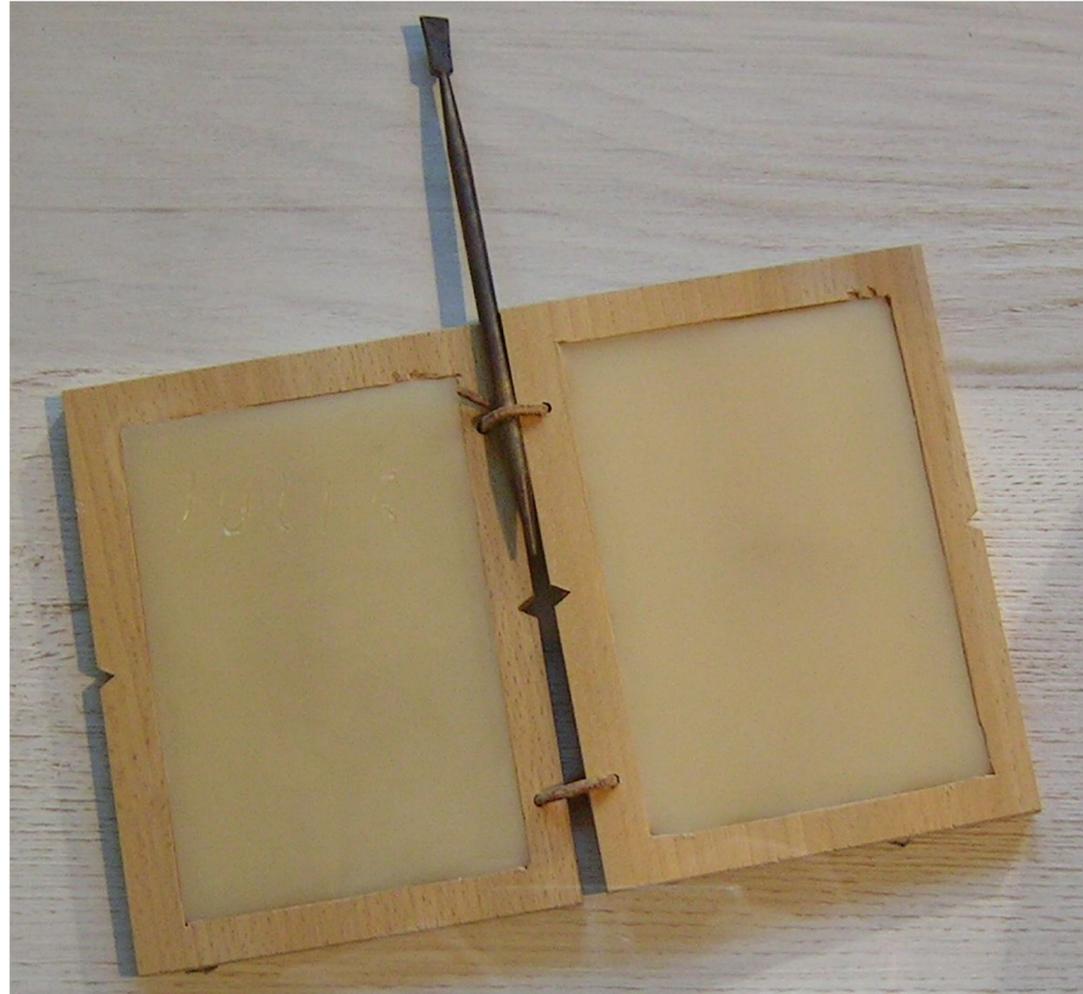


agarwl.github.io/reincarnating_rl

Google Research



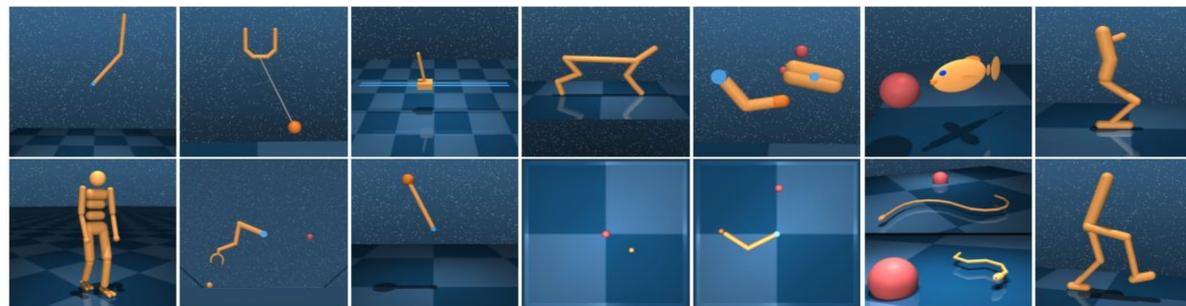
Tabula rasa Reinforcement Learning



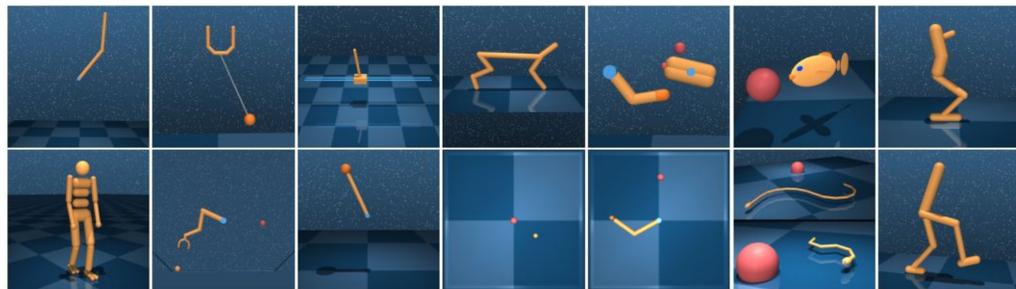
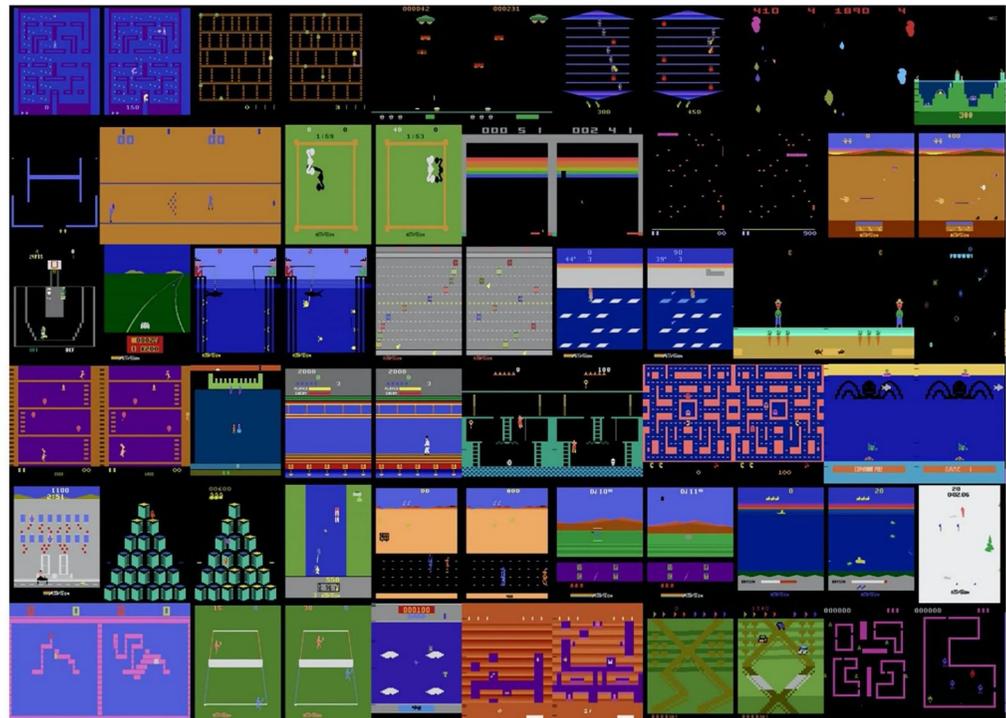
Clean or Blank state: “Learning from scratch”

bit.ly/reincarnating_rl

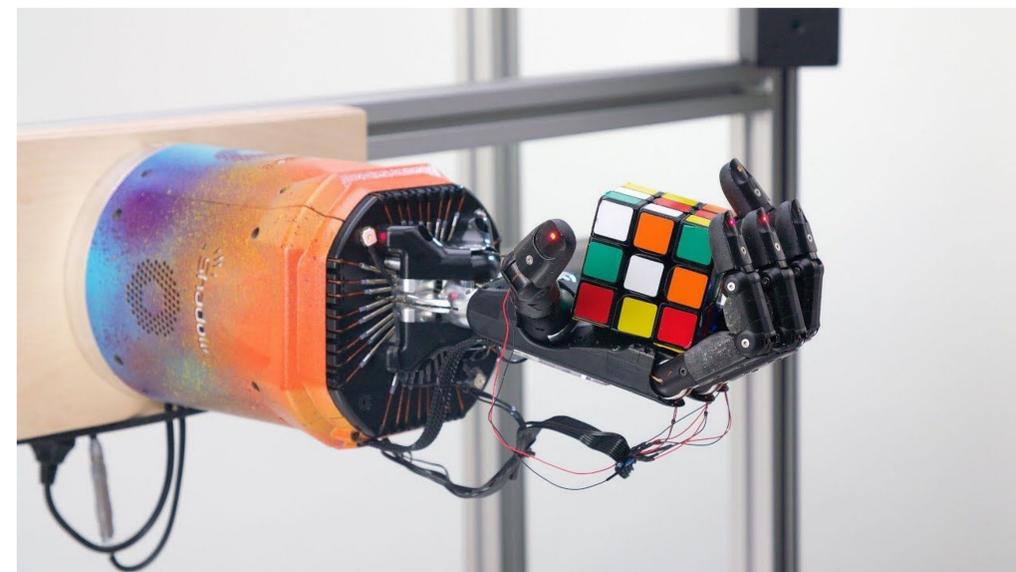
Tabula Rasa RL works for research domains.



Large-scale RL problems: ~~Tabula rasa~~ workflow

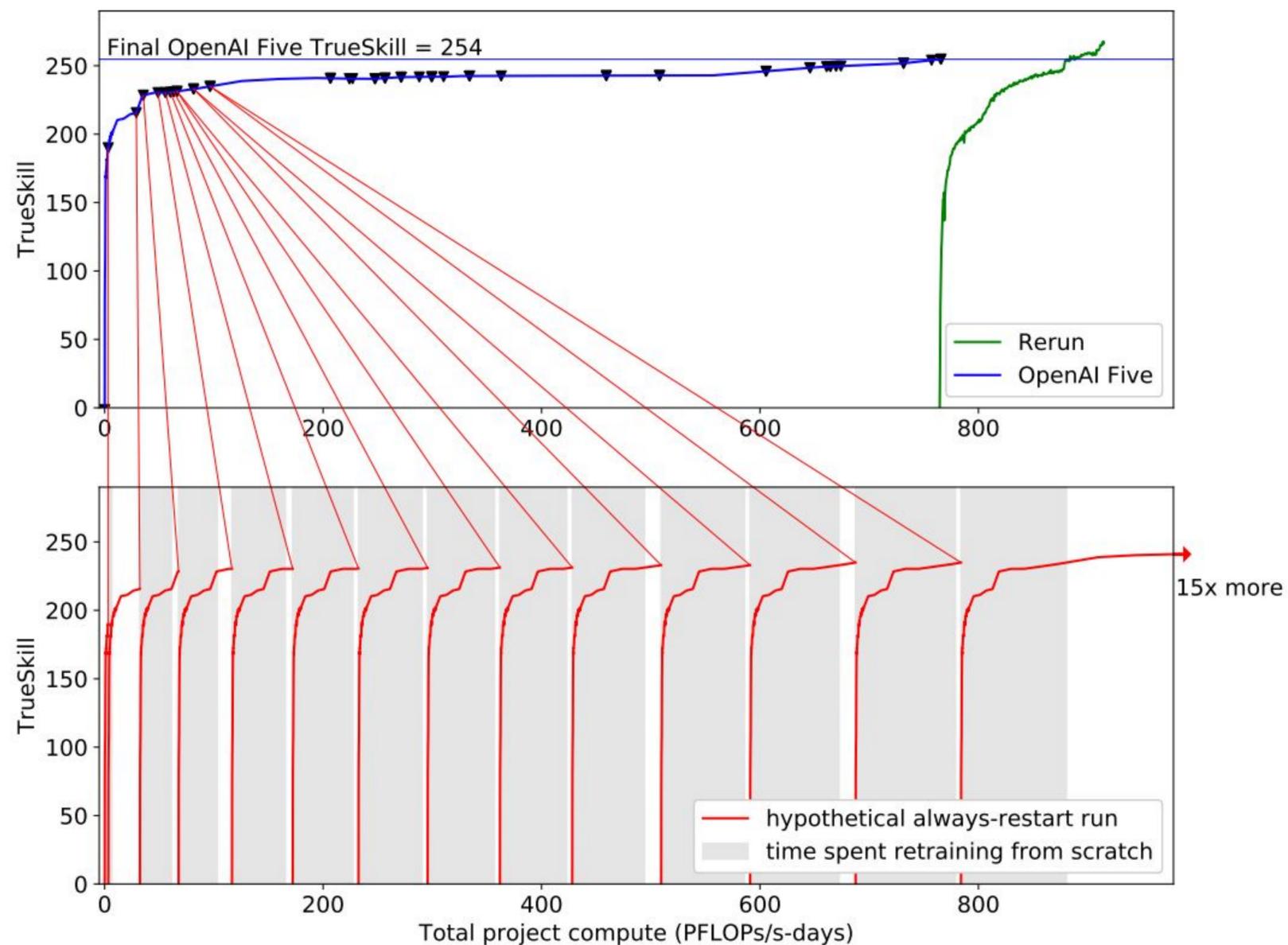


Works well here.



Not so much here.

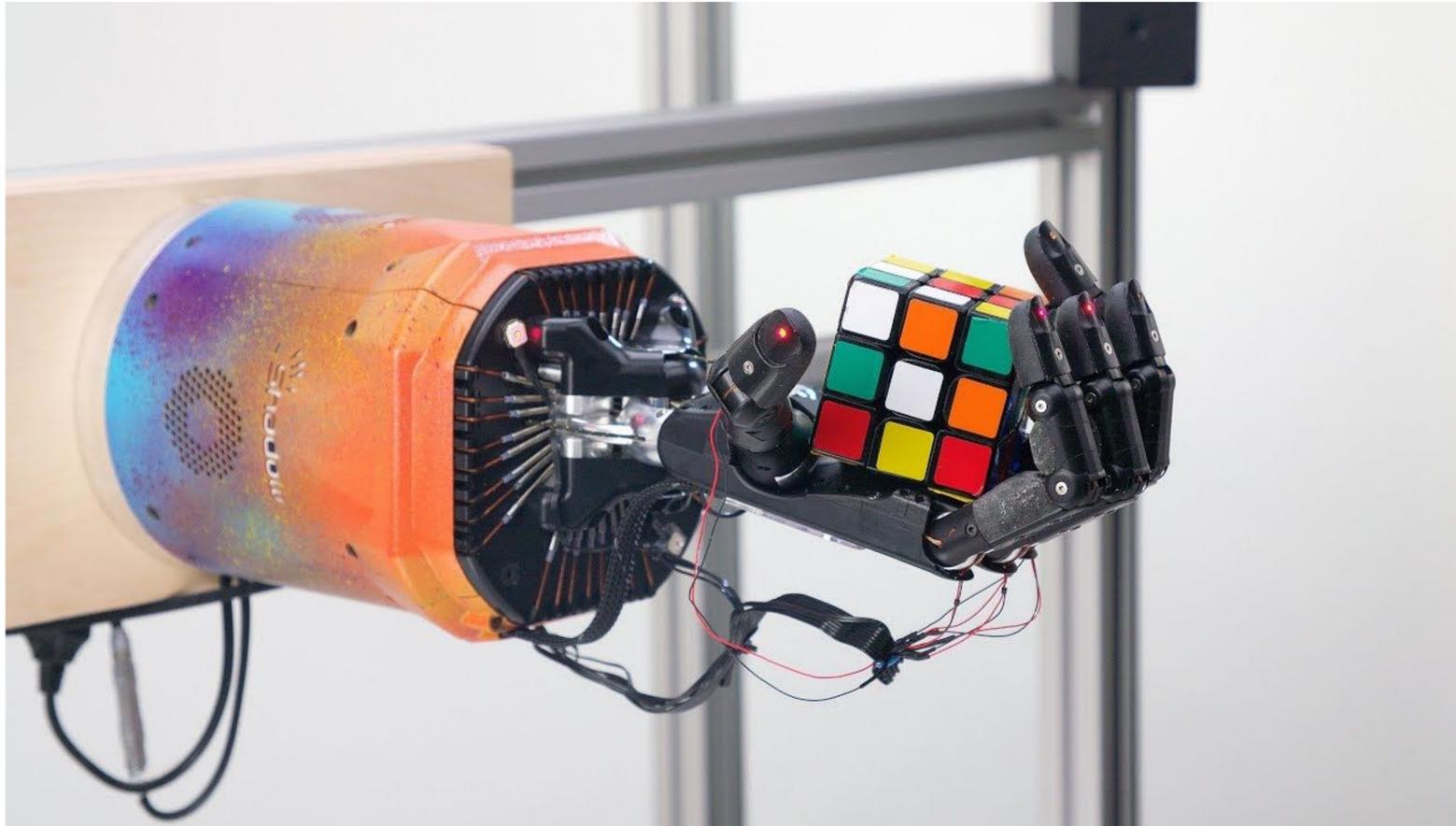
~~Tabula rasa~~ RL Playing DOTA with large-scale RL training



Actual learning curve (10 months)

Restarting from scratch every time (~40 months)

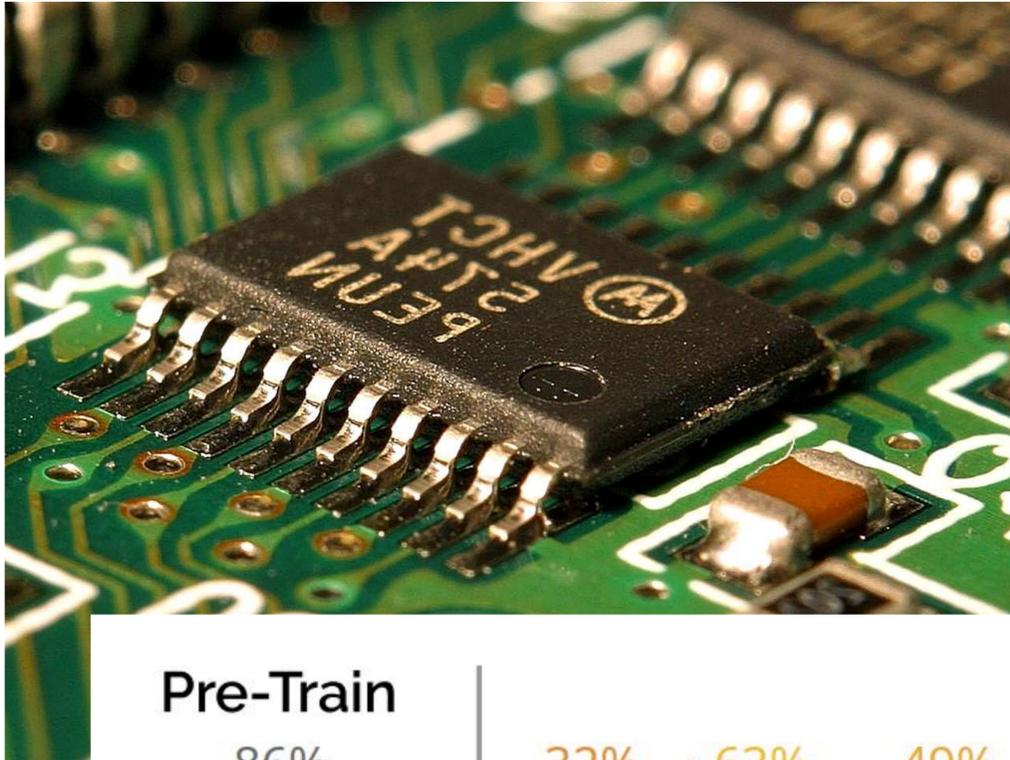
~~Tabula rasa~~ RL Solving Rubik's cube with a robot hand



“We rarely trained experiments from scratch ..

Restarting training from an uninitialized model would have caused us to lose weeks or months of training progress.”

~~Tabula rasa~~ RL Fine-tuning with RL



```
int foo(int a) {  
  if (a > 100) {  
    if (bar(a) > 0) {  
      return 0;  
    } else {  
      ...  
    }  
  }  
  return -1;  
}  
  
int bar(int a) {  
  if (baz(a) < 0) return 1;  
  ...  
}
```

inline

```
int foo(int a) {  
  if (a > 100) return 0;  
  return -1;  
}
```

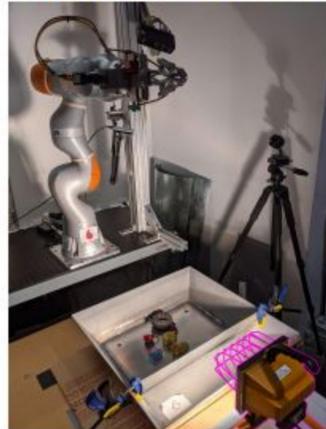
Pre-Train

86%



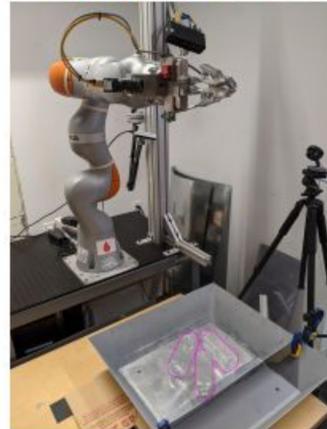
Object Grasping

32% → 63%



Harsh Lighting

49% → 66%



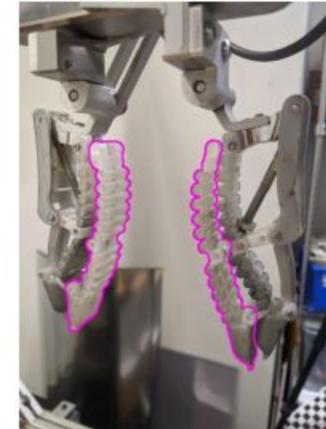
Transparent Bottles

50% → 90%



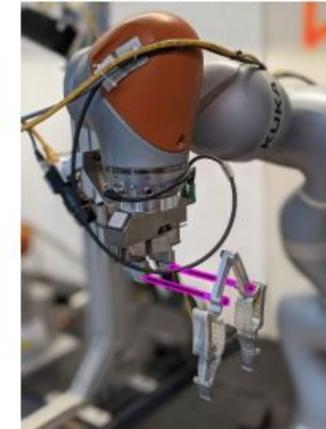
Checkerboard Backing

75% → 93%



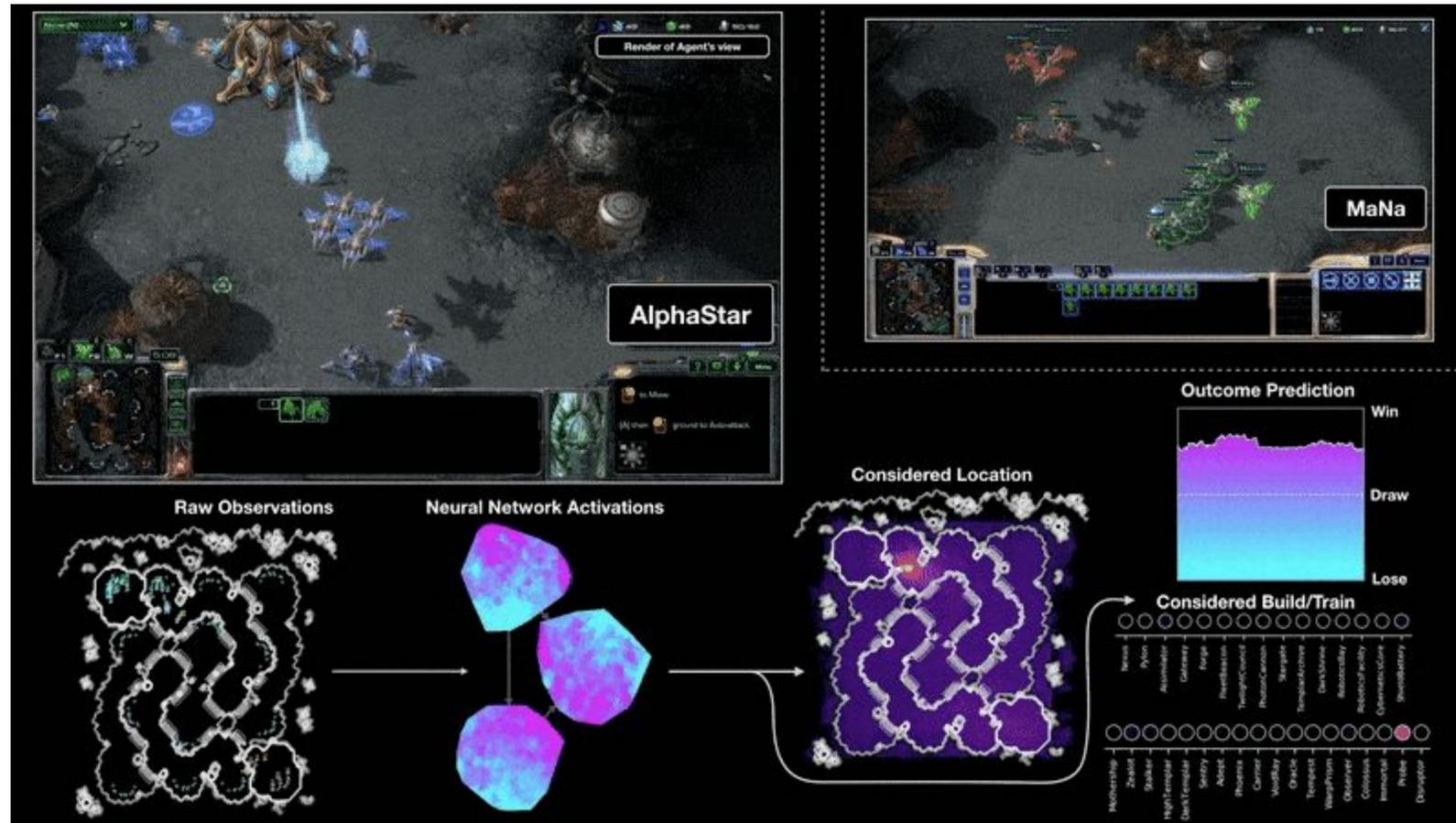
Extend Gripper 1cm

43% → 98%



Offset Gripper 10cm

Deep RL is computationally expensive :(



AlphaStar: Trained on several TPUs for a month. Replication would cost > **\$1,000,000.**

Excludes most researchers outside resource-rich labs.

Vinyals, Oriol, et al. "Grandmaster level in StarCraft II using multi-agent reinforcement learning." *Nature* 575.7782 (2019): 350-354.

WHAT IF WE DIDN'T ALWAYS TRAIN
RL AGENTS FROM SCRATCH
FOR RESEARCH?

Reincarnating RL: An alternative workflow



Reincarnating RL: An alternative workflow



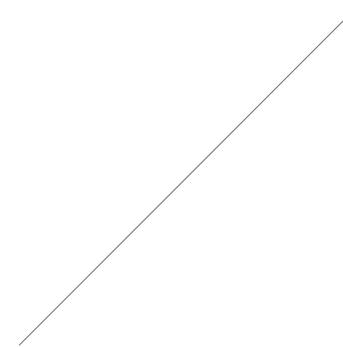
“Prior computational work, such as learned network weights and policies, should be maximally leveraged.”

Reincarnating RL: An alternative workflow

Let's say you trained an agent A_1 for a long time (e.g., days/weeks)



Experiment with better algorithms / architectures



Training another agent from scratch

(Tabula Rasa)

Reincarnating RL: An alternative workflow

Let's say you trained an agent A_1 for a long time (e.g., days/weeks)

Experiment with better algorithms / architectures

Training another agent from scratch

(Tabula Rasa)

Fine-tuning A_1

Transferring A_1 to another agent and training that agent further

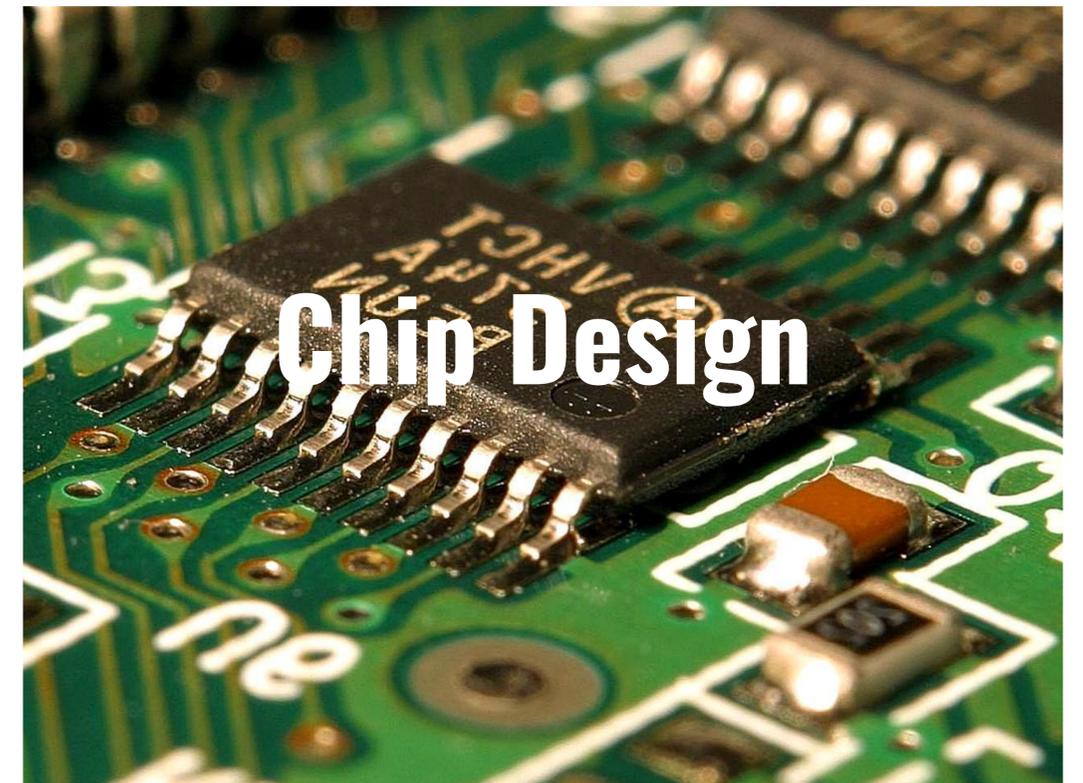
Why Reincarnating RL?

- More compute and sample-efficient



Why Reincarnating RL?

- More compute and sample-efficient
- Tackle challenging problems without excessive computational resources
- **Allows for continually updating/training agents**



Why Reincarnating RL?

- More compute and sample-efficient
- Tackle challenging problems without excessive computational resources
- Allows for continually updating/training agents
- **Suitable for real-world applications (prior computation is typically available)**



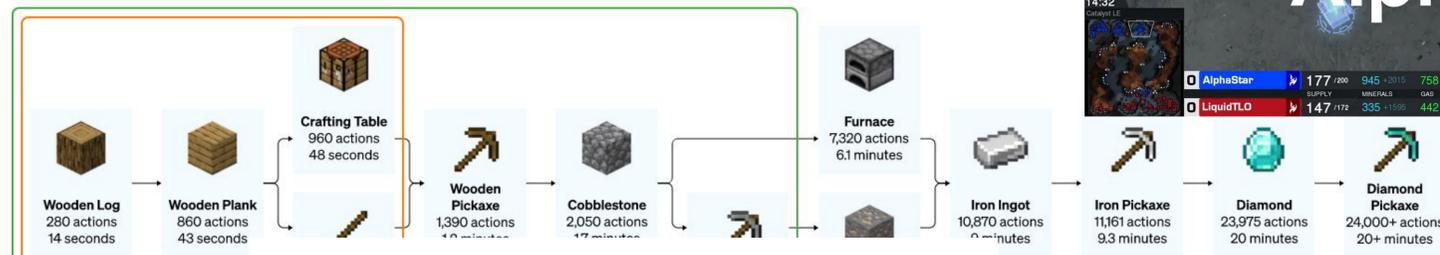
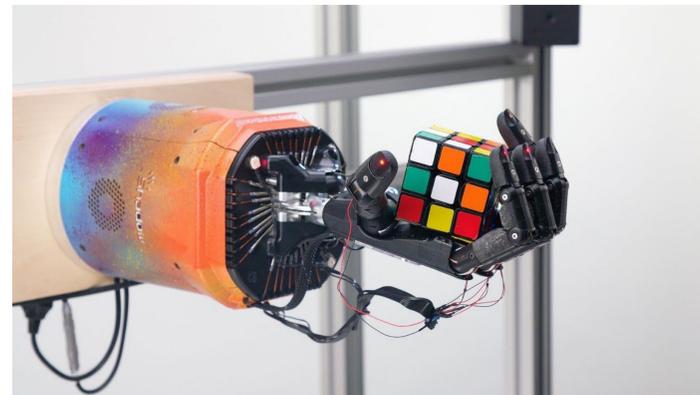
Why Reincarnating RL?

- More compute and sample-efficient
- Tackle challenging problems without excessive computational resources



Ad-hoc reincarnation strategies common in large-scale RL

Reincarnating RL ~~common~~ **rare** in typical papers



Minecraft with VPT

Achieved by foundation model

Achieved by fine-tuning with behavioral cloning



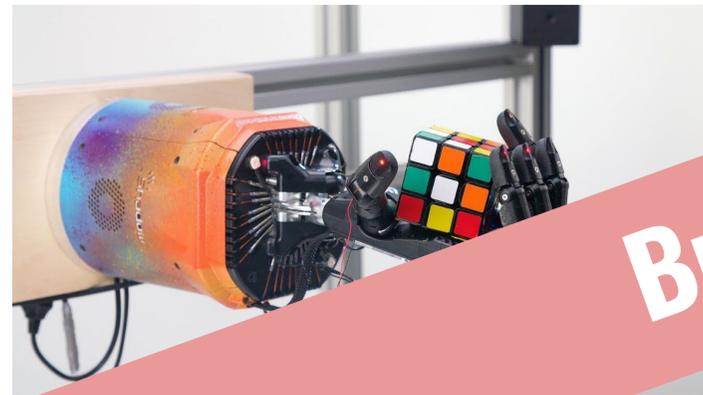
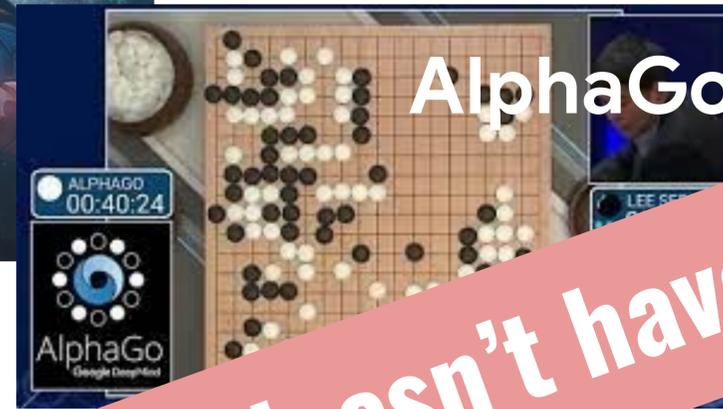
ICLR



ICML
International Conference
On Machine Learning

Ad-hoc reincarnation strategies
common in large-scale RL

Reincarnating RL ~~common~~ **rare** in
typical papers



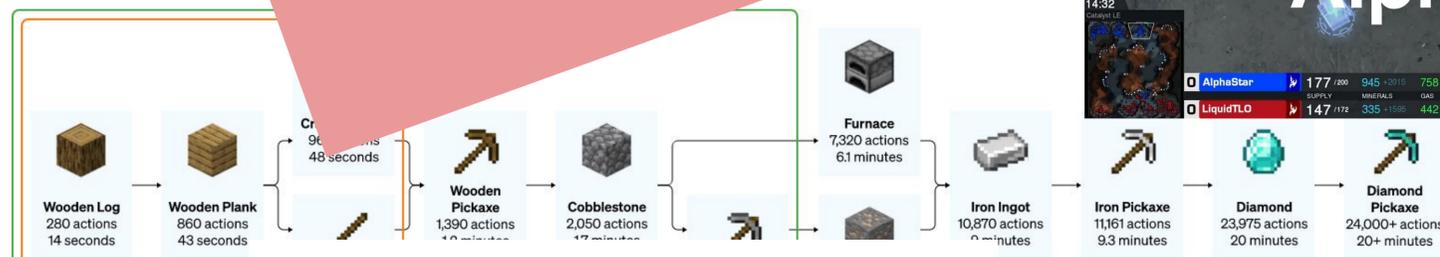
But this doesn't have to be the case!



ICLR



ICML
International Conference
On Machine Learning



Minecraft with VPT

Achieved by foundation model

Achieved by fine-tuning with behavioral cloning

Reincarnating RL: What's different?

- Lots of related work on imitation + RL, offline RL, transfer, LfD and so on ..
- Such papers typically don't focus on the incorporating such methods as a part of how we do RL research itself.
 - We still largely train Atari agents from scratch ..

Reusing Prior Computation

```
graph TD; A[Reusing Prior Computation] --- B[Learned Policies]; A --- C[Collected Data]; A --- D[Pretrained Representations]; A --- E[Others (e.g., LLMs, Skills)]; A --- F[Learned Models];
```

Learned Policies

Collected Data

**Pretrained
Representations**

**Others (e.g.,
LLMs, Skills)**

Learned Models

Reusing Prior Computation

Learned Policies

Collected Data

Pretrained Representations

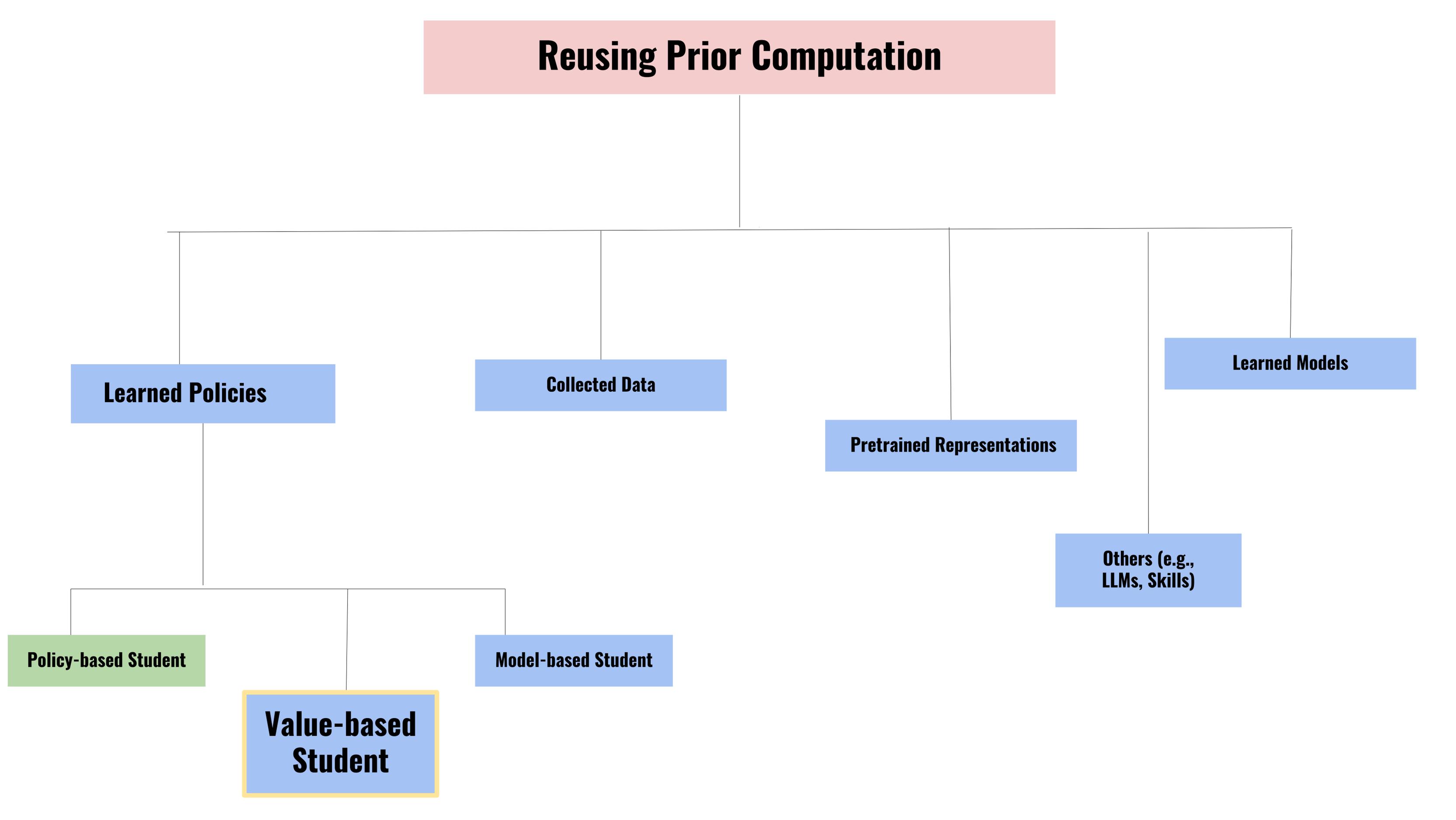
Learned Models

**Others (e.g.,
LLMs, Skills)**

Policy-based Student

**Value-based
Student**

Model-based Student



Reusing Prior Computation

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graph TD; A[Reusing Prior Computation] --- B[Learned Policies]; A --- C[Collected Data]; A --- D[Pretrained Representations]; A --- E[Others (e.g., LLMs, Skills)]; A --- F[Learned Models];
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Learned Policies

Collected Data

**Pretrained
Representations**

**Others (e.g.,
LLMs, Skills)**

Learned Models

Reusing Prior Computation

Learned Policies

Collected Data

Pretrained Representations

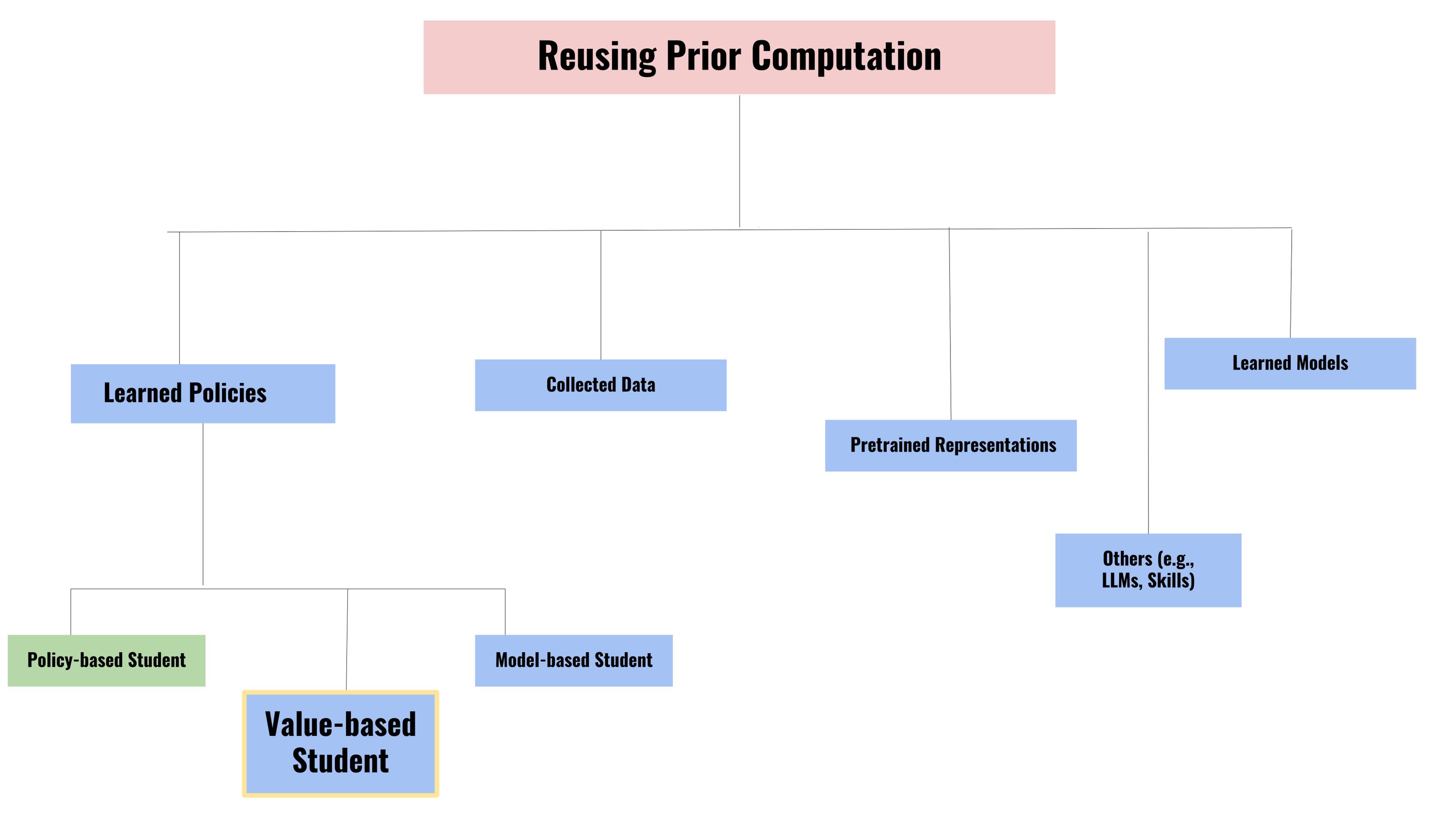
Learned Models

**Others (e.g.,
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Policy-based Student

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A quick primer on RL

Markov Decision Process (MDP)

S - Set of States

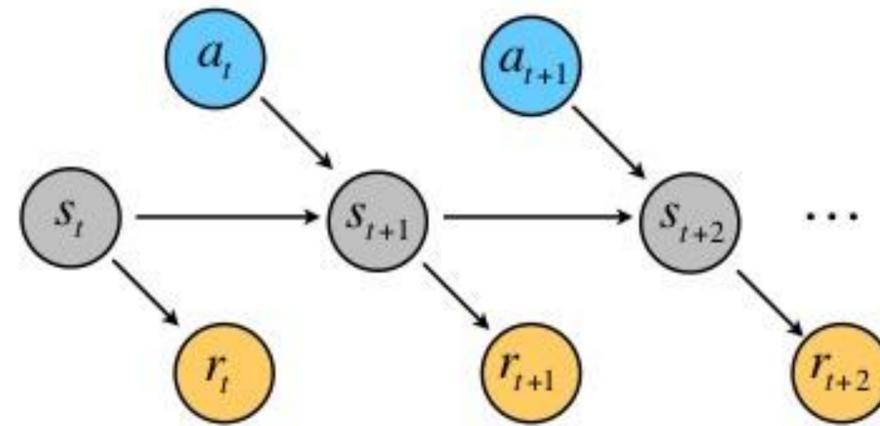
A - Set of Actions

$\Pr(s' | a, s)$ - Transitions

α - Starting State Distribution

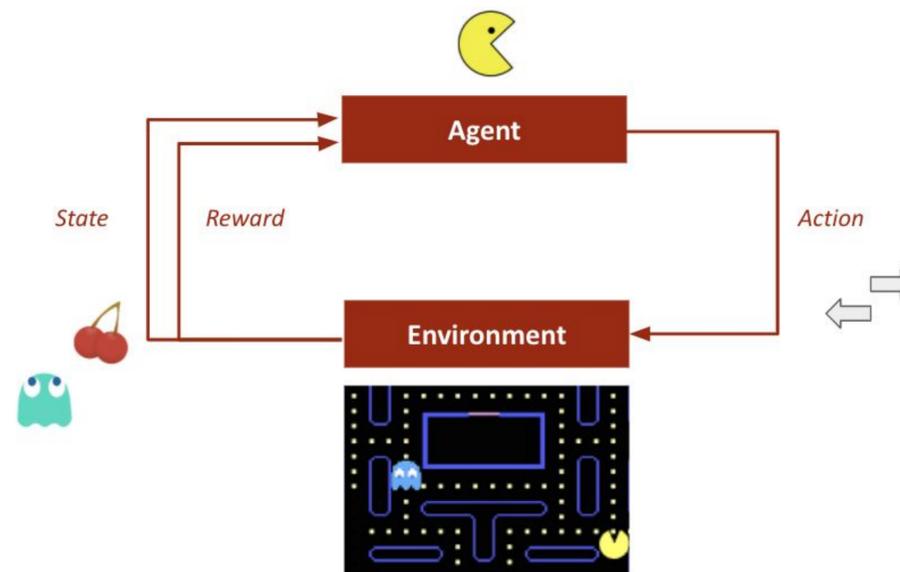
γ - Discount Factor

$r(s)$ - Reward [or $r(s, a)$]



Goal: $\max_{\pi} \mathbb{E}_{\pi} \left[\sum_t \gamma^t r(s_t, a_t) \right]$

$s_t \sim P(\cdot | s_{t-1}, a_{t-1}), a_t \sim \pi(\cdot | s_t)$



A quick primer on RL

How good is a state-action pair?

The Q-function at state s and action a , is the expected cumulative reward from taking action a in state s and then following the policy π . Formally,

$$Q^\pi(s, a) = \mathbb{E} \left[\sum_t \gamma^t R(s_t, a_t) \mid s_0 = s, a_0 = a, s_t \sim P(\cdot | s_{t-1}, a_{t-1}), a_t \sim \pi(\cdot | s_t) \right]$$

Bellman Optimality Equation

$$Q^*(s, a) := \max_{\pi} Q^\pi(s, a) = \mathbb{E} \left[r(s, a) + \gamma \max_{a'} Q^*(s', a') \right]$$

Solving for the optimal policy

Q-learning: Use a function approximator to estimate the Q-function, *i.e.*

$$Q(s, a; \theta) \approx Q^*(s, a)$$

function parameters (weights)

If the function approximator is a deep neural network => Deep Q-learning!

Case Study: Policy to Value Reincarnating RL (PVRL)

$$\pi_{\Phi}(a|s)$$

Existing
suboptimal
teacher policy



$$Q_{\theta}(s, a)$$

Value-based Student
(e.g., DQN, SAC)

Transfer an existing policy to a (more) sample-efficient value-based student agent.

Policy to Value Reincarnating RL (PVRL)

$$\pi_{\Phi}(a|s)$$

Suboptimal Teacher

$$Q_{\theta}(s, a)$$

Value-based Student

Desiderata

- **Teacher-agnostic**
 - Student shouldn't be constrained by teacher's architecture and algorithm

Policy to Value Reincarnating RL (PVRL)

$$\pi_{\Phi}(a|s)$$

Suboptimal Teacher

$$Q_{\theta}(s, a)$$

Value-based Student

Desiderata

- Teacher-agnostic
- **Weaning off teacher**
 - Undesirable to maintain teacher dependency for successive reincarnations

Policy to Value Reincarnating RL (PVRL)

$$\pi_{\Phi}(a|s)$$

Suboptimal Teacher

$$Q_{\theta}(s, a)$$

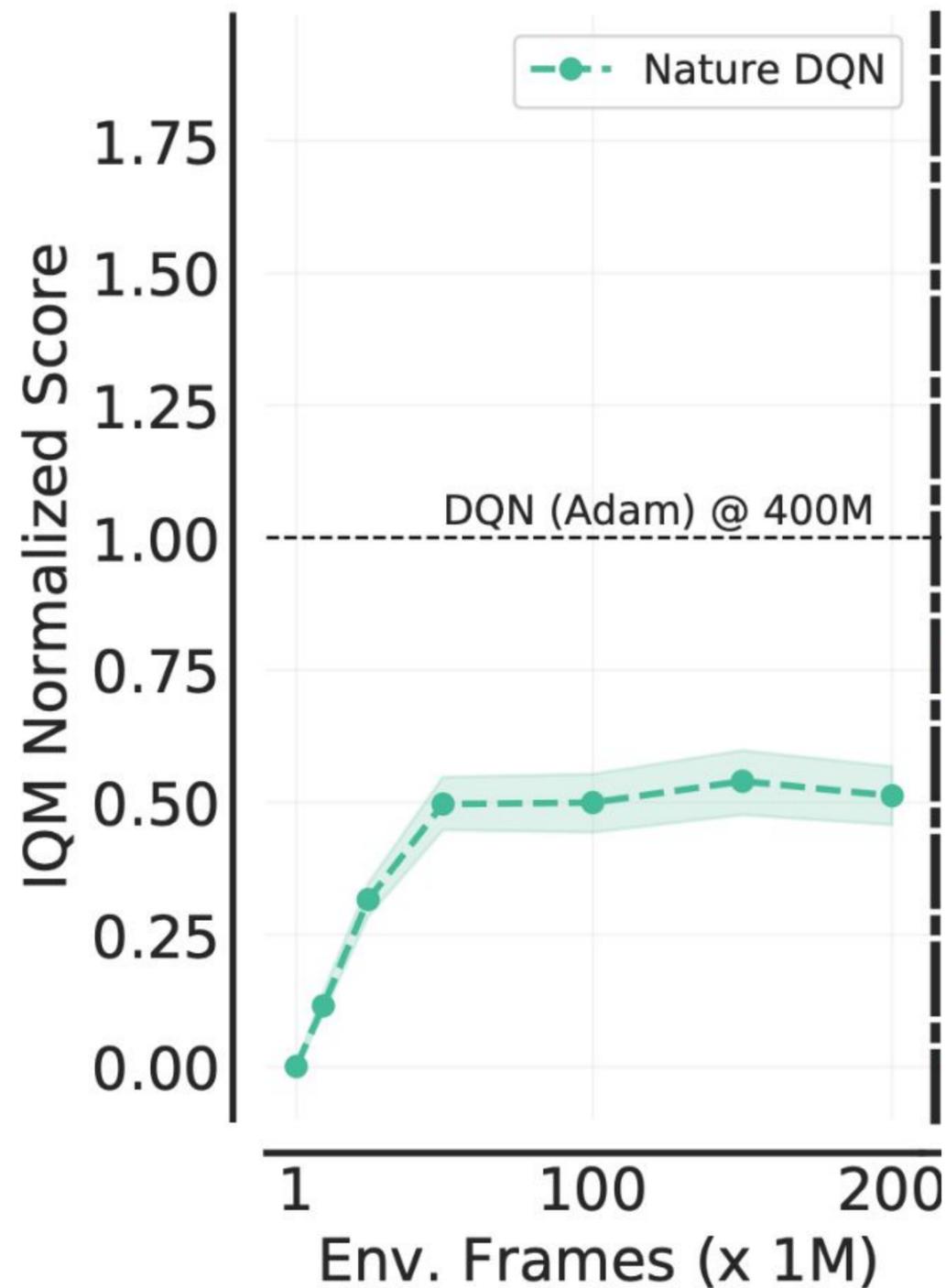
Value-based Student

Desiderata

- Teacher-agnostic
- Weaning off teacher
- **Compute Efficient**
 - Reincarnation should be cheaper than training from scratch

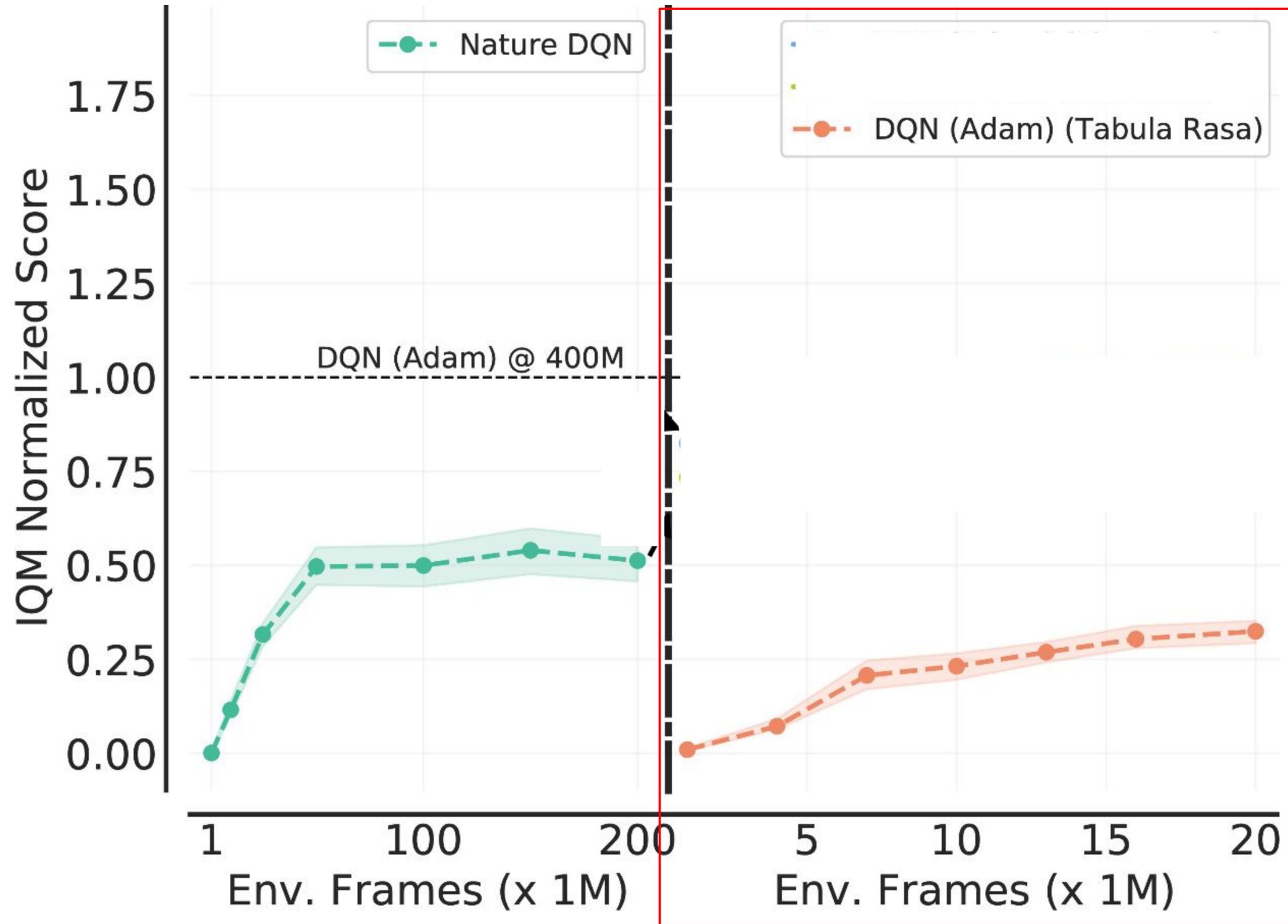
Reincarnating RL as a Research Workflow

Reincarnation on ALE



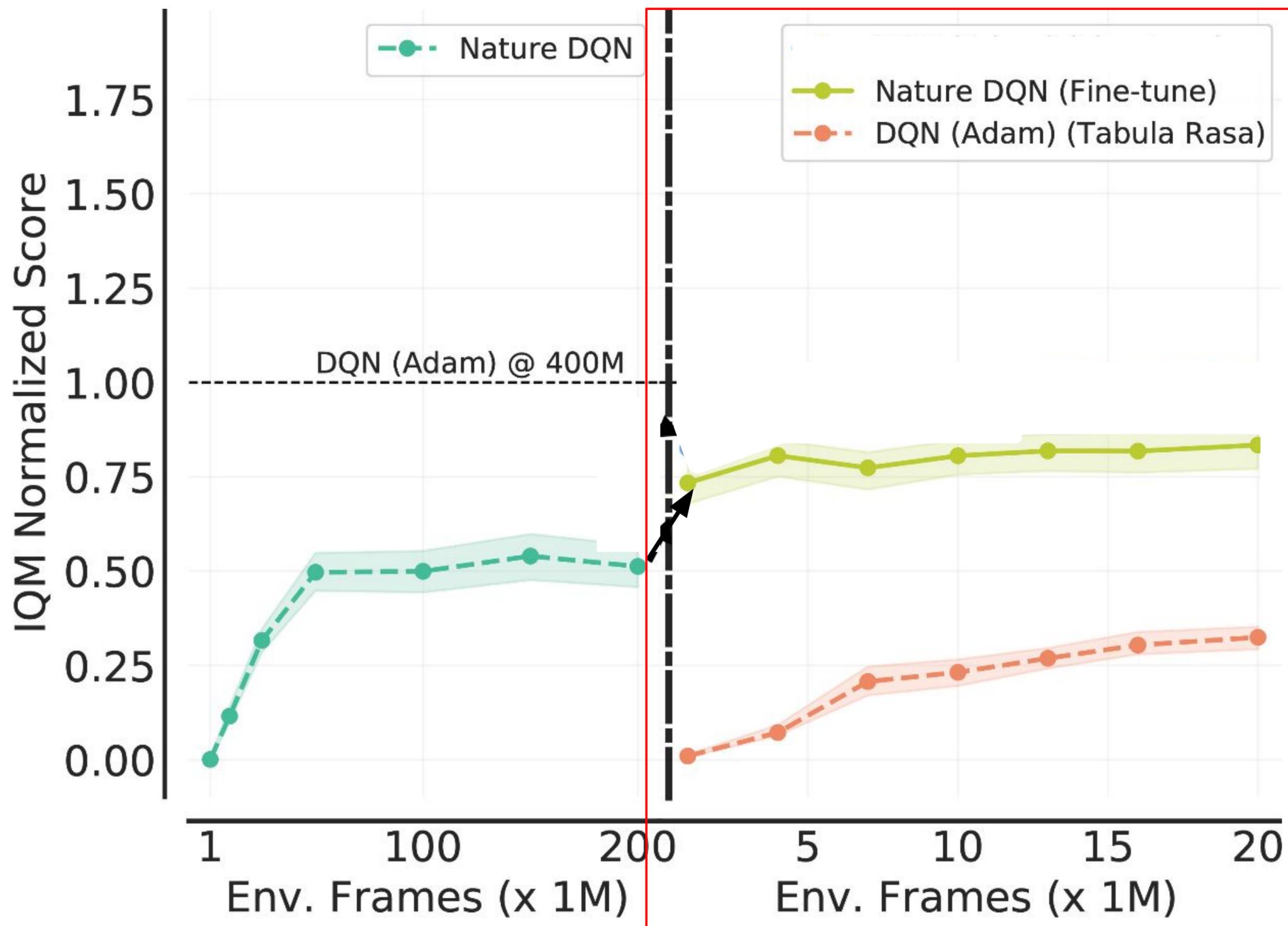
Let's assume we have access to the Nature DQN trained by Mnih et. al. (2015)

Switching optimizer to Adam



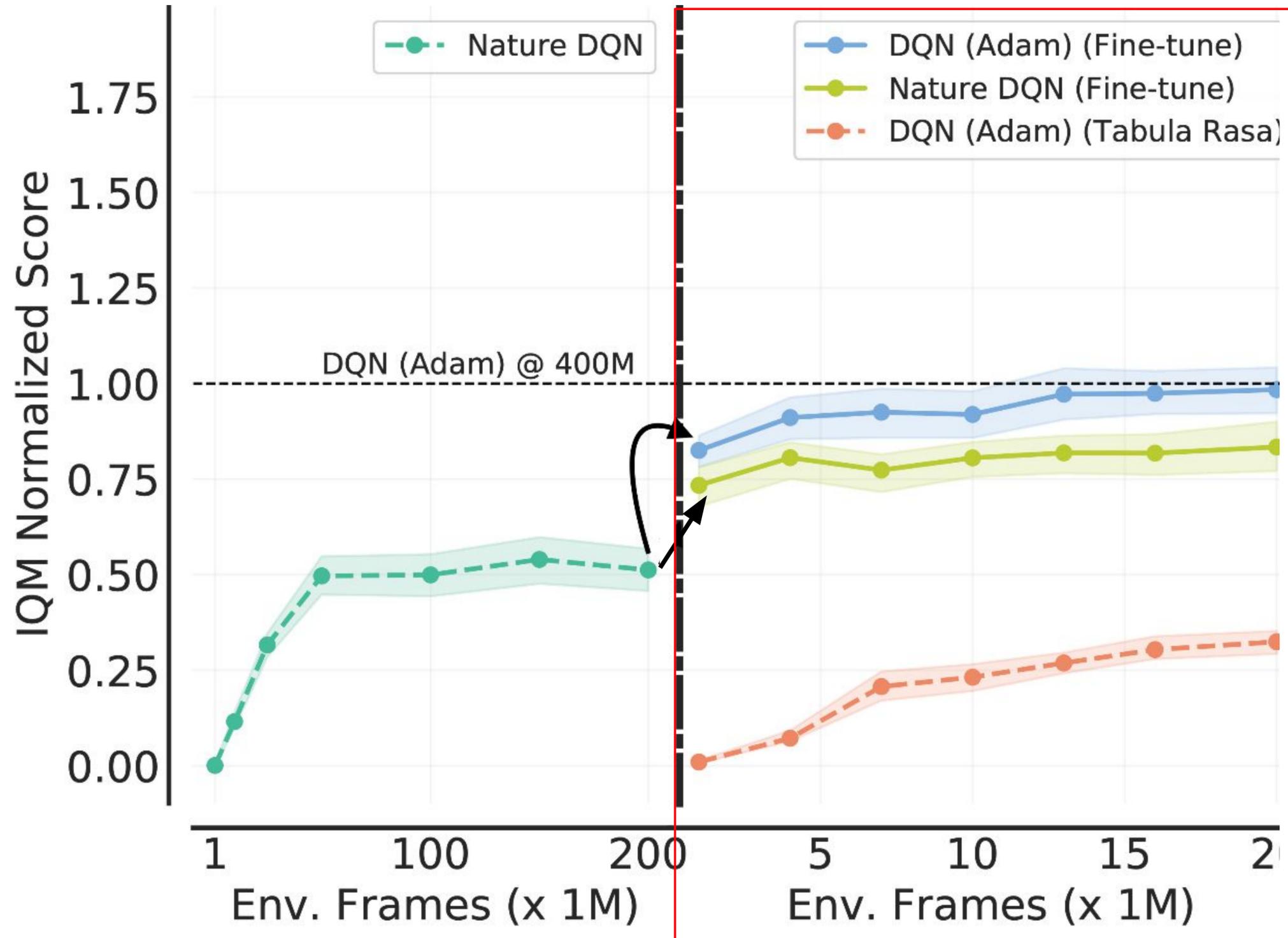
DQN (Adam) seems to be better than Nature DQN.

DQN (Adam) vs. Fine-tuning Nature DQN



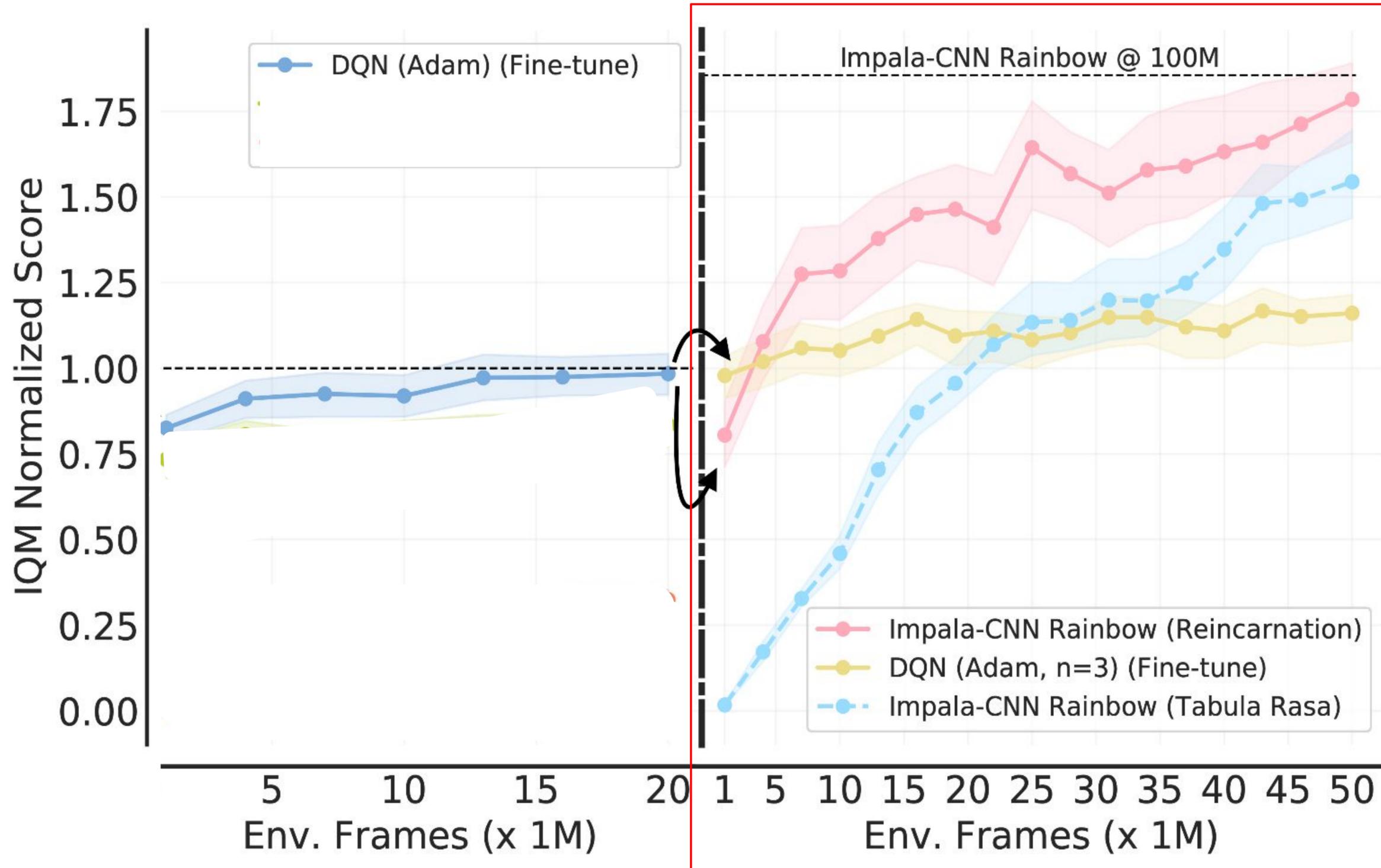
Fine-tuning DQN significantly improves performance.

Reincarnating DQN (Adam) via Fine-Tuning



**Similar results
to DQN (Adam)
trained from scratch
for 400M frames in
few hours of training
rather than a week!**

Reincarnating a Different Architecture / Algorithm



**Saved 50M
frames or 1
day of GPU
training!**

Recap: Policy to Value Reincarnating RL (PVRL)

$$\pi_{\Phi}(a|s)$$

Suboptimal Teacher

$$Q_{\theta}(s, a)$$

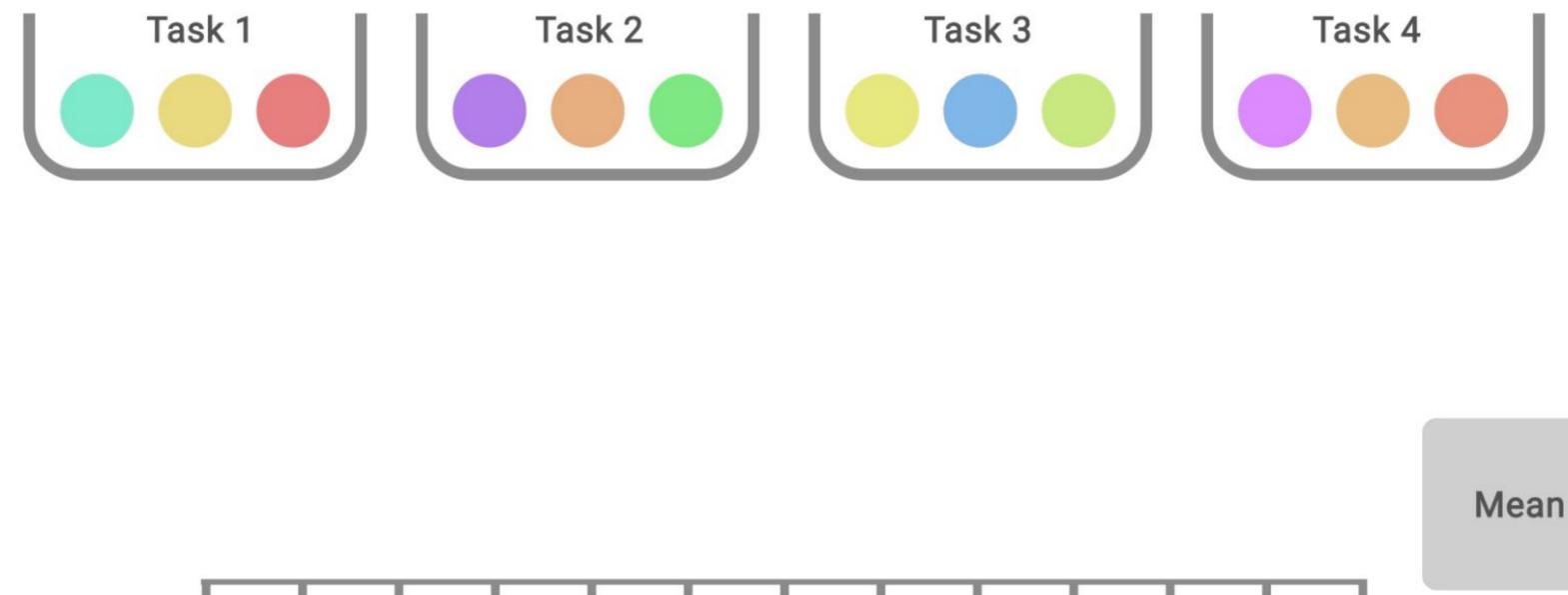
Value-based Student

Desiderata

- Teacher-agnostic
- Weaning off teacher
- Compute Efficient

PVRL: Experimental Setup

- Interactive teacher policy: DQN trained for 400M frames (**7 days on a single GPU**)
 - Also assume access to replay data of the teacher
- Transfer a student DQN using 10M frames (a few hours)
- 10 Atari games with sticky actions (for stochasticity)
- Evaluation: Interquartile Mean [1]



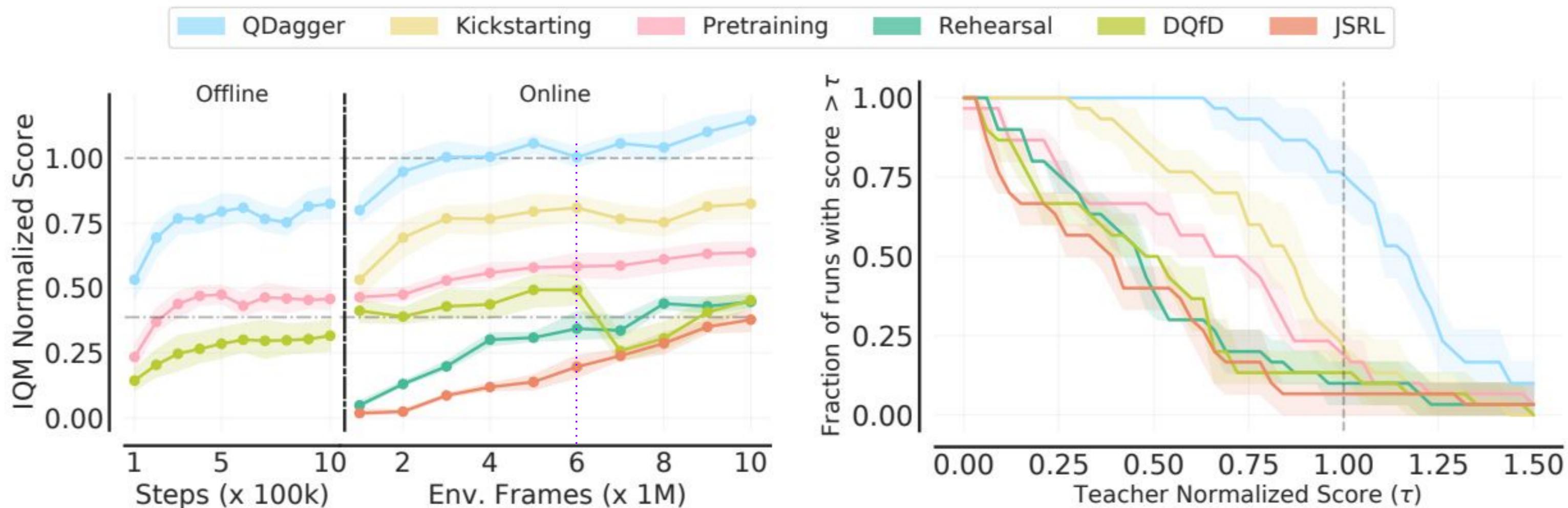
[1] For more details, see [Agarwal, Rishabh et al. Deep RL at the Edge of the Statistical Precipice. NeurIPS 2021 \(Outstanding Paper\).](#)

PVRL: Closely Related Methods

Adapting existing approaches:

- **Rehearsal:** Replaying Teacher Samples
- **Pretraining:** Offline RL on Teacher Data
- **Kickstarting:** On-policy Distillation + Q-learning
- **DQfD:** Learning from teacher demonstrations
- **JSRL:** Improving data collection using teacher

PVRL on ALE: DQN (Adam) @ 400M \rightarrow DQN



QDagger: A simple PVRL baseline

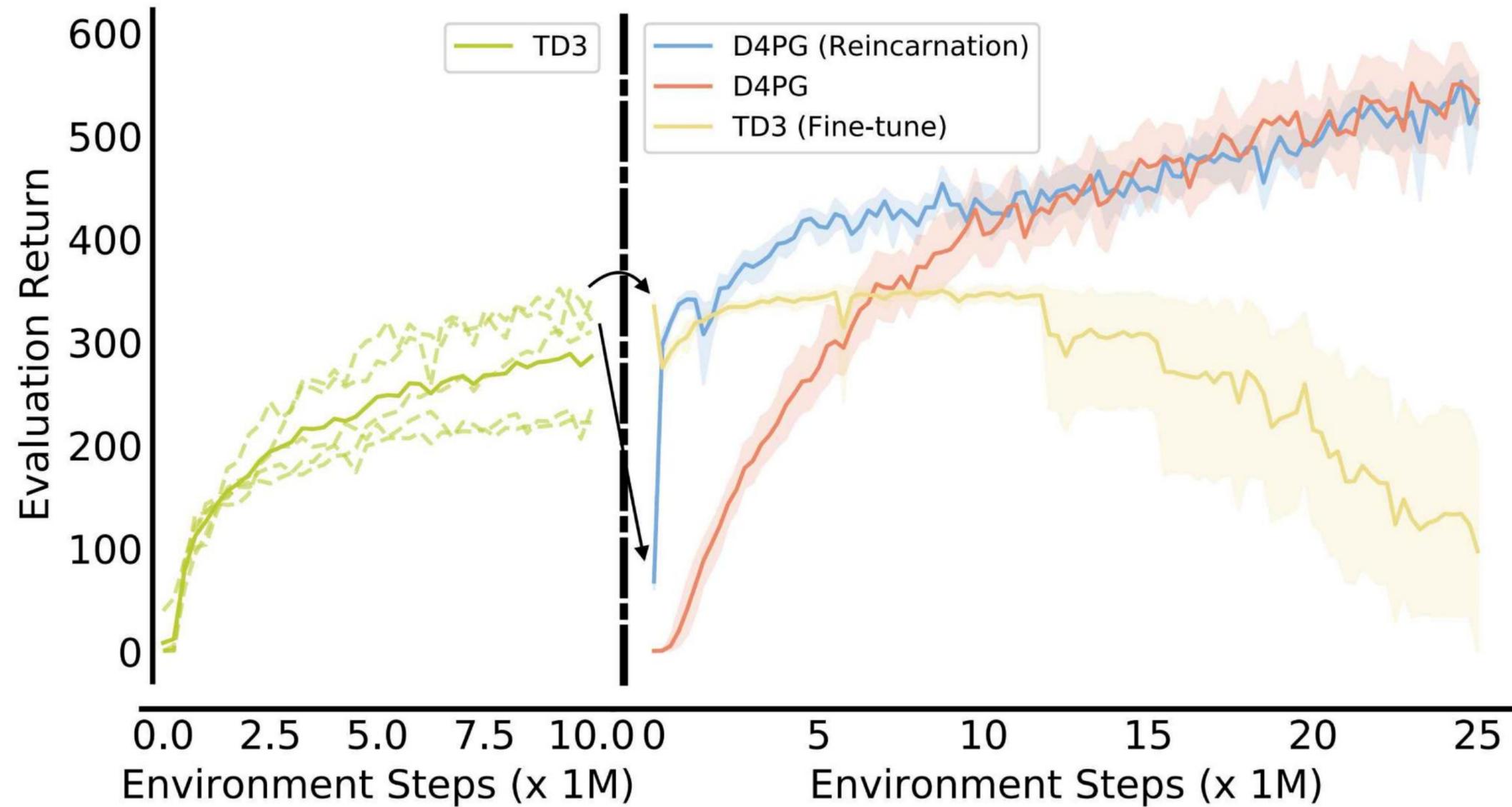
$$\mathcal{L}_{QDagger}(\mathcal{D}) = \underbrace{\mathcal{L}_{TD}(\mathcal{D})}_{\text{Q-learning loss}} + \lambda_t \mathbb{E}_{s \sim \mathcal{D}} \left[\sum_a \underbrace{\pi_T(a|s) \log \pi(a|s)}_{\text{On-policy distillation}} \right]$$

Decaying coefficient to wean off the teacher.

Combine Q-learning with Dagger. Phases:

- (Offline) Pretrain on Teacher data
- (Online) Train on self-collected data.

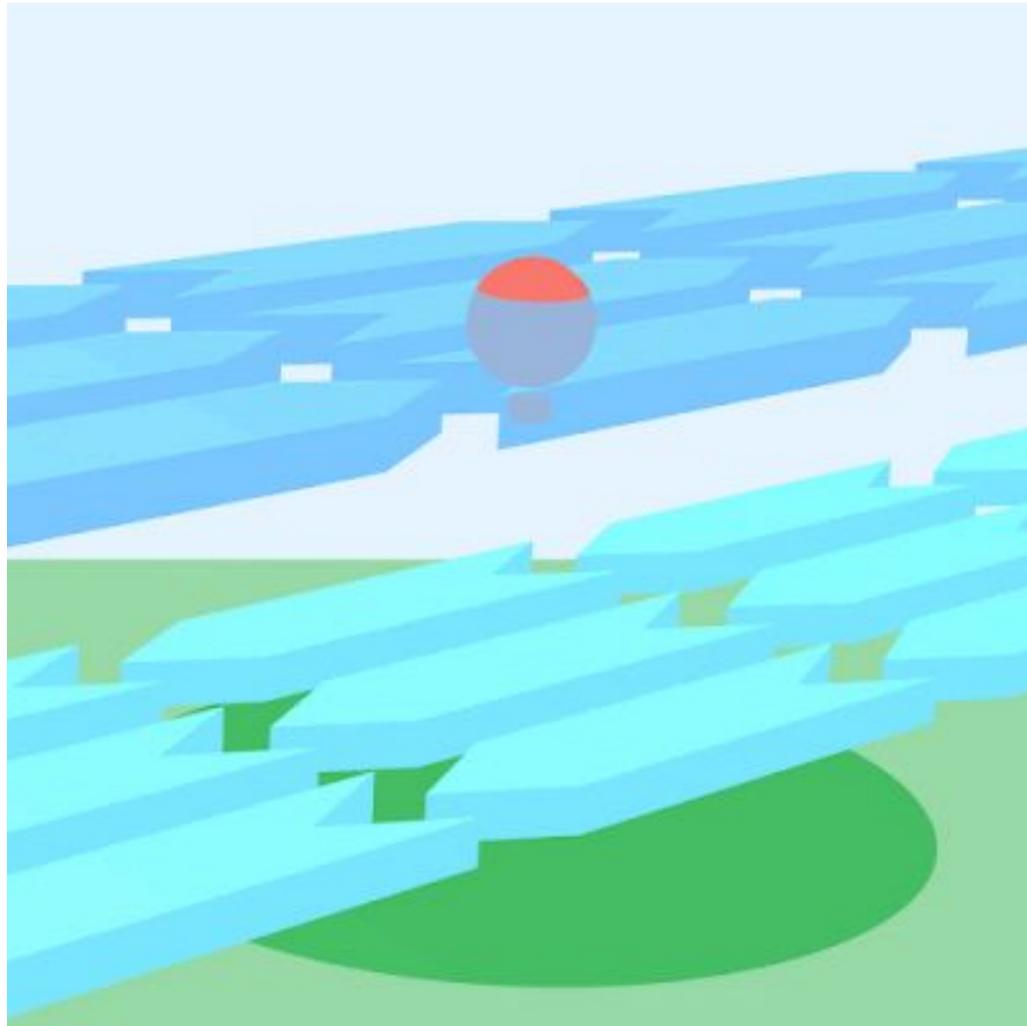
Reincarnation on a difficult control task: Humanoid Run



Saved 10M frames
(10-12 hours on a V100)



Reincarnation on Balloon Learning Environment (BLE)

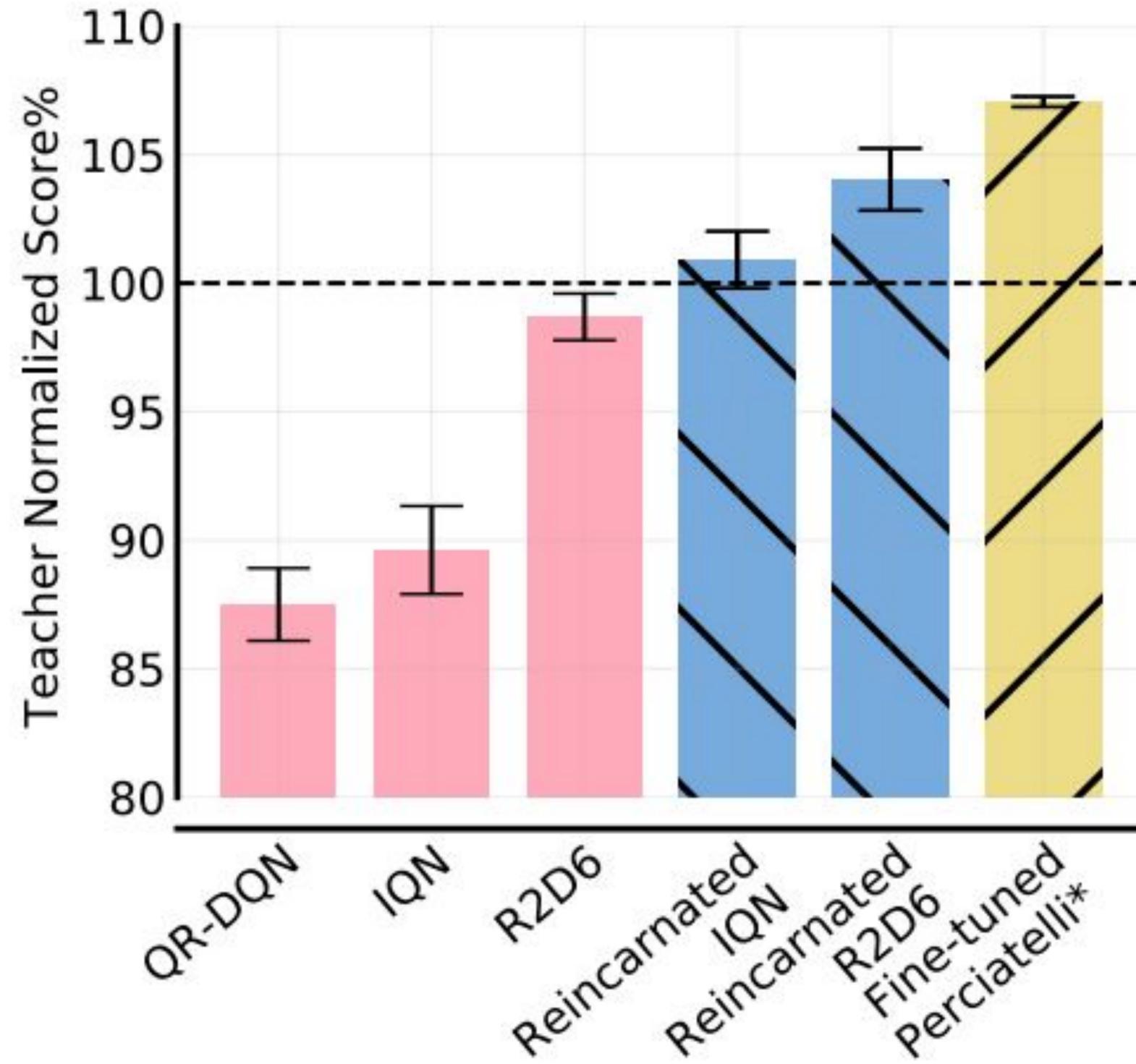


- Access to the existing agent **trained for a month with distributed RL**.
- Given access to finite compute (10-12 hours on a TPU-v2), how much progress can be made?

[1] Bellemare, Marc G., et al. "Autonomous navigation of stratospheric balloons using reinforcement learning." *Nature* 588.7836 (2020): 77-82.

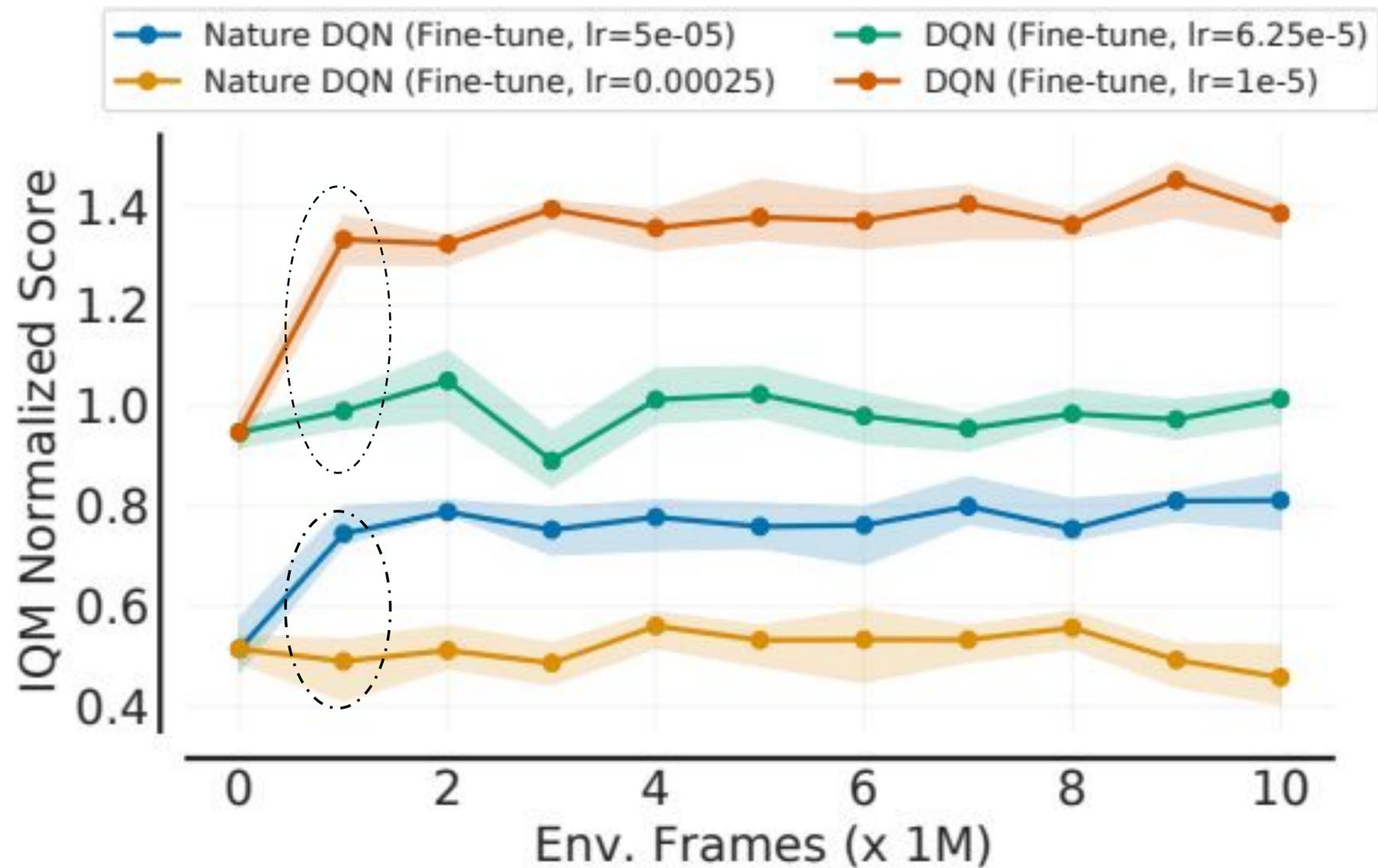
[2] [The Balloon Learning Environment](https://ai.googleblog.com/2022/02/the-balloon-learning-environment.html). <https://ai.googleblog.com/2022/02/the-balloon-learning-environment.html>

Reincarnation on BLE

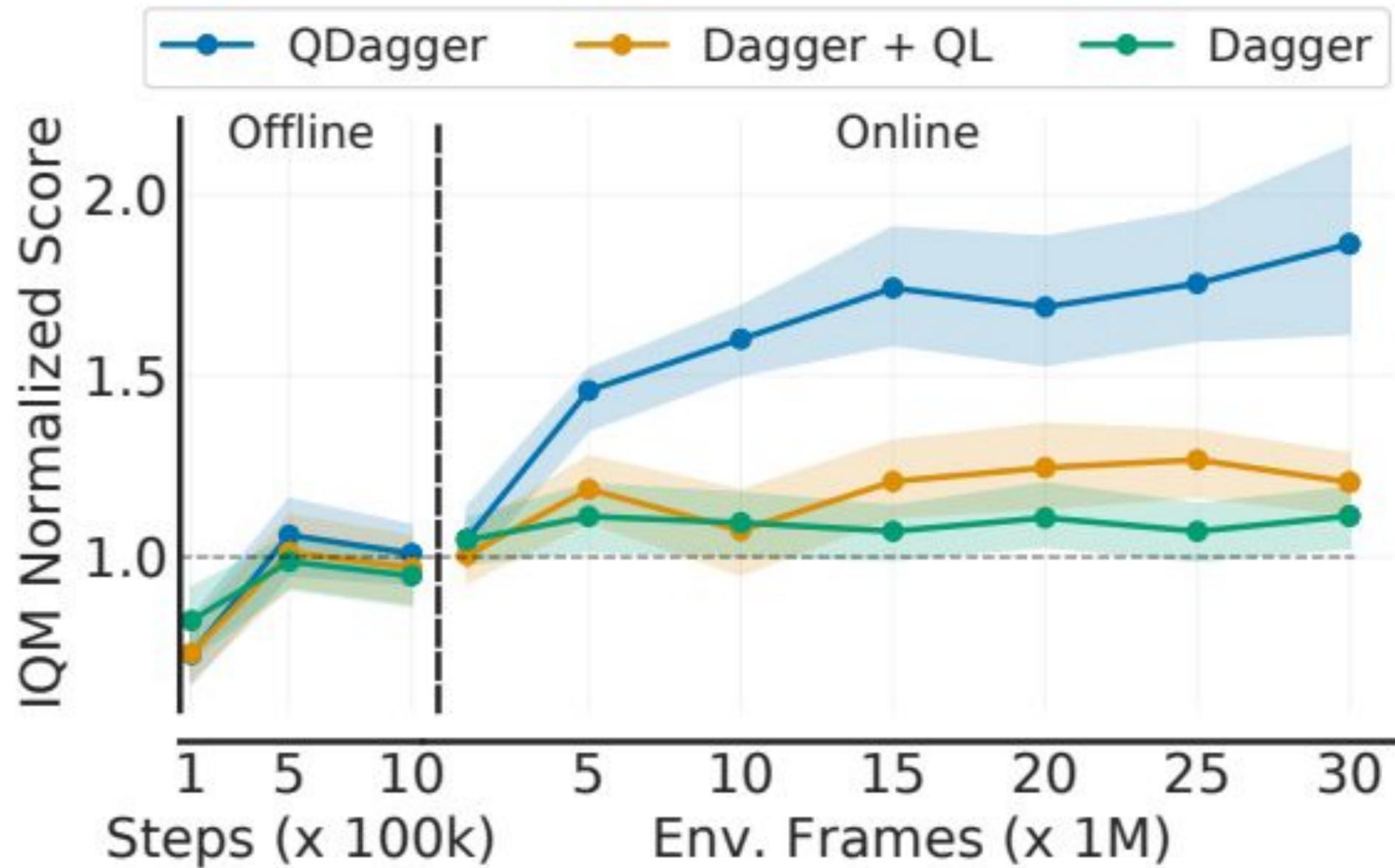


Considerations in Reincarnating RL

Fine-tuning for Reincarnation

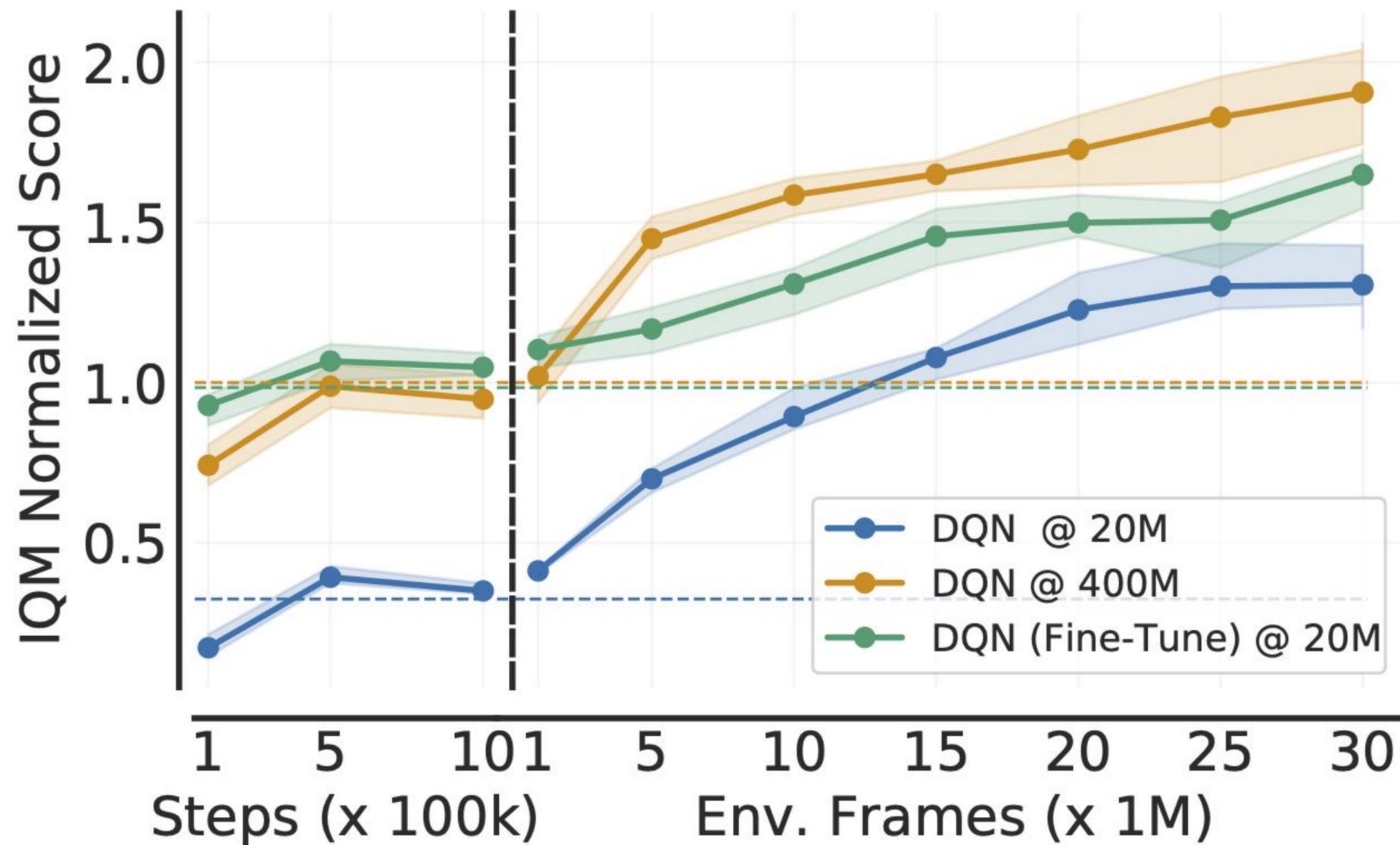


Reincarnation vs Distillation

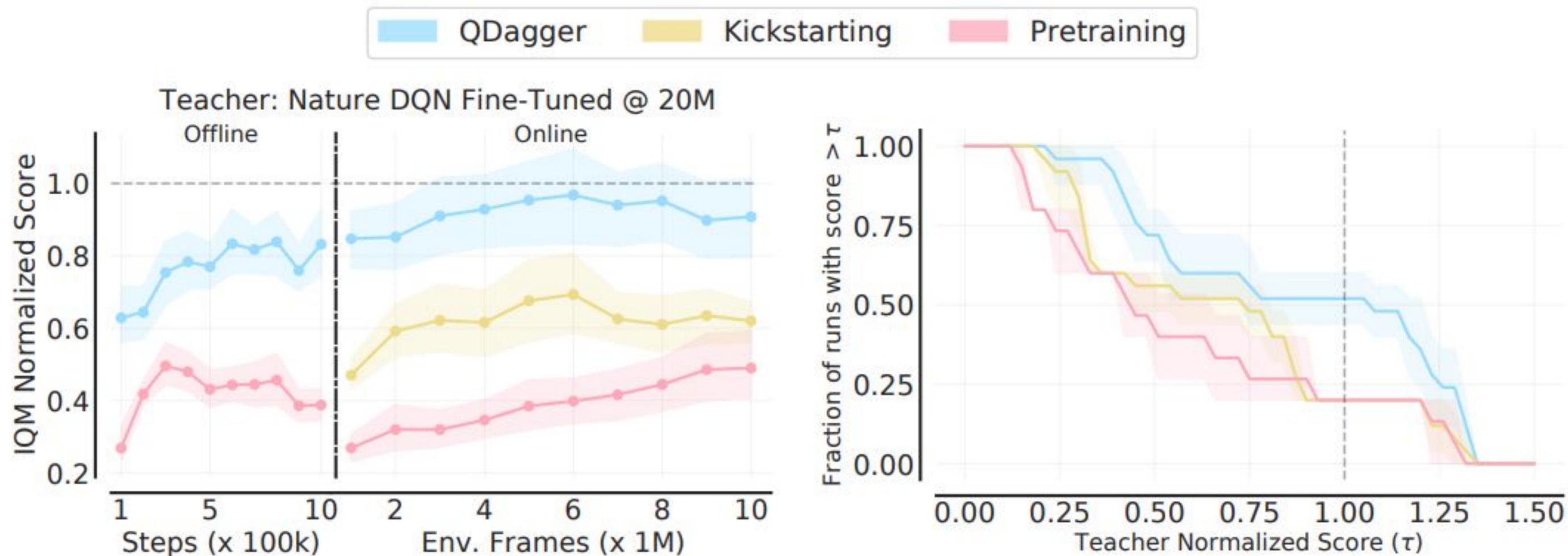


Dependence of Prior Computation

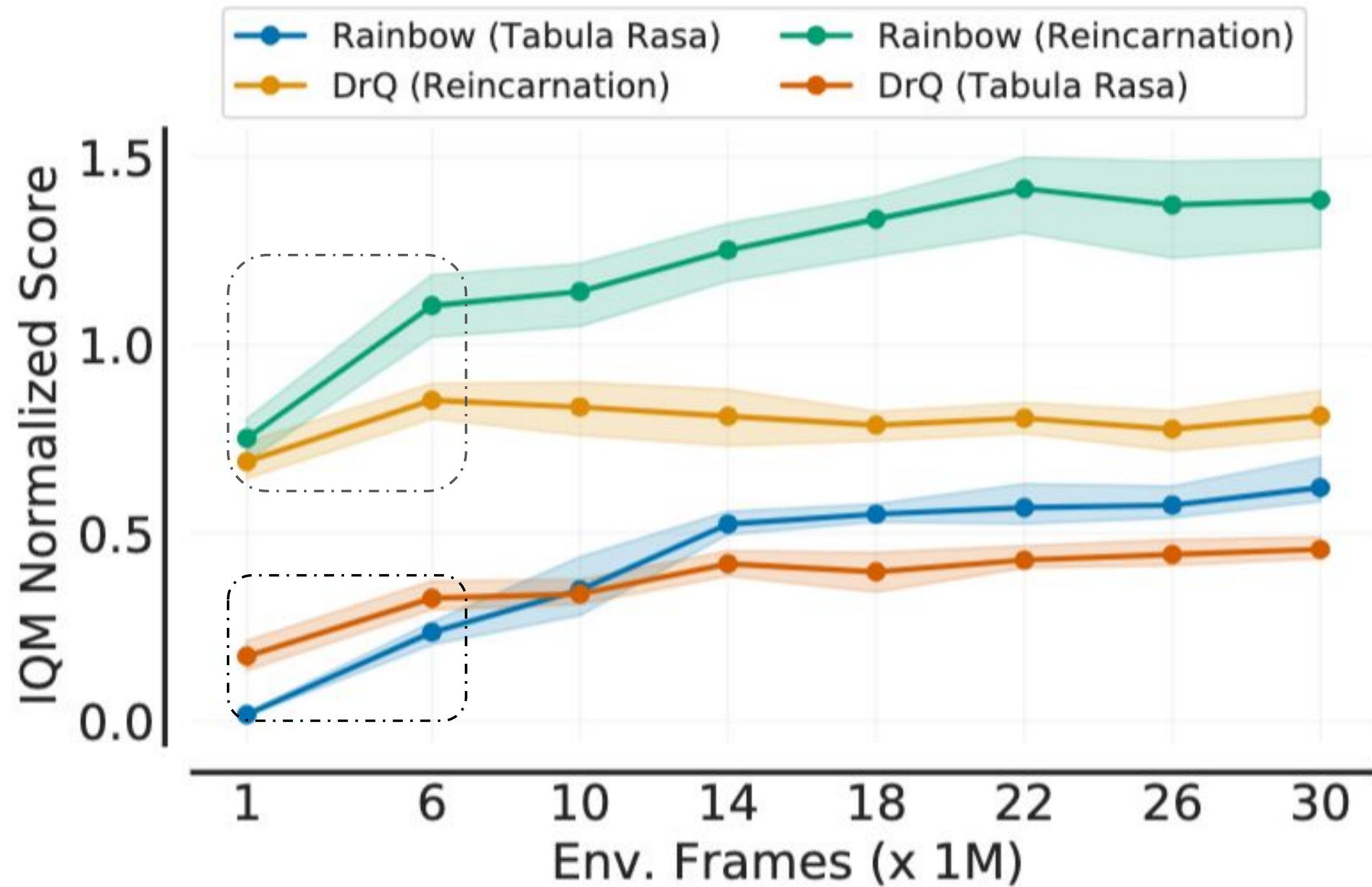
DQN → Impala-CNN Rainbow (Reincarnation)



Reproducibility: Algorithmic Ranking is consistent.



Benchmarking Differences with Tabula Rasa





"If I have seen
further than
others, it is by
standing upon the
shoulders of
giants."

- Sir Isaac Newton