

# Going Beyond Persistent Homology Using Persistent Homology

**Johanna Immonen**



University of Helsinki

**Amauri Souza**



Aalto University  
Federal Institute of Ceará

**Vikas Garg**



Aalto University  
YaiYai Ltd

# Persistent homology (PH)

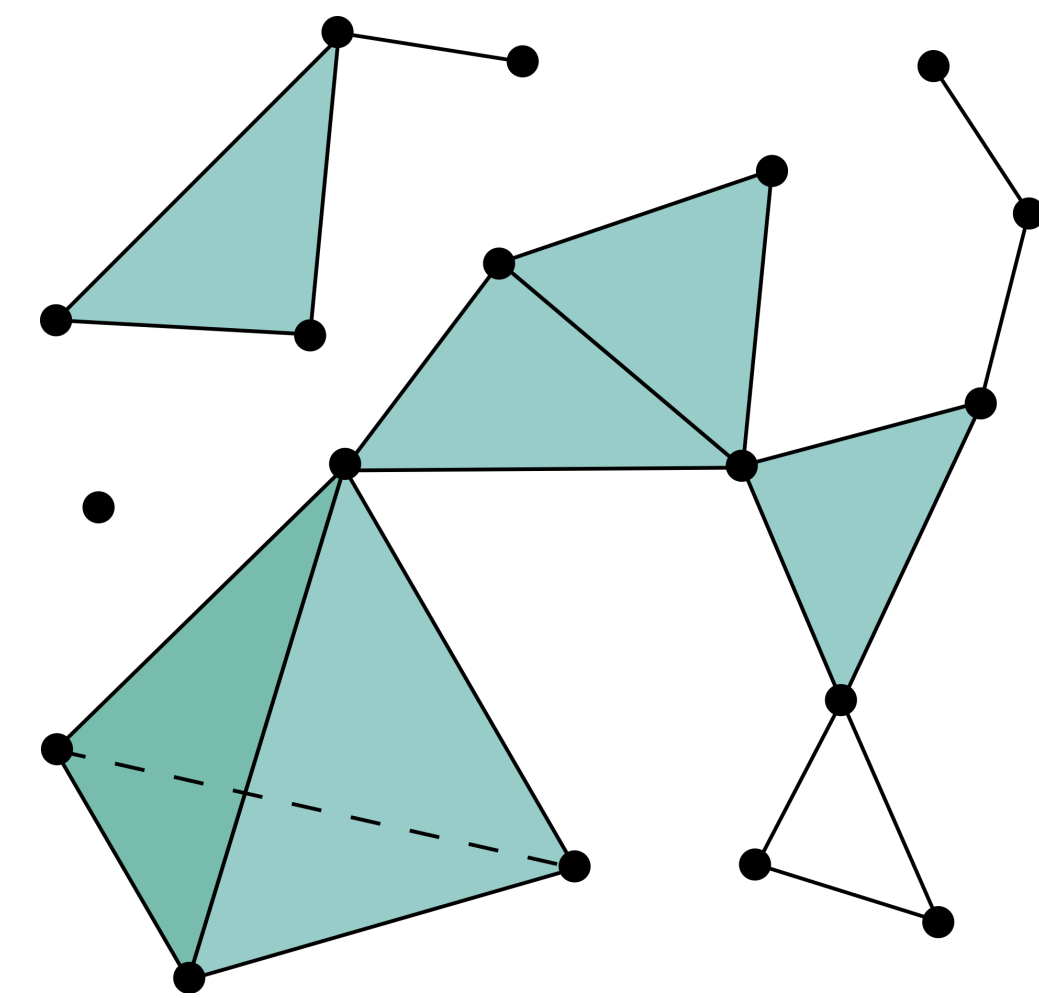
An approach to extract detailed **topological features** (e.g., persistence of connected components or cycles) of simplicial complexes (e.g., **graphs**).

## Basic idea:

- 1) Obtain a **filtration** (i.e., sequence of sub-complexes) by applying a filtering function on simplices (elements of the original complex);
- 2) Keep track of the appearance (birth) and disappearance (death) of topological features, obtaining the so-called **persistence diagrams**.

Among other applications, PH has been successfully employed as a feature extractor in many disciplines, such as Astrophysics, Computer Vision, and Bioinformatics.

A simplicial complex.



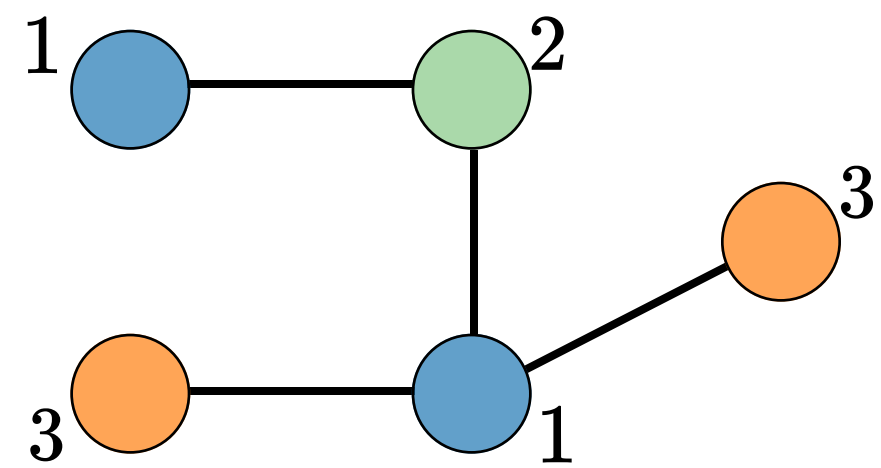
Source: Wikipedia

# Persistent homology on graphs

Colors/features

**Vertex-color Filtrations:** Nested sequence of subgraphs  $\emptyset = G^{(0)} \subseteq G^{(1)} \subseteq \dots \subseteq G$  induced by  $f: X \rightarrow (0, \infty)$

Attributed graph



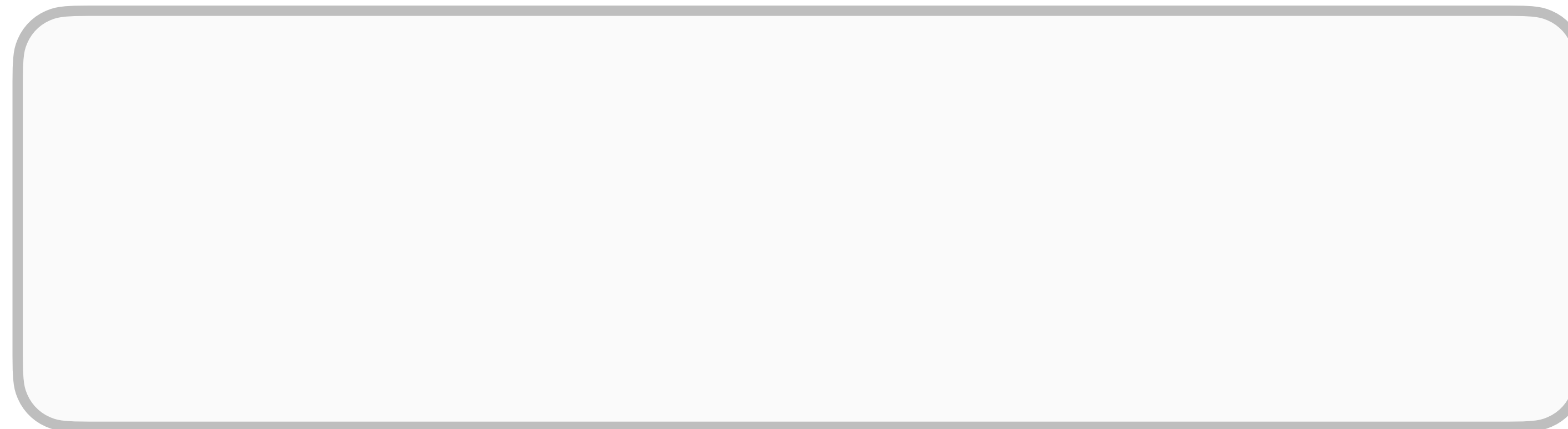
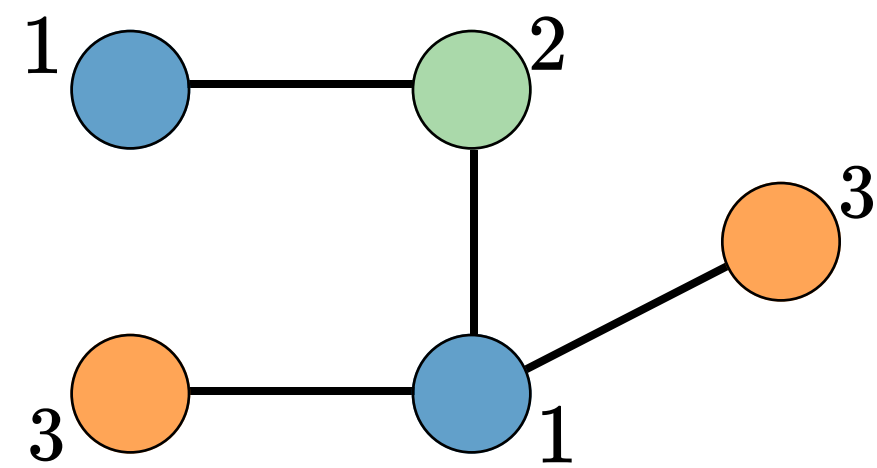
# Persistent homology on graphs

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**Vertex-color Filtrations:** Nested sequence of subgraphs  $\emptyset = G^{(0)} \subseteq G^{(1)} \subseteq \dots \subseteq G$  induced by  $f: X \rightarrow (0, \infty)$

Filtration induced by: ●  $\mapsto 1$    ●  $\mapsto 2$    ●  $\mapsto 3$

Attributed graph

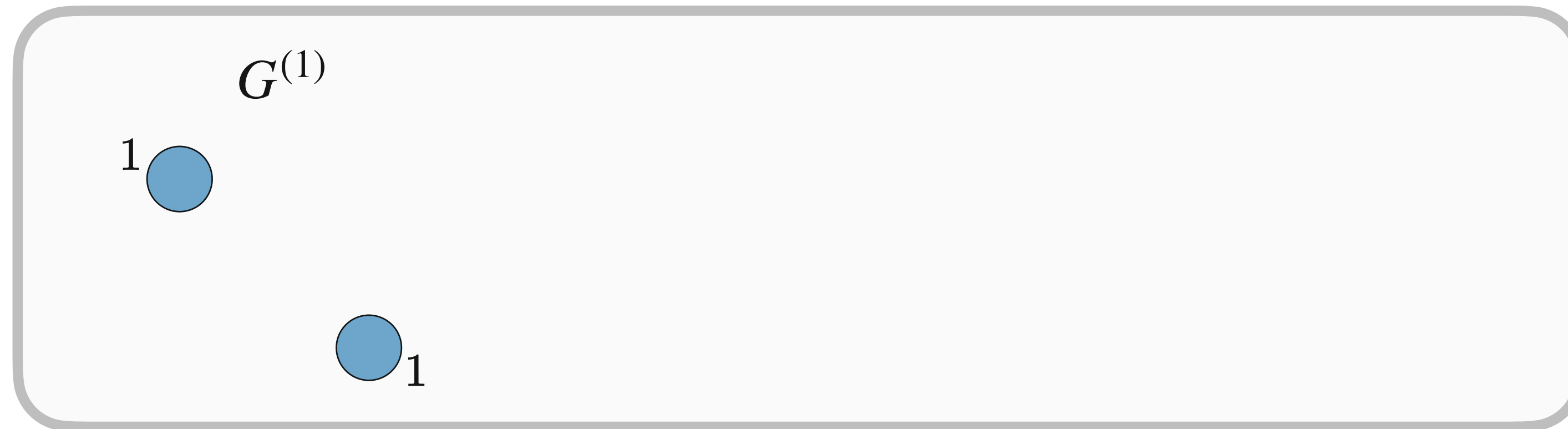
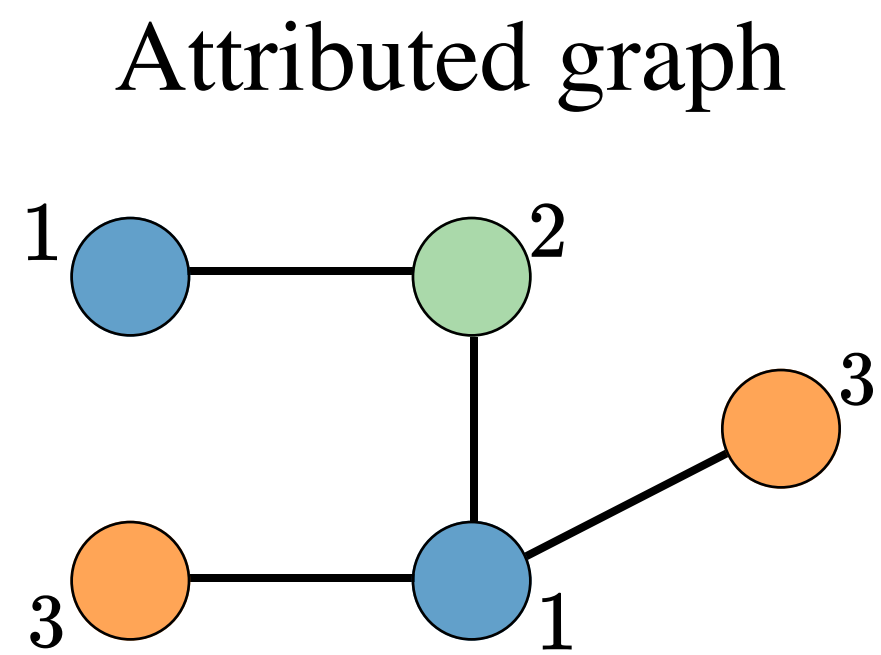


# Persistent homology on graphs

Colors/features  
↖

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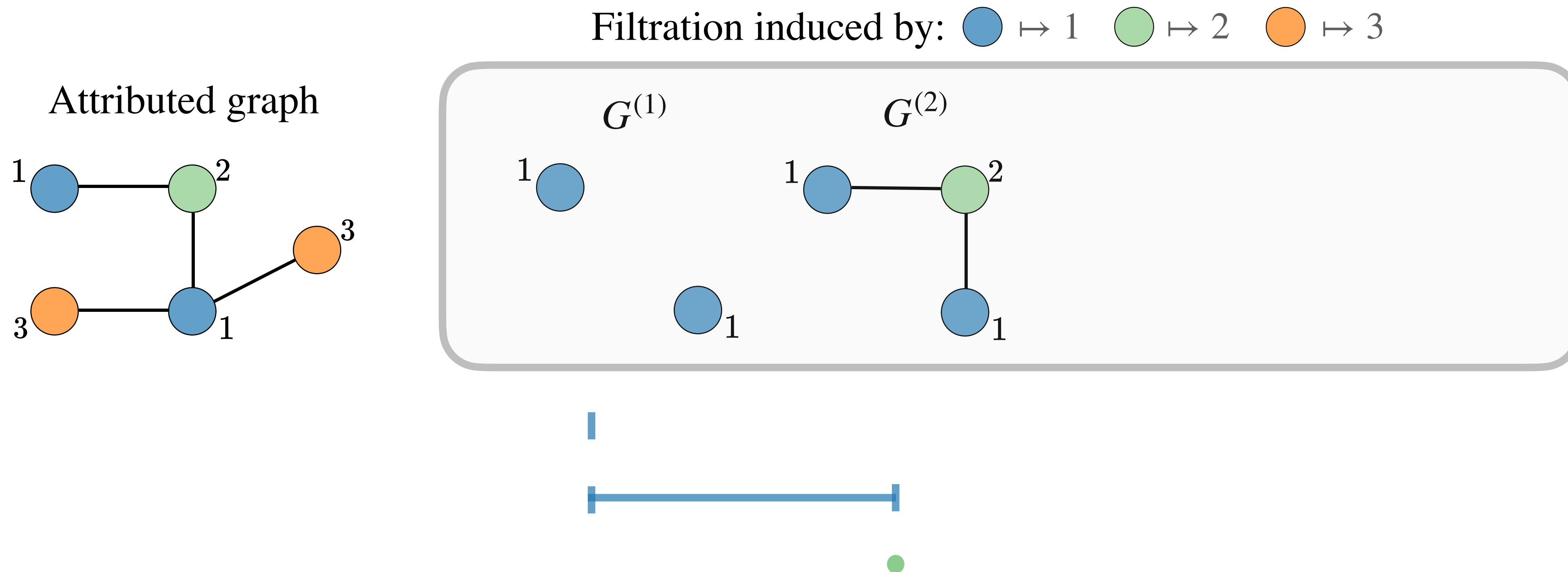
Filtration induced by:  $\bullet \mapsto 1$     $\bullet \mapsto 2$     $\bullet \mapsto 3$



# Persistent homology on graphs

Colors/features  
↙

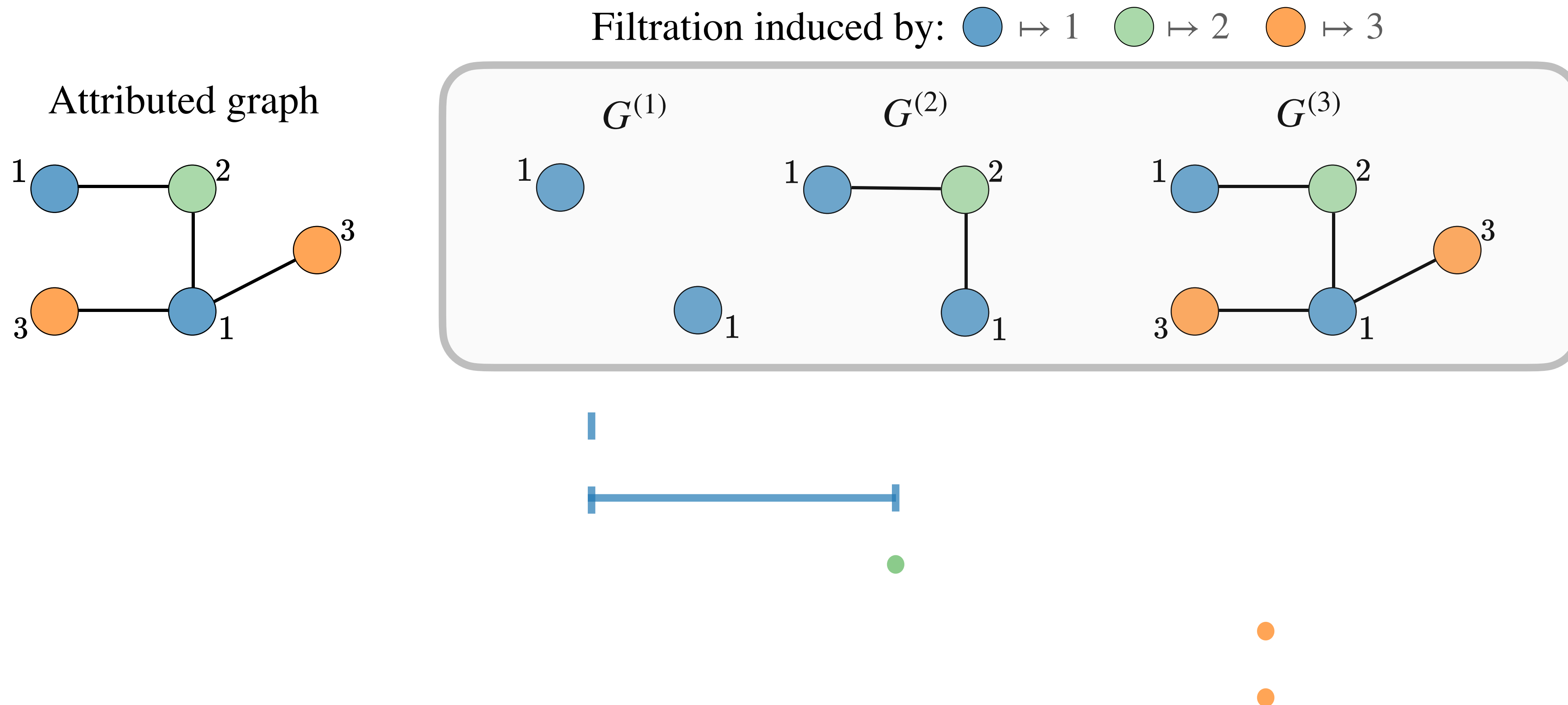
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# Persistent homology on graphs

Colors/features

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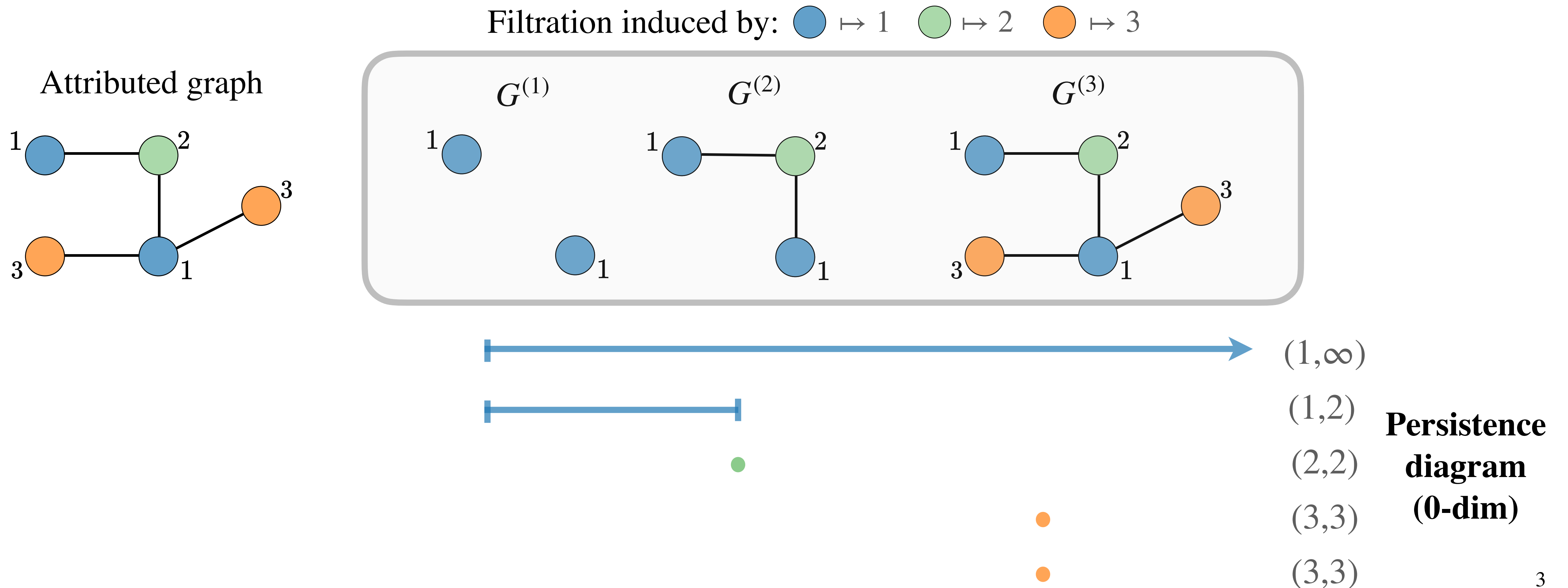




# Persistent homology on graphs

Colors/features  
↙

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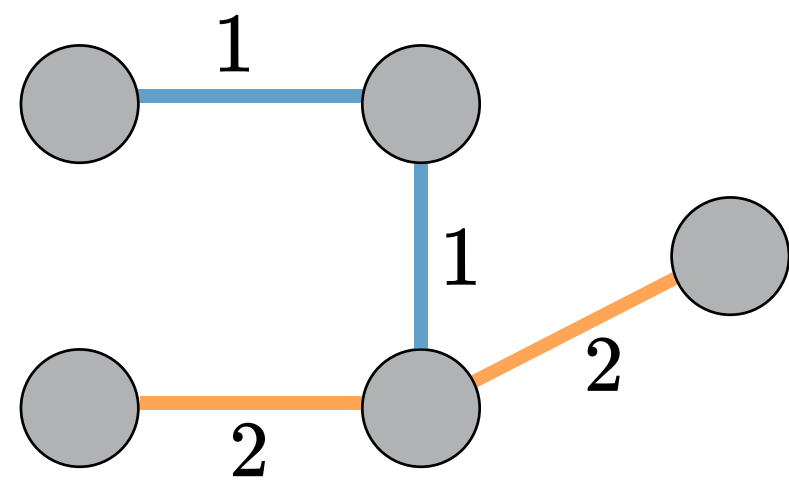




# Persistent homology on graphs (cont.)

## Edge-color Filtrations

Edge-colored graph

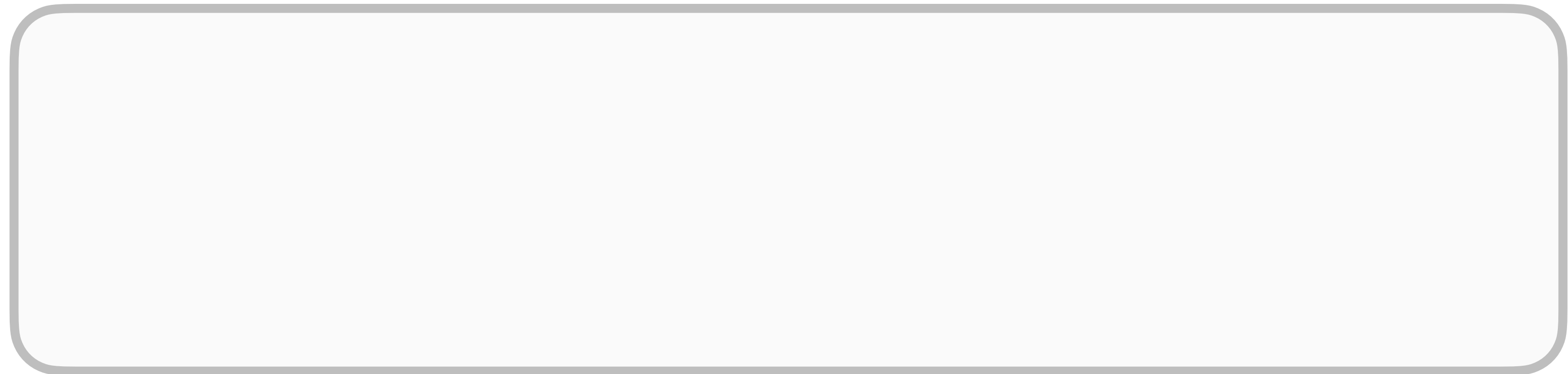
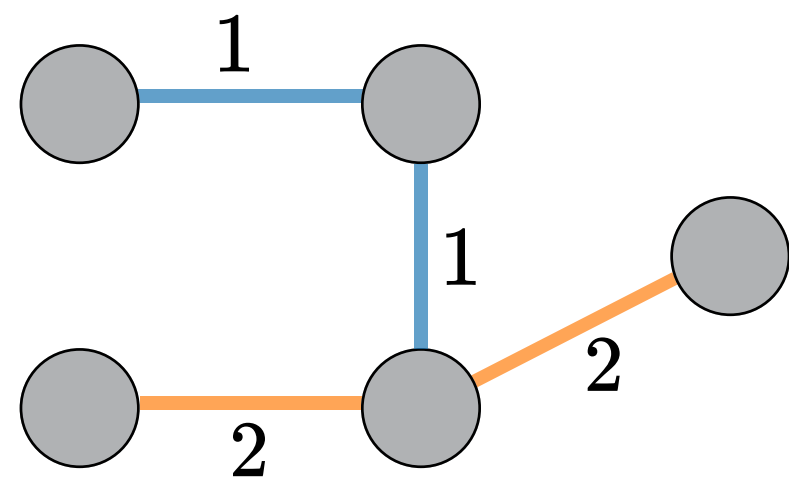


# Persistent homology on graphs (cont.)

## Edge-color Filtrations

Filtration induced by:   $\mapsto 1$    $\mapsto 2$

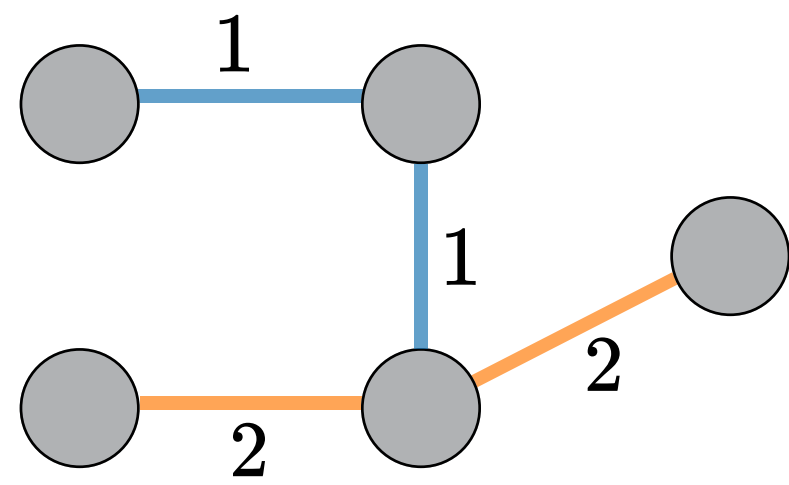
Edge-colored graph



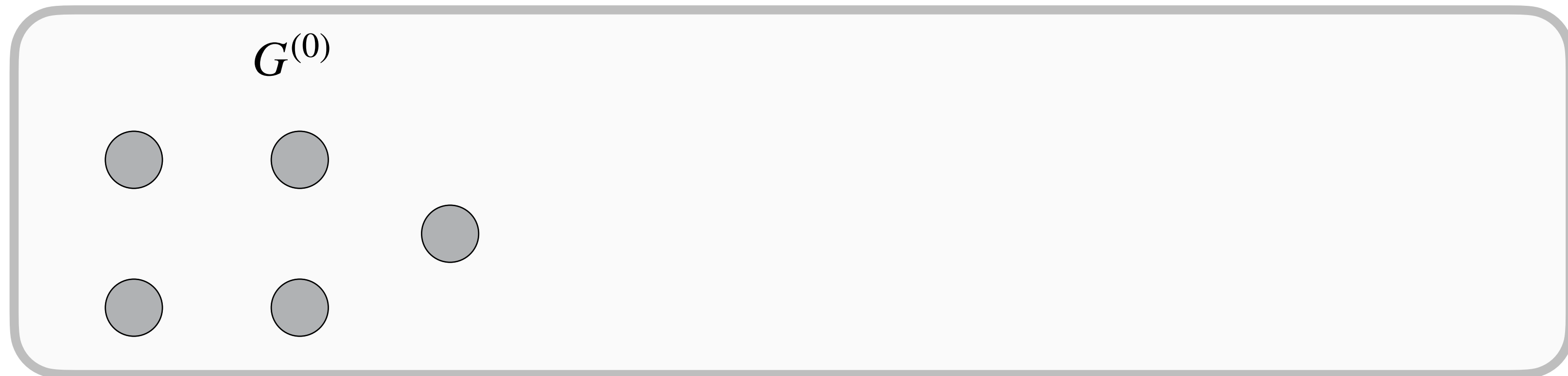
# Persistent homology on graphs (cont.)

## Edge-color Filtrations

Edge-colored graph



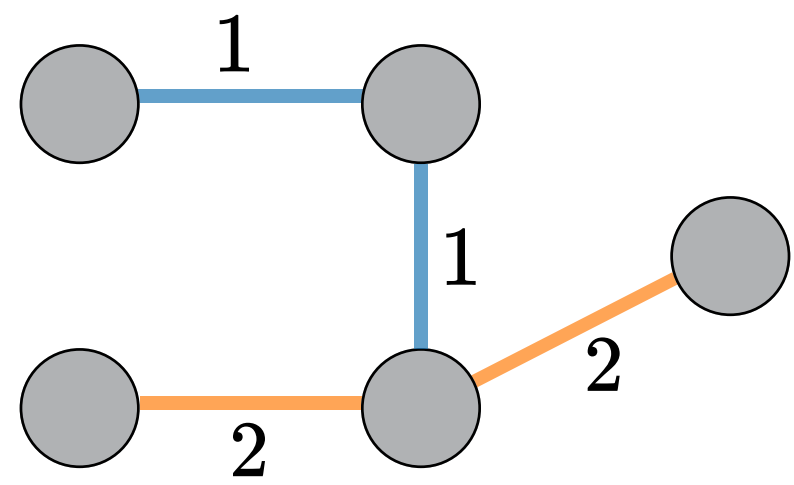
Filtration induced by:   $\mapsto 1$    $\mapsto 2$



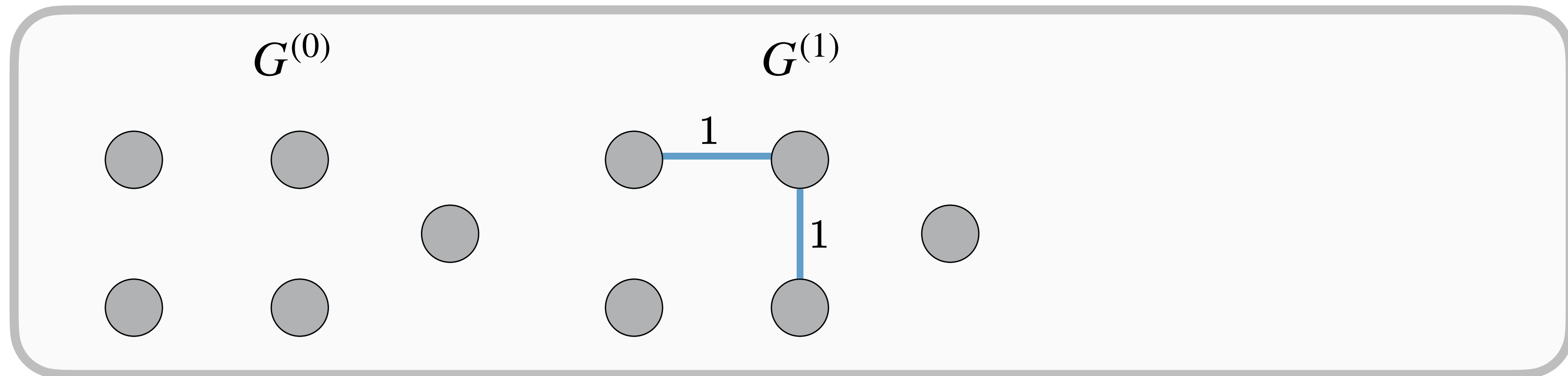
# Persistent homology on graphs (cont.)

## Edge-color Filtrations

Edge-colored graph

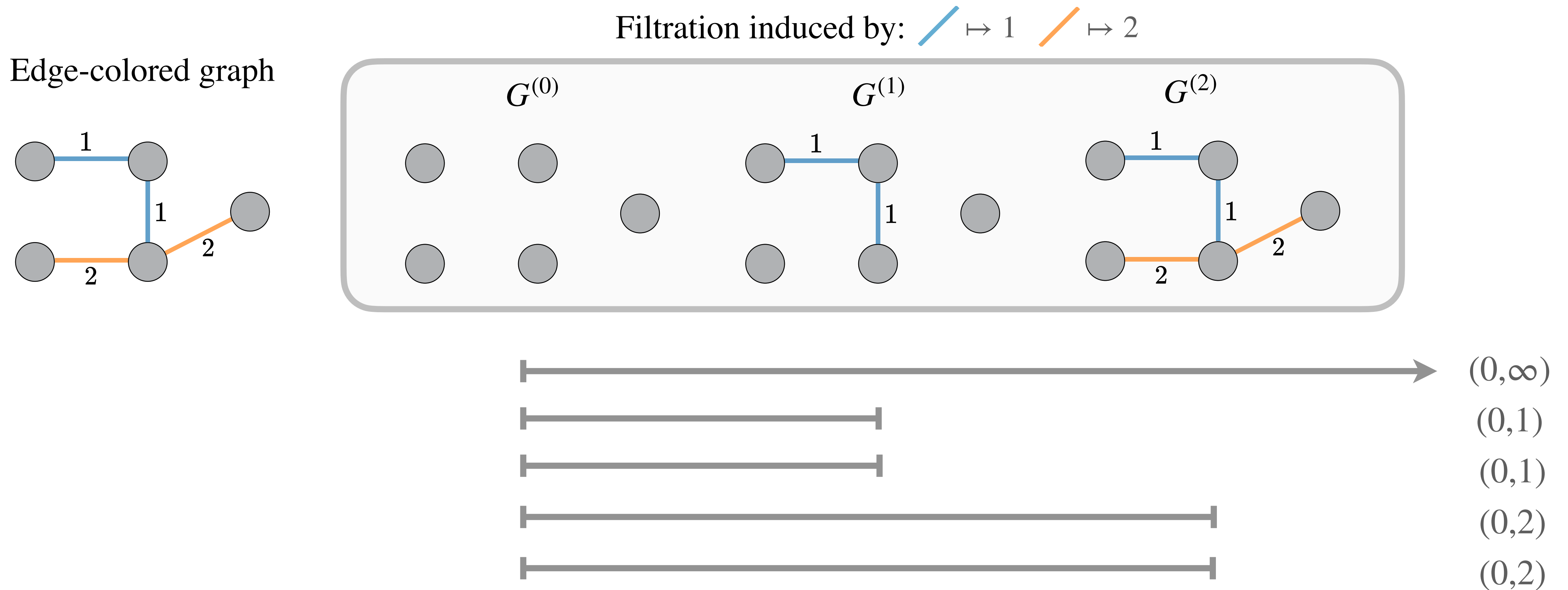


Filtration induced by:   $\mapsto 1$    $\mapsto 2$



# Persistent homology on graphs (cont.)

## Edge-color Filtrations



# Motivation

**Persistent homology** has been used to **boost the predictive capabilities of** graph neural networks (**GNNs**).

However, while the expressivity of GNNs is well-understood (e.g., in terms of the Weisfeiler-Leman test), **the theoretical underpinnings of PH on graphs is less explored.**

In this work, we want to answer two fundamental **open questions**:

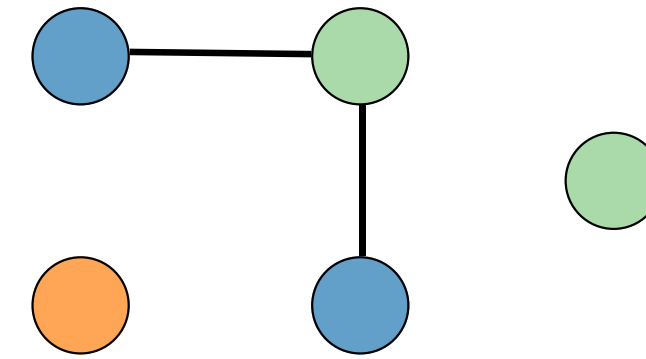
- Q1: What is the expressive power of persistent homology (from vertex-color filtrations) on graphs?
- Q2: Can we design more expressive persistence diagrams?

What is the expressive power of persistent homology on graphs?



# An important notion: **color-separating sets**

**Component-wise colors:** The multiset comprising the set of colors of each connected component.

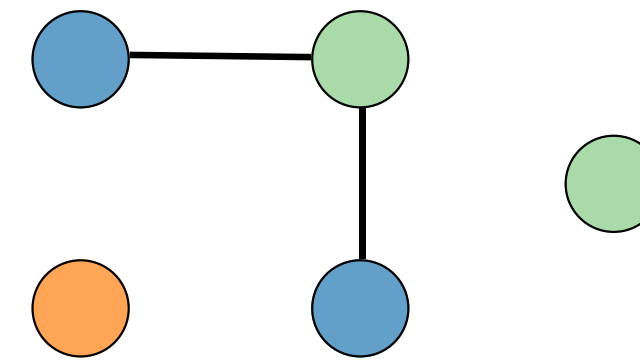


Component-wise colors:

$\{\{\text{blue}, \text{green}\}, \{\text{orange}\}, \{\text{green}\}\}$

# An important notion: color-separating sets

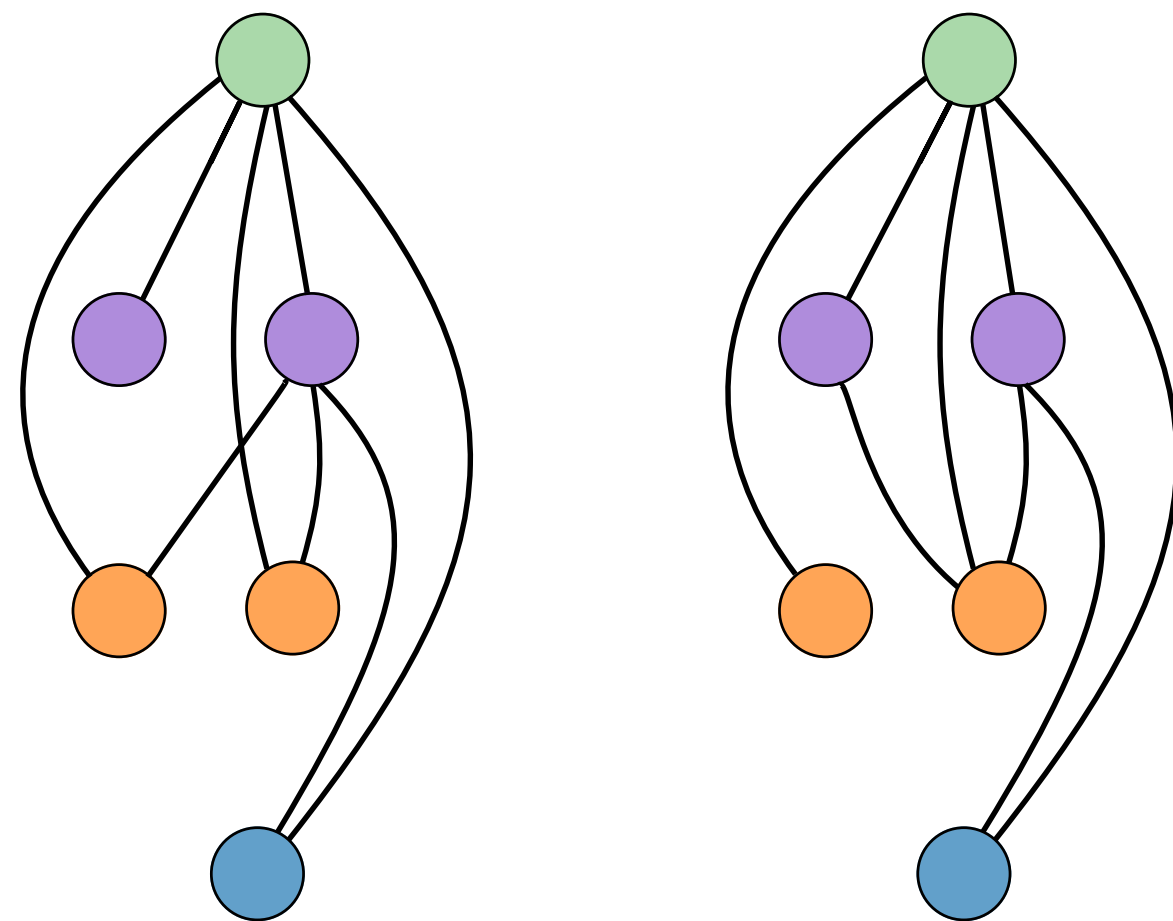
**Component-wise colors:** The multiset comprising the set of colors of each connected component.



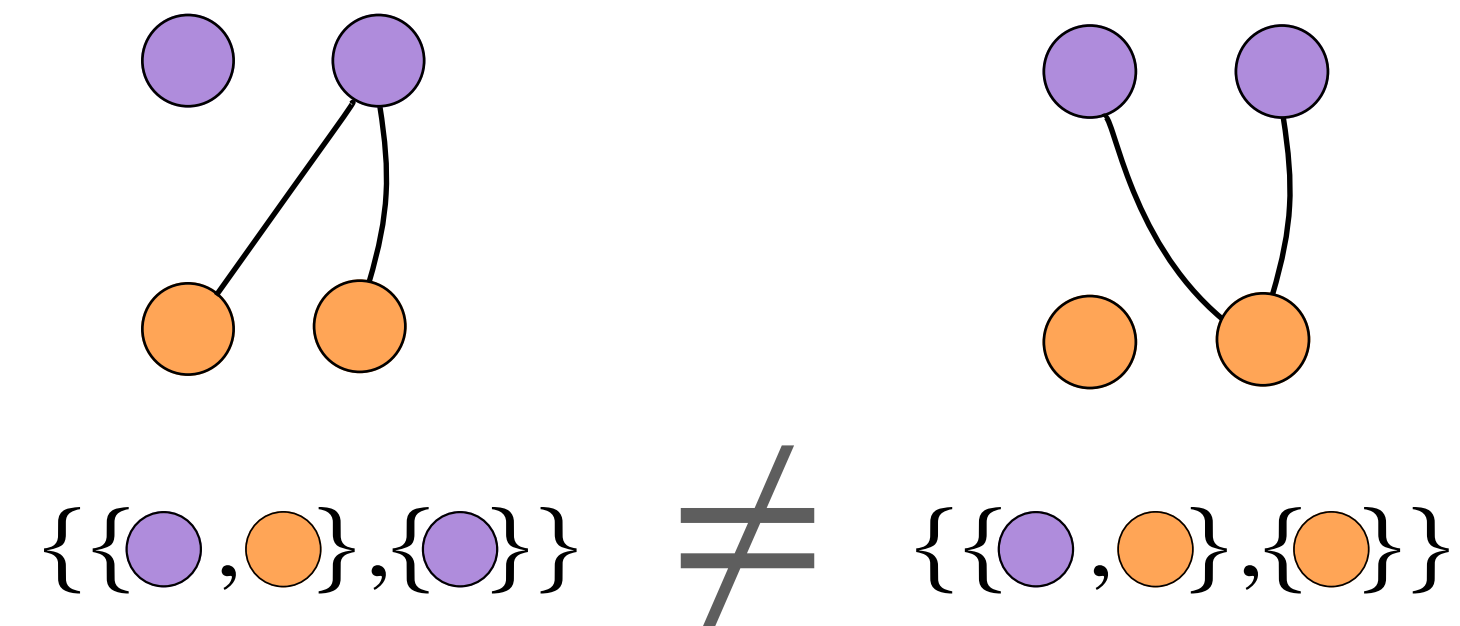
Component-wise colors:

$$\{\{\text{blue}, \text{green}\}, \{\text{orange}\}, \{\text{green}\}\}$$

A **color-separating set** for a pair of graphs  $(G, G')$  is a set of colors  $Q$  such that, if we remove  $Q$  from  $G$  and  $G'$ , we obtain subgraphs with **distinct component-wise colors**.



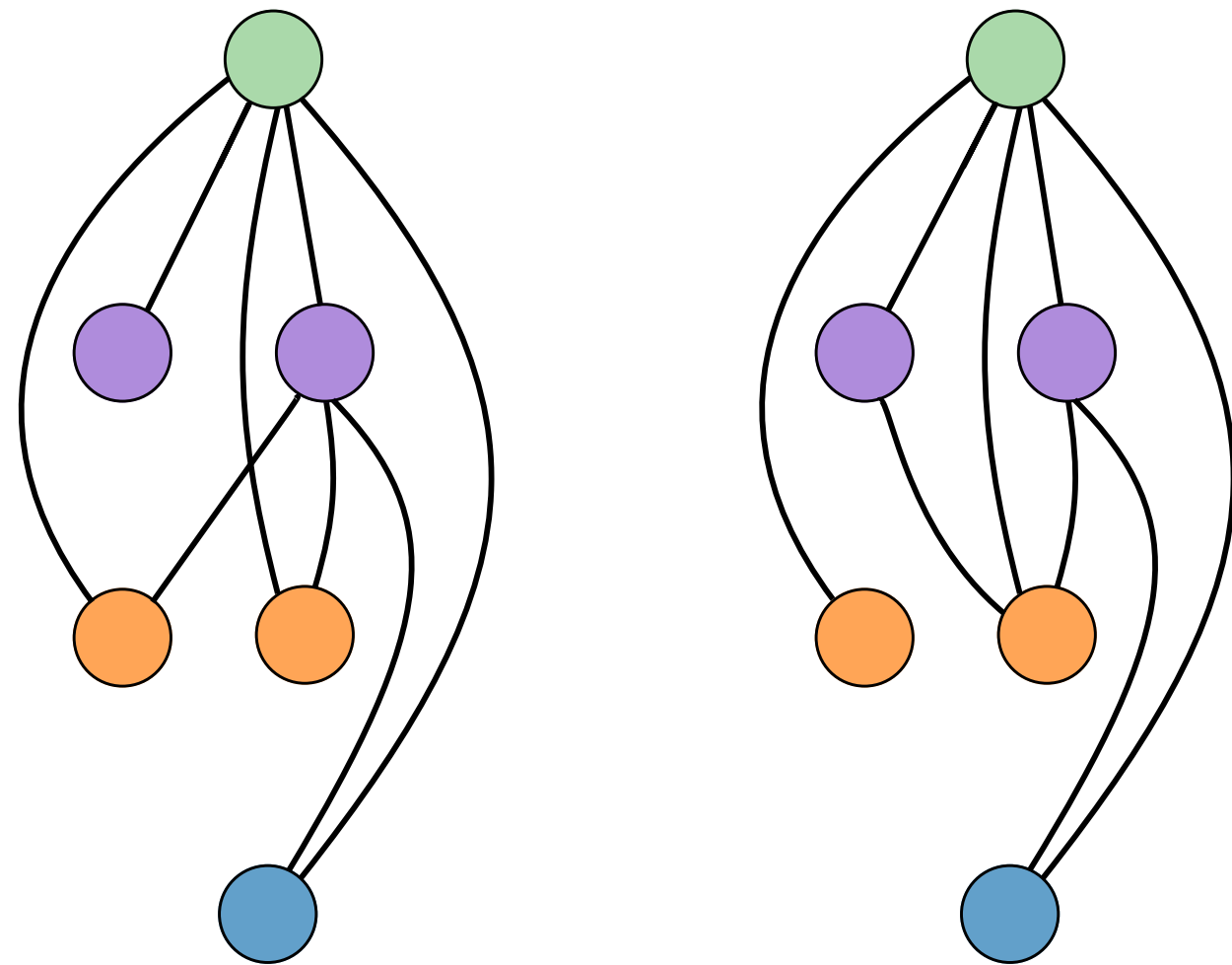
Removing **blue** and **green**, we obtain...



Thus,  $\{\text{blue}, \text{green}\}$  is a color-separating set!

# Theorem 1: On the power of vertex-color filtrations

We can obtain different vertex-color (0-dim) diagrams if and only if there is a color-separating set.

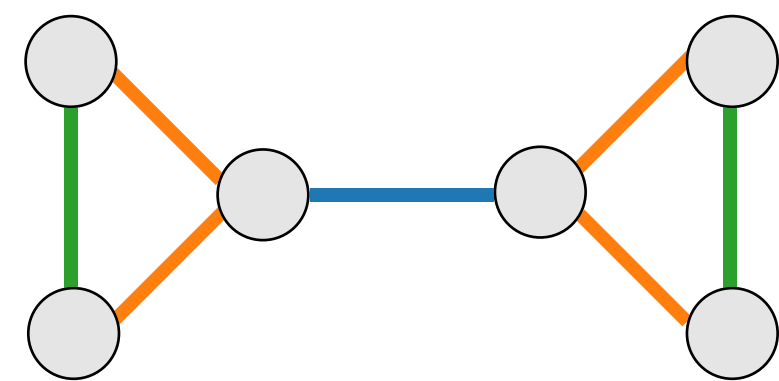
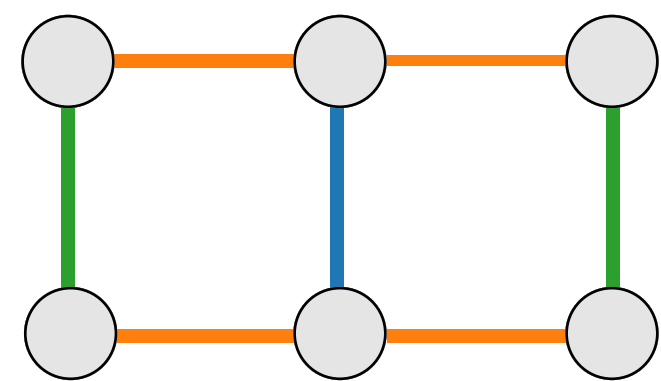


Can PH based on vertex-color filtrations distinguish these graphs?

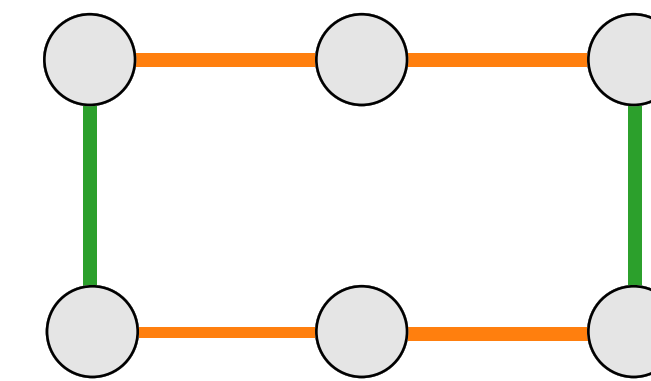
**Yes!!**  $\{\text{blue}, \text{green}\}$  is a color-separating set!

# Another important notion: **color-disconnecting sets**

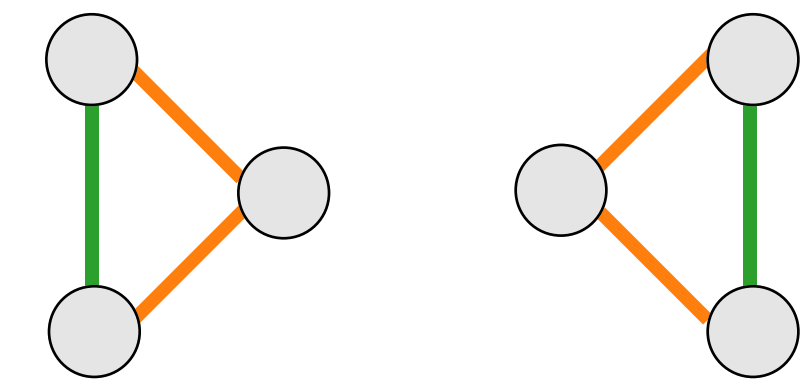
A **color-disconnecting set** for a pair of graphs  $(G, G')$  is a set of colors  $Q$  such that, if we remove edges of colors  $Q$  from  $G$  and  $G'$ , we obtain subgraphs with **different number of connected components**.



Removing **blue**,  
we obtain...



1 component

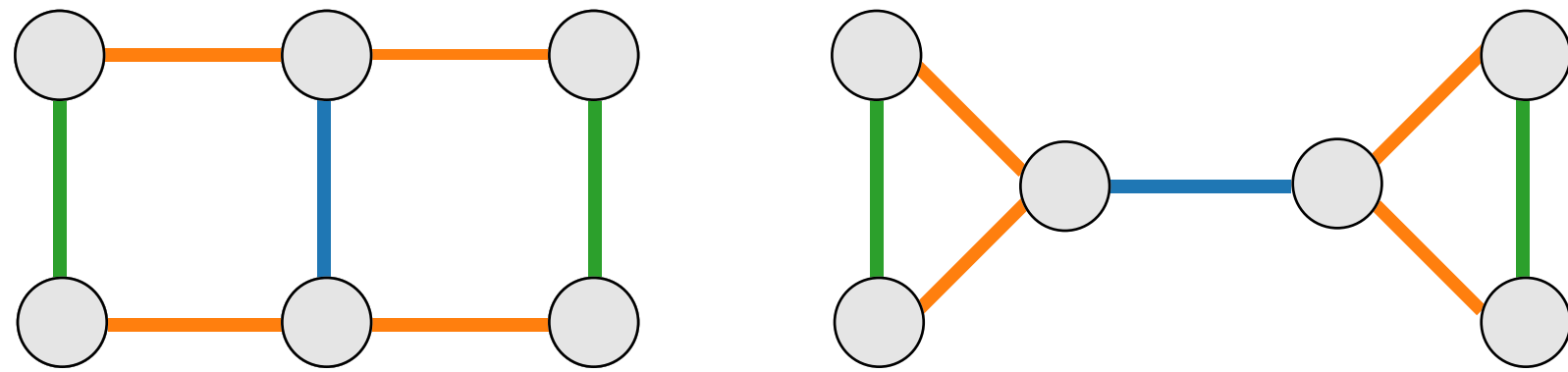


2 components

Thus,  $Q = \{\mathbf{blue}\}$  is a color-disconnecting set!

## Theorem 2: On the power of edge-color filtrations

We can obtain different edge-color (0-dim) diagrams if and only if there is a color-disconnecting set.

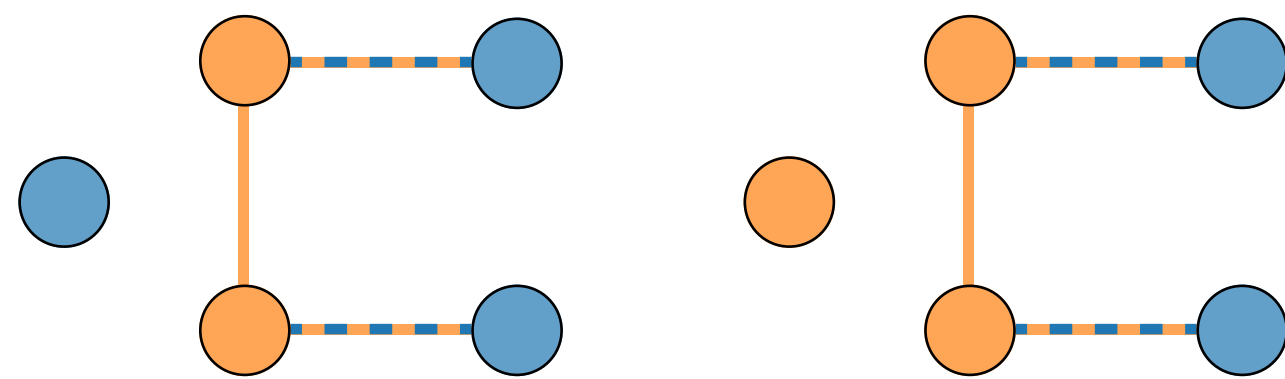


Can PH based on edge-color filtrations distinguish these graphs?

Yes!!  $Q = \{\text{blue}\}$  is a color-disconnecting set!

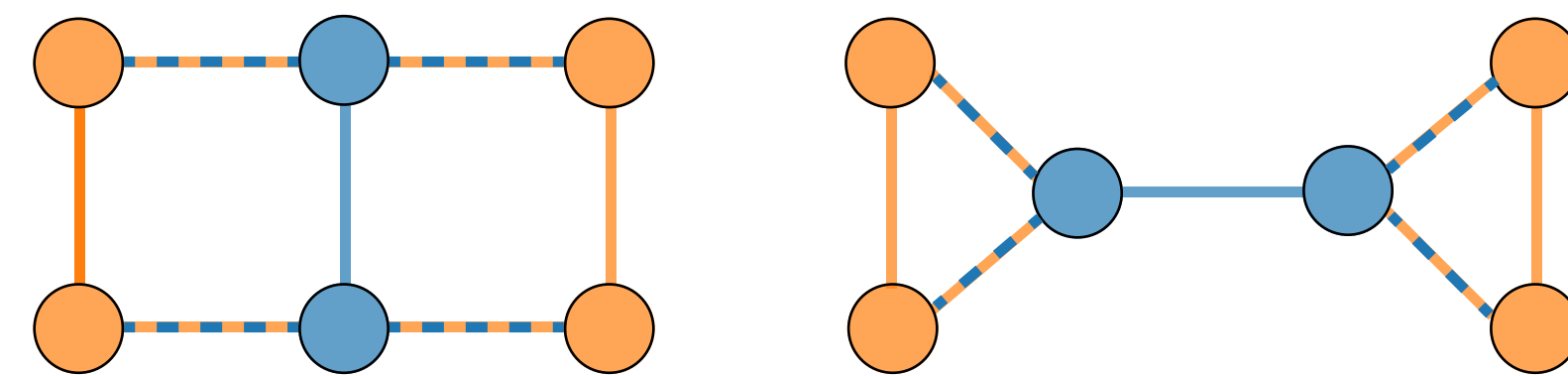
# Theorem 3: Vertex-color vs. edge-color filtrations

There exist non-isomorphic graphs that vertex-color filtrations can distinguish but edge-color filtrations cannot, and vice-versa.



Vertex-color **succeeds**

Edge-color **fails**



Vertex-color **fails**

Edge-color **succeeds**

Can we design more expressive  
persistence diagrams?





# RePHINE (Refining PH by Incorporating Node-color into Edge-based filtration)

**Idea:** Given independent vertex- and edge-color filtration functions  $(f_v, f_e)$ , we augment persistence diagrams from edge-color filtrations with vertex-color information.

Original birth and death time (from edge-color filtration)

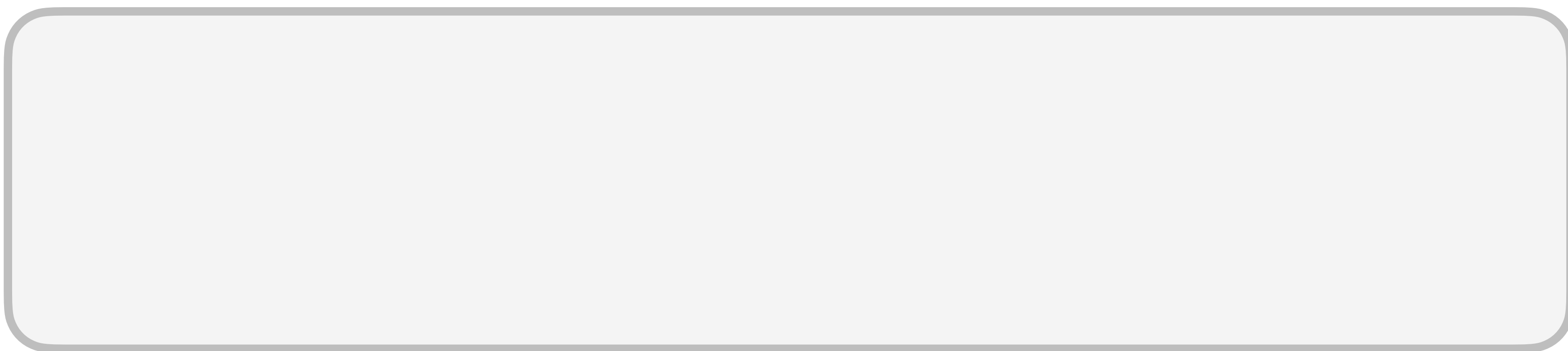
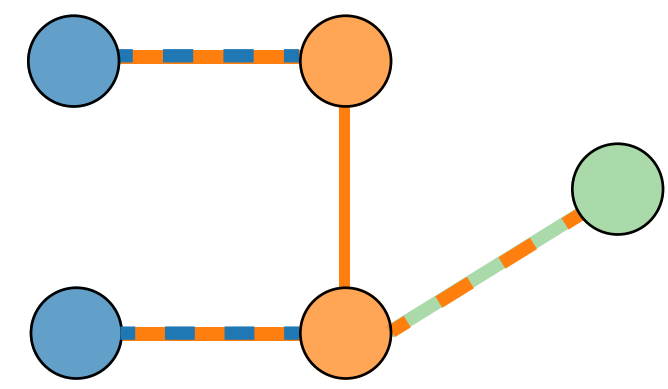
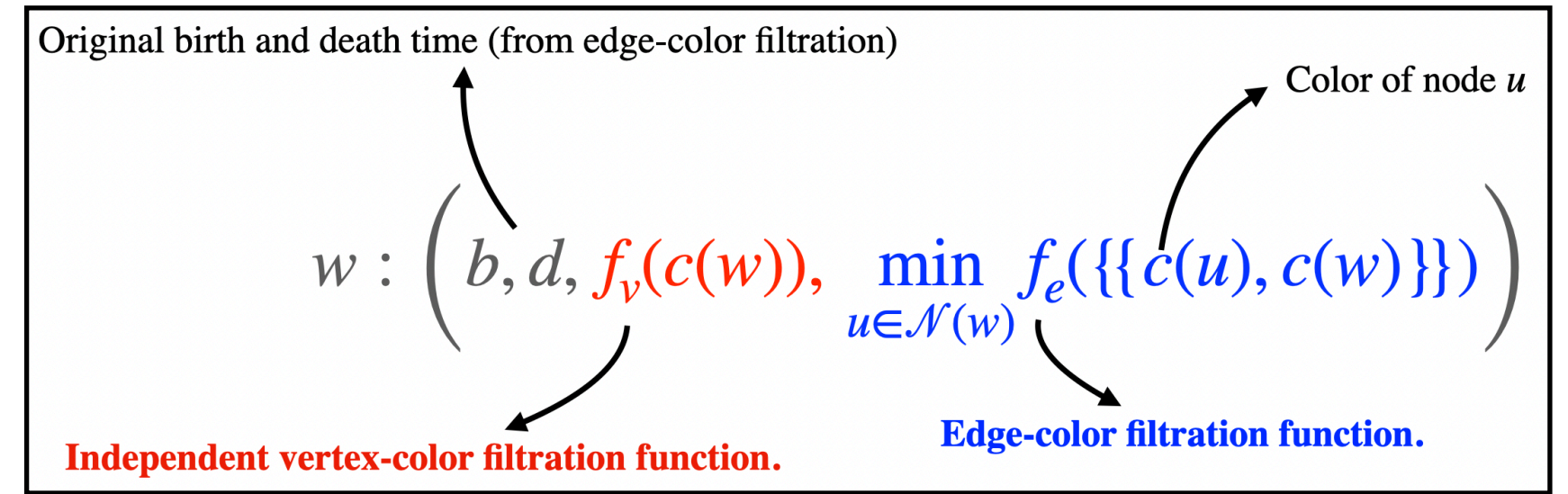
$$w : \left( b, d, f_v(c(w)), \min_{u \in \mathcal{N}(w)} f_e(\{c(u), c(w)\}) \right)$$

**Independent vertex-color filtration function.**

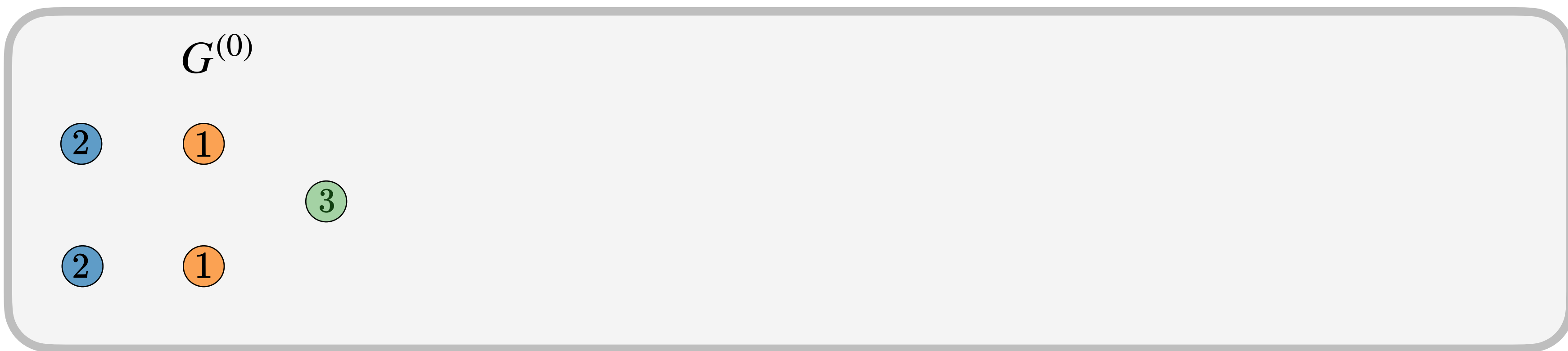
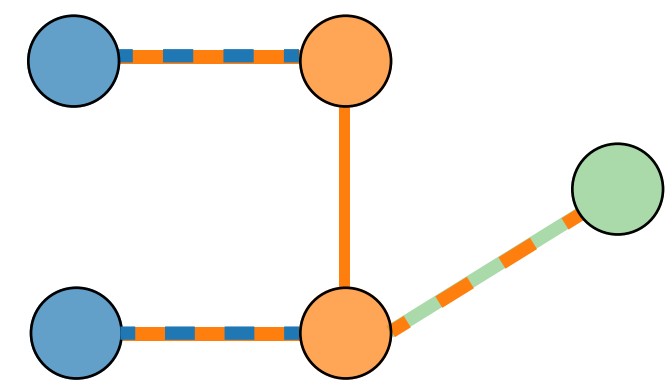
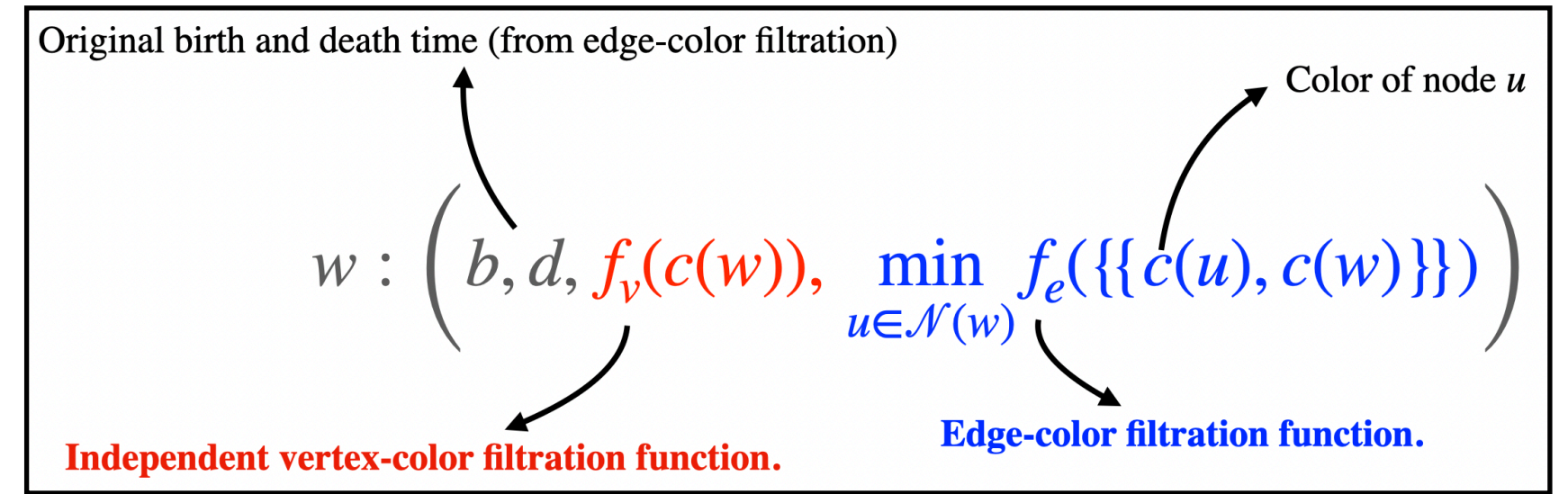
**Edge-color filtration function.**

Color of node  $u$

# Building RePHINE diagrams



# Building RePHINE diagrams



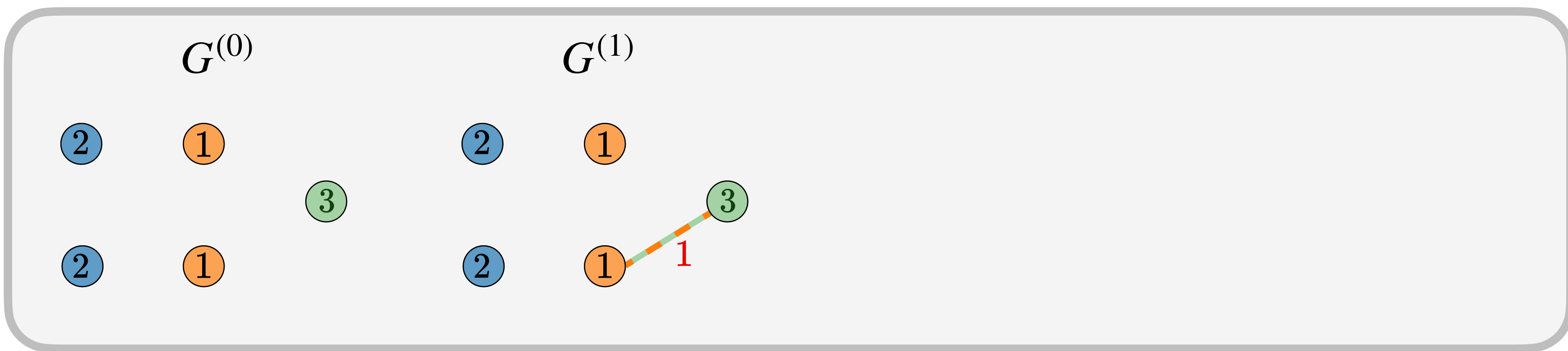
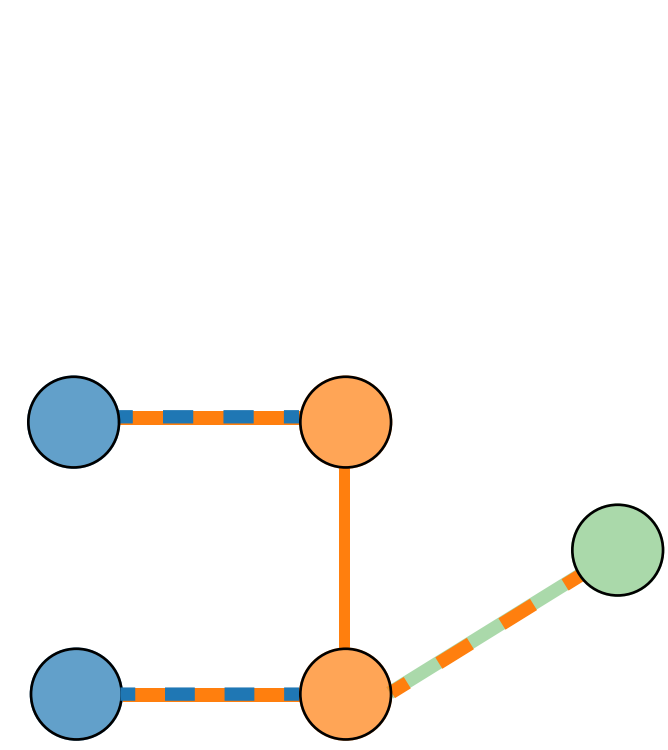
# Building RePHINE diagrams

Original birth and death time (from edge-color filtration)

$$w : \left( b, d, f_v(c(w)), \min_{u \in \mathcal{N}(w)} f_e(\{c(u), c(w)\}) \right)$$

Independent vertex-color filtration function.      Edge-color filtration function.

Color of node  $u$

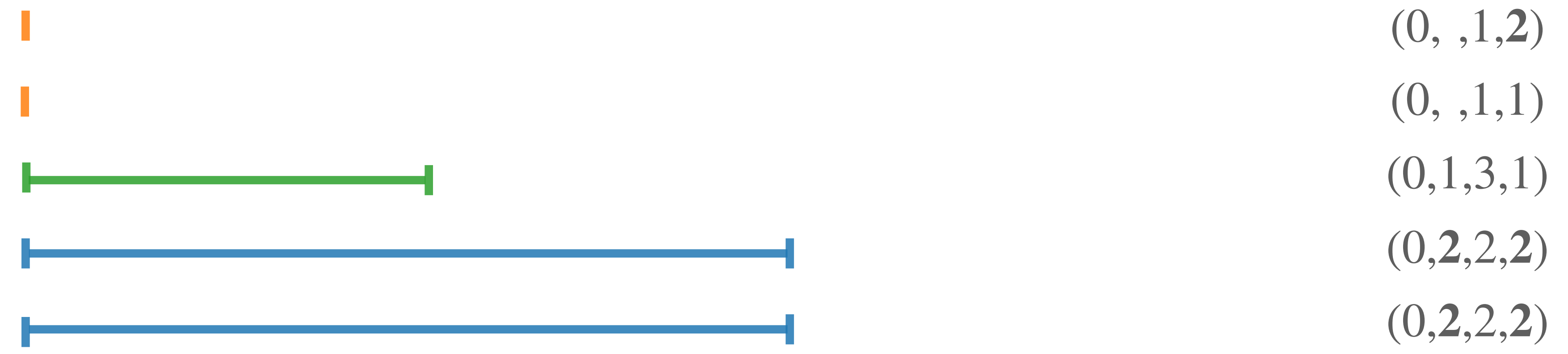
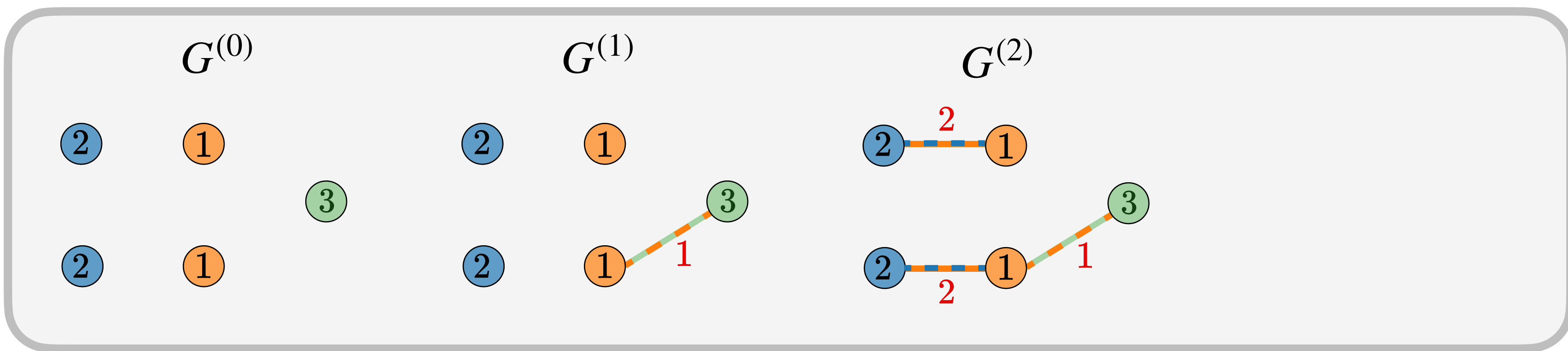
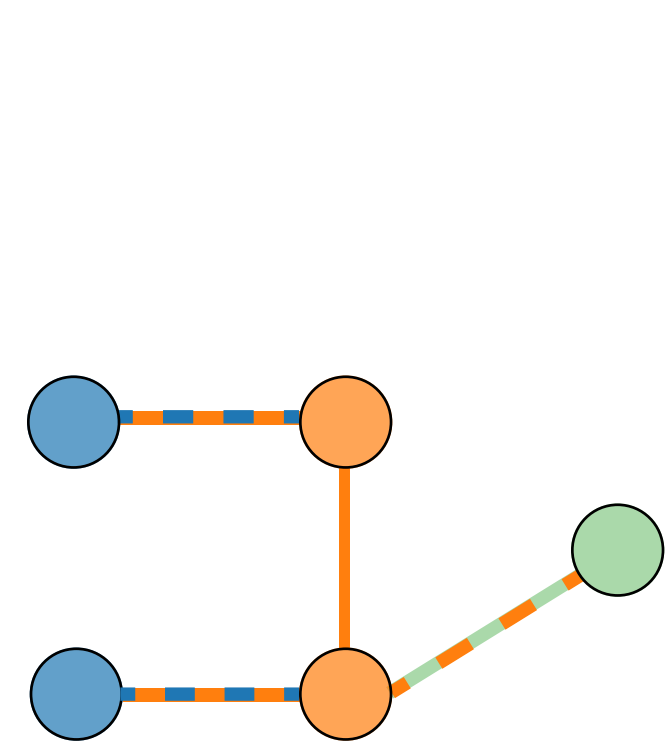


# Building RePHINE diagrams

$$w : \left( b, d, f_v(c(w)), \min_{u \in \mathcal{N}(w)} f_e(\{c(u), c(w)\}) \right)$$

Original birth and death time (from edge-color filtration) Color of node  $u$

Independent vertex-color filtration function. Edge-color filtration function.



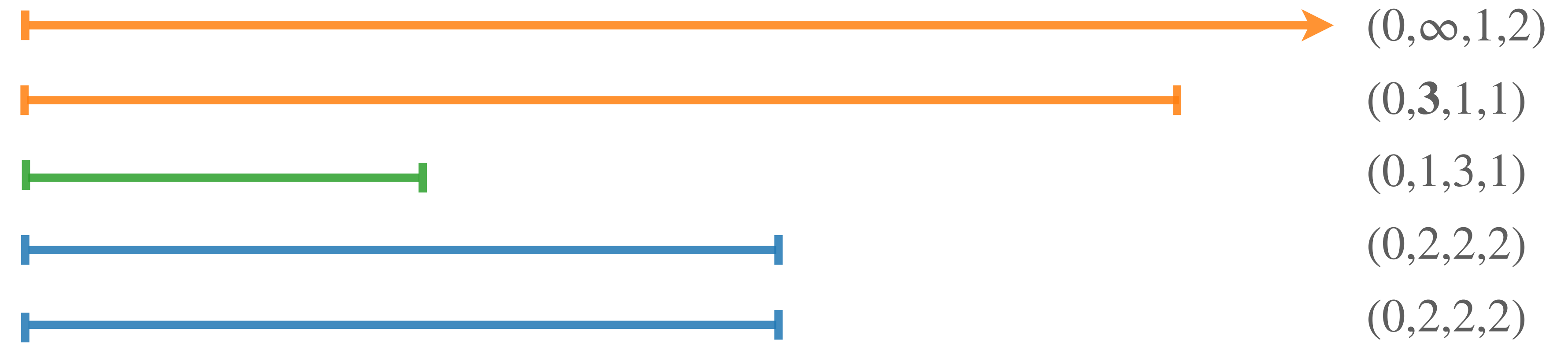
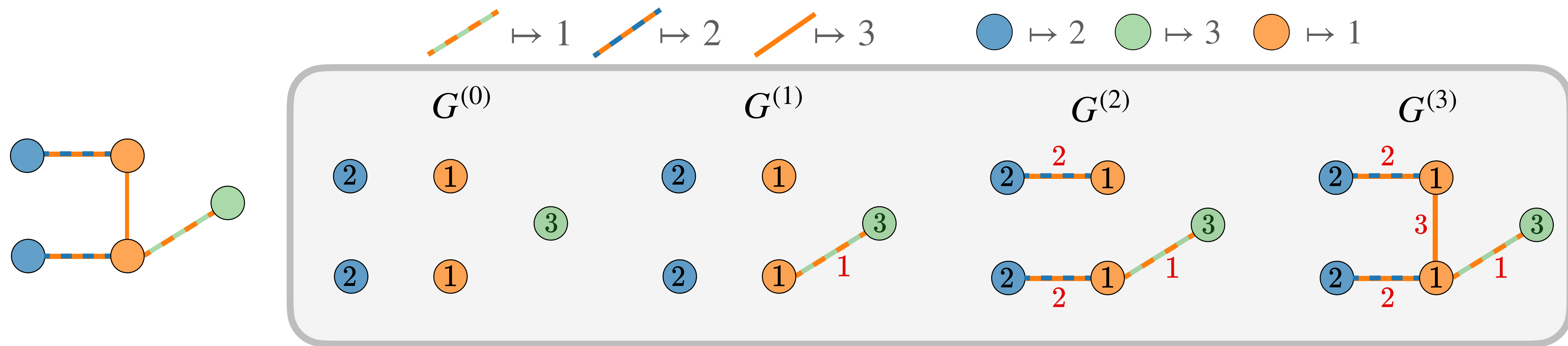


# Building RePHINE diagrams

$$w : \left( b, d, f_v(c(w)), \min_{u \in \mathcal{N}(w)} f_e(\{c(u), c(w)\}) \right)$$

Original birth and death time (from edge-color filtration) Color of node  $u$

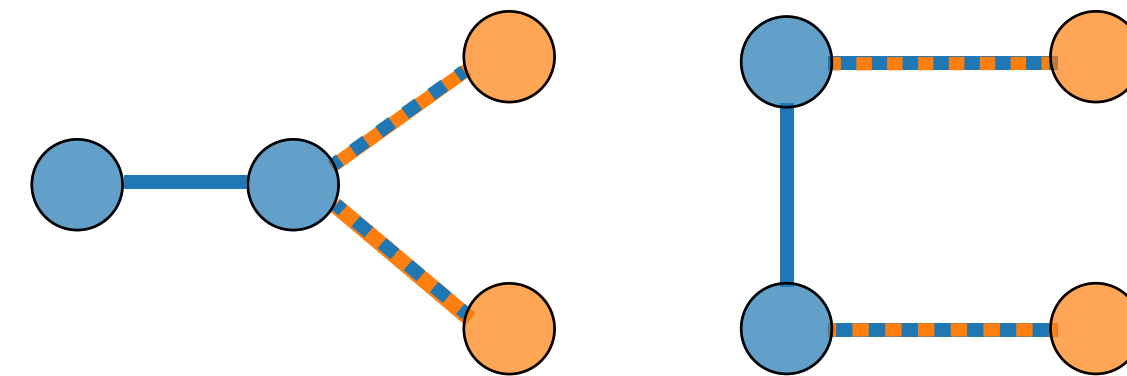
**Independent vertex-color filtration function.** **Edge-color filtration function.**



# Theorem 4: RePHINE vs color-based diagrams

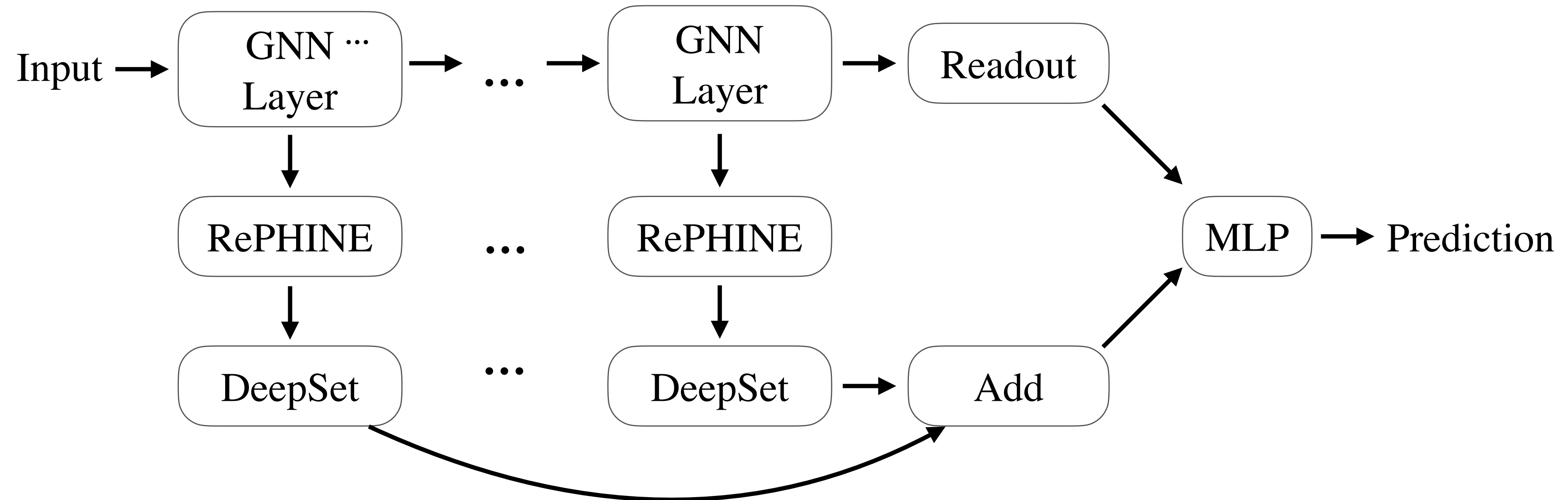
**RePHINE is isomorphism invariant and is strictly more expressive than color-based PH.**

Two graphs that color-based **PH** cannot distinguish, but **RePHINE** can.





# Combining RePHINE and GNNs



# Results on real-world data

We process the persistence diagrams using DeepSets and combine the resulting vectors with GNN embeddings.

Table 1: Predictive performance on graph classification. We denote in bold the best results. For ZINC, lower is better. For most datasets, RePHINE is the best-performing method.

GNN	Diagram	NCI109 $\uparrow$	PROTEINS $\uparrow$	IMDB-B $\uparrow$	NCI1 $\uparrow$	MOLHIV $\uparrow$	ZINC $\downarrow$
GCN	-	76.46 $\pm$ 1.03	70.18 $\pm$ 1.35	64.20 $\pm$ 1.30	74.45 $\pm$ 1.05	74.99 $\pm$ 1.09	0.875 $\pm$ 0.009
	PH	77.92 $\pm$ 1.89	69.46 $\pm$ 1.83	64.80 $\pm$ 1.30	79.08 $\pm$ 1.06	73.64 $\pm$ 1.29	0.513 $\pm$ 0.014
	RePHINE	<b>79.18</b> $\pm$ 1.97	<b>71.25</b> $\pm$ 1.60	<b>69.40</b> $\pm$ 3.78	<b>80.44</b> $\pm$ 0.94	<b>75.98</b> $\pm$ 1.80	<b>0.468</b> $\pm$ 0.011
GIN	-	76.90 $\pm$ 0.80	<b>72.50</b> $\pm$ 2.31	<b>74.20</b> $\pm$ 1.30	76.89 $\pm$ 1.75	70.76 $\pm$ 2.46	0.621 $\pm$ 0.015
	PH	78.35 $\pm$ 0.68	69.46 $\pm$ 2.48	69.80 $\pm$ 0.84	79.12 $\pm$ 1.23	73.37 $\pm$ 4.36	0.440 $\pm$ 0.019
	RePHINE	<b>79.23</b> $\pm$ 1.67	72.32 $\pm$ 1.89	72.80 $\pm$ 2.95	<b>80.92</b> $\pm$ 1.92	<b>73.71</b> $\pm$ 0.91	<b>0.411</b> $\pm$ 0.015

# Wanna know more?

Visit our poster: **#629**

**Thu 14 Dec 10:45 a.m. CST**

Code: [www.github.com/Aalto-QuML/rephine](https://www.github.com/Aalto-QuML/rephine)

## Theoretical contributions of this work

### On vertex-level filtrations (Section 2 and Section 3.1):

Inconsistency issues due to injective vertex filtrations	Lemma 1
Real holes ( $d = \infty$ ) $\cong$ Component-wise colors	Lemma 2
Almost holes ( $b \neq d, d \neq \infty$ ) $\cong$ Separating sets	Lemma 3
Distinct almost holes $\Rightarrow$ Color-separating set	Lemma 4
Birth time of persistence tuples $\cong$ Vertex color	Lemma 5
The expressive power of vertex-color filtrations	Theorem 1

### On edge-level filtrations (Section 3.2):

Almost holes $\cong$ Disconnecting sets	Lemma 6
Reconstruction of disconnecting sets	Lemma 7
The expressive power of edge-color filtrations	Theorem 2

### Vertex-level vs. edge-level filtrations (Section 3.3):

Vertex-level persistence $\not\cong$ edge-level persistence, and vice-versa	Theorem 3
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### New method (RePHINE) (Section 4):

RePHINE is isomorphism invariant	Theorem 4
RePHINE $\succ$ vertex-, edge-, and vertex- $\cup$ edge-level diagrams	Theorem 5

Johanna Immonen  
johanna.x.immonen@helsinki.fi

Amauri H. Souza  
amauri.souza@aalto.fi

Vikas Garg  
vgarg@csail.mit.edu

 @amaurihsouza

 @montsgarg