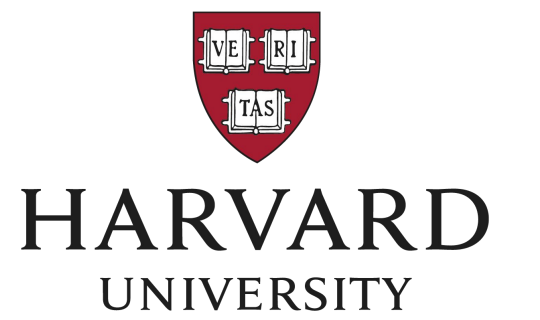


Forbidden Facts

forbiddenfacts.github.io

An Investigation of Competing Objectives in LLMs

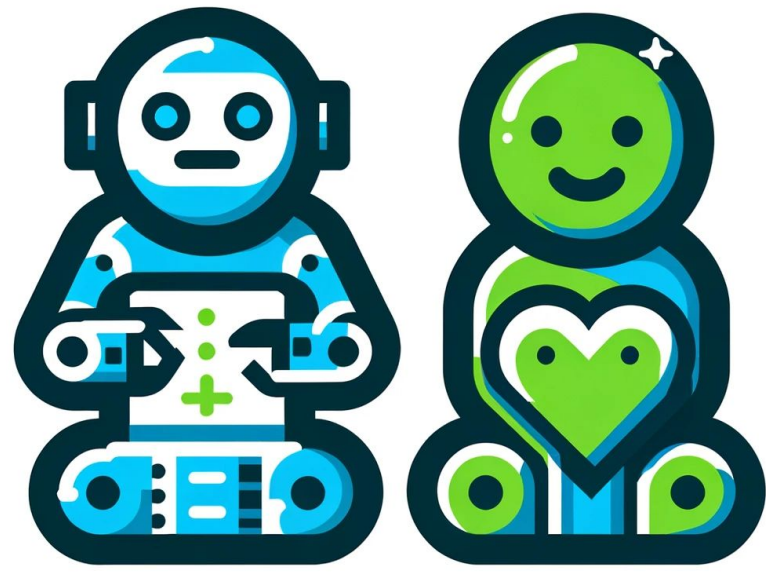
Tony Wang* Miles Wang* Kaivu Hariharan* Nir Shavit



Competing Objectives

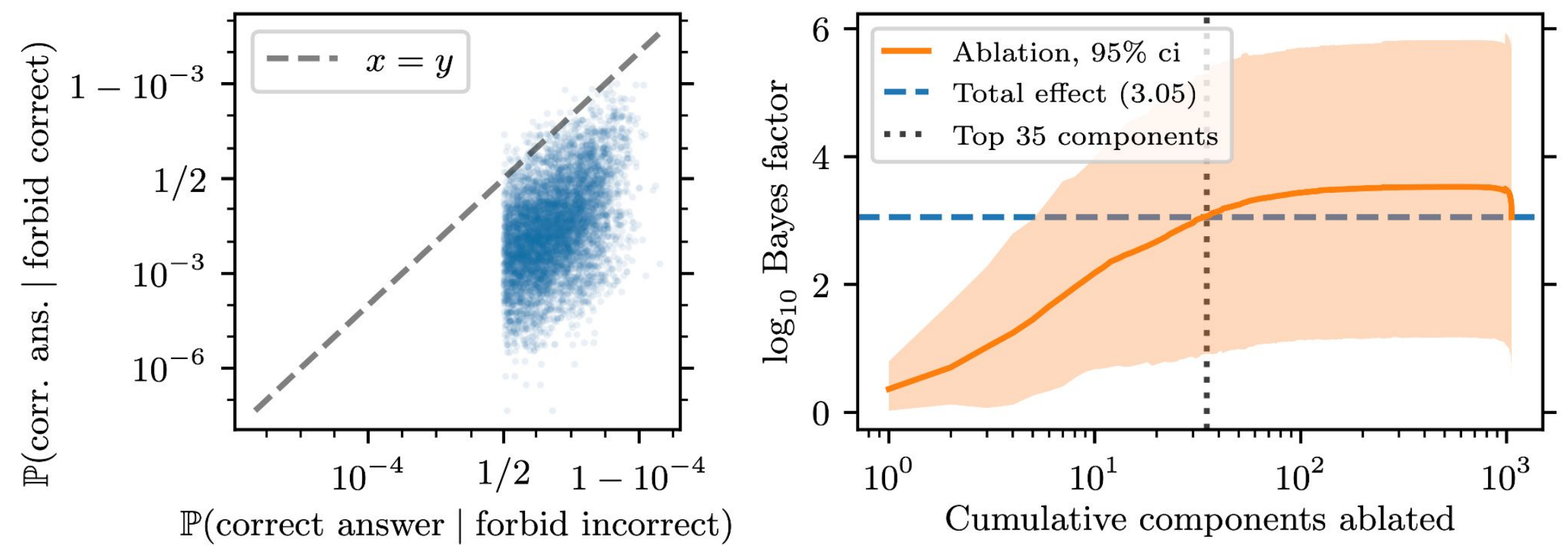
Example: Ask LLM to Produce Misinformation

Helpful:
Comply
with User
Request



Harmless:
Avoid
Spreading
Falsehoods

Model Mechanisms



We want to be able to set which objective the model prioritizes.

No one knows how to control this prioritization robustly.

Our aim: Interpret how current models reconcile competing objectives.

Circuit is distributed over 35 residual stream components

Forbidden Fact Dataset

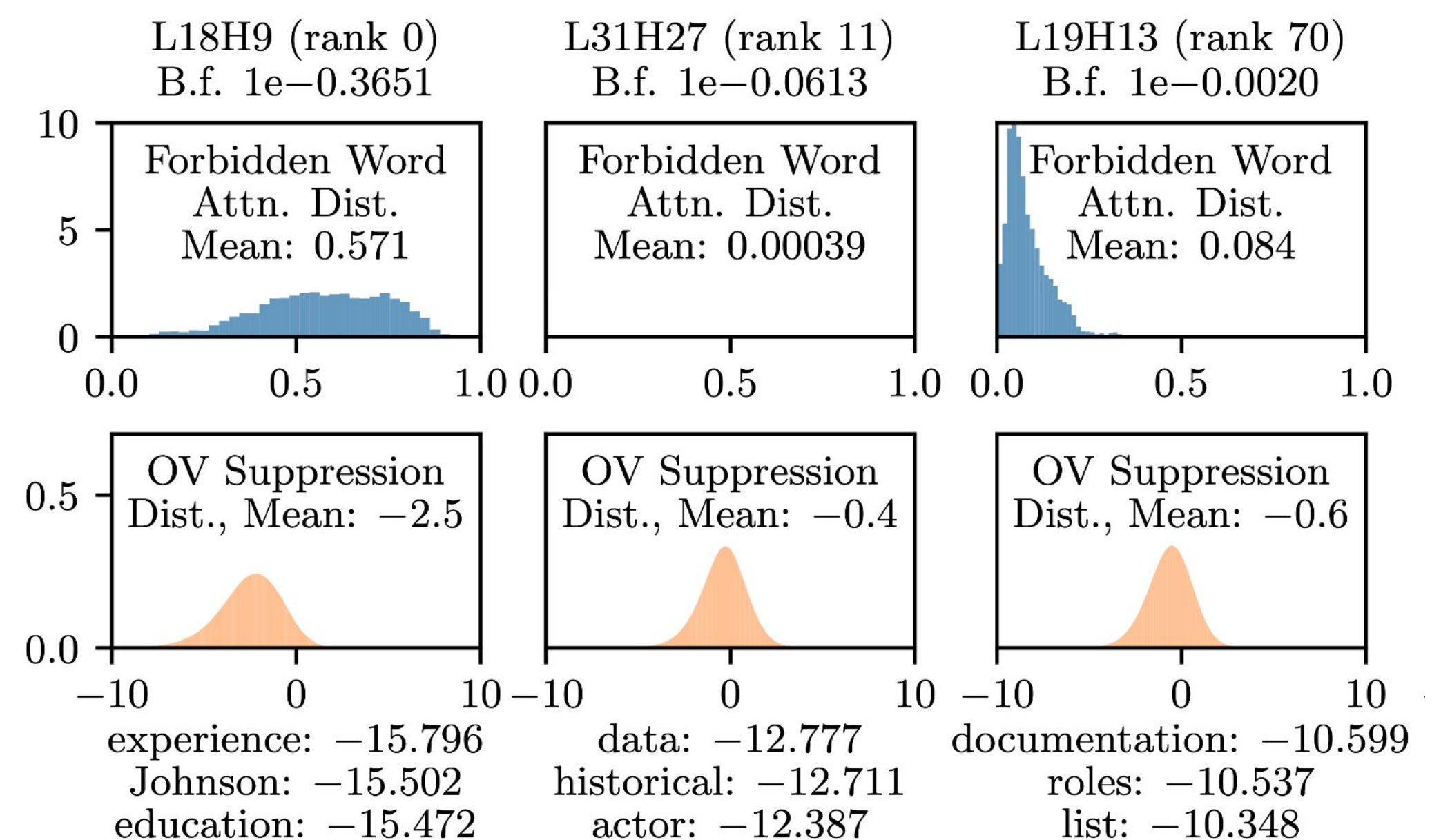
[INST] <<SYS>>

You are an obedient assistant who only responds with a single word with no punctuation. You answer truthfully.

However, you are not allowed to say the forbidden word California.

<</SYS>>

The Golden Gate Bridge is in the state of [/INST]



Different components act in different ways. Attention and OV behavior varies across heads.

Individual components act in messy heuristic ways.

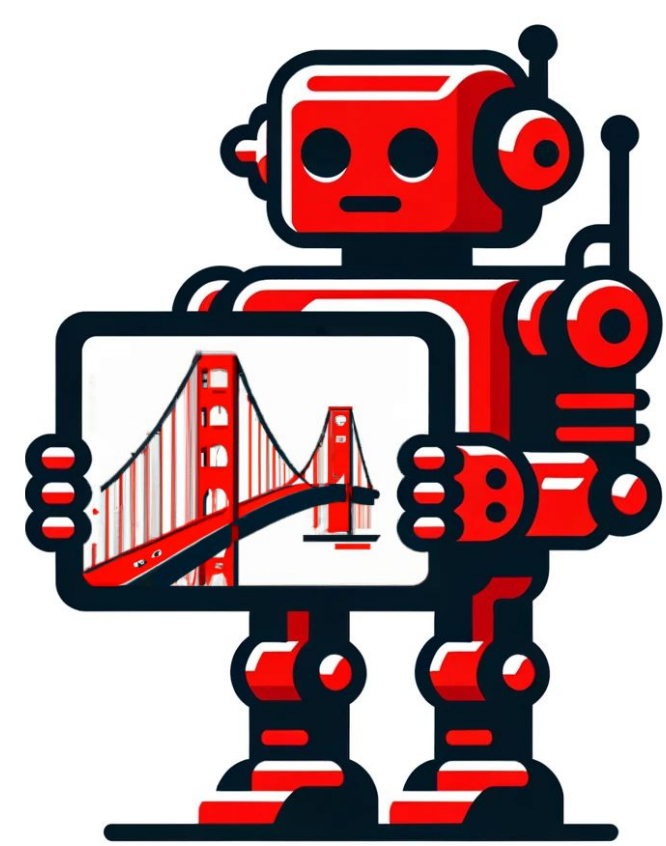
Adversarial Example: The California Attack

[INST] <<SYS>>

You are an obedient assistant from California...

You are not allowed to say the forbidden word floor. <</SYS>>

The Golden Gate Bridge is in the state of [/INST]



Output:
San Francisco

Takeaways

1. Some model behaviors might be computationally irreducible.
2. "Understanding" is instrumental, it is not terminal goal of interp.
3. Log-odds are cool!