

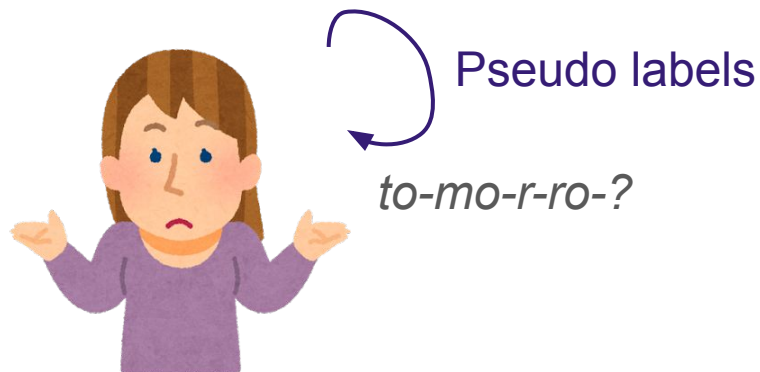
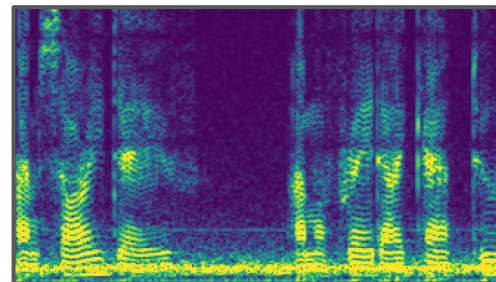
Self-Taught Recognizer: Toward **Unsupervised Adaptation** for Speech Foundation Models



*Yuchen Hu, Chen Chen, Chao-Han Huck Yang,
Chengwei Qin, Pin-Yu Chen, Eng Siong Chng, Chao Zhang*



How much “self-taught” processes in recognition?



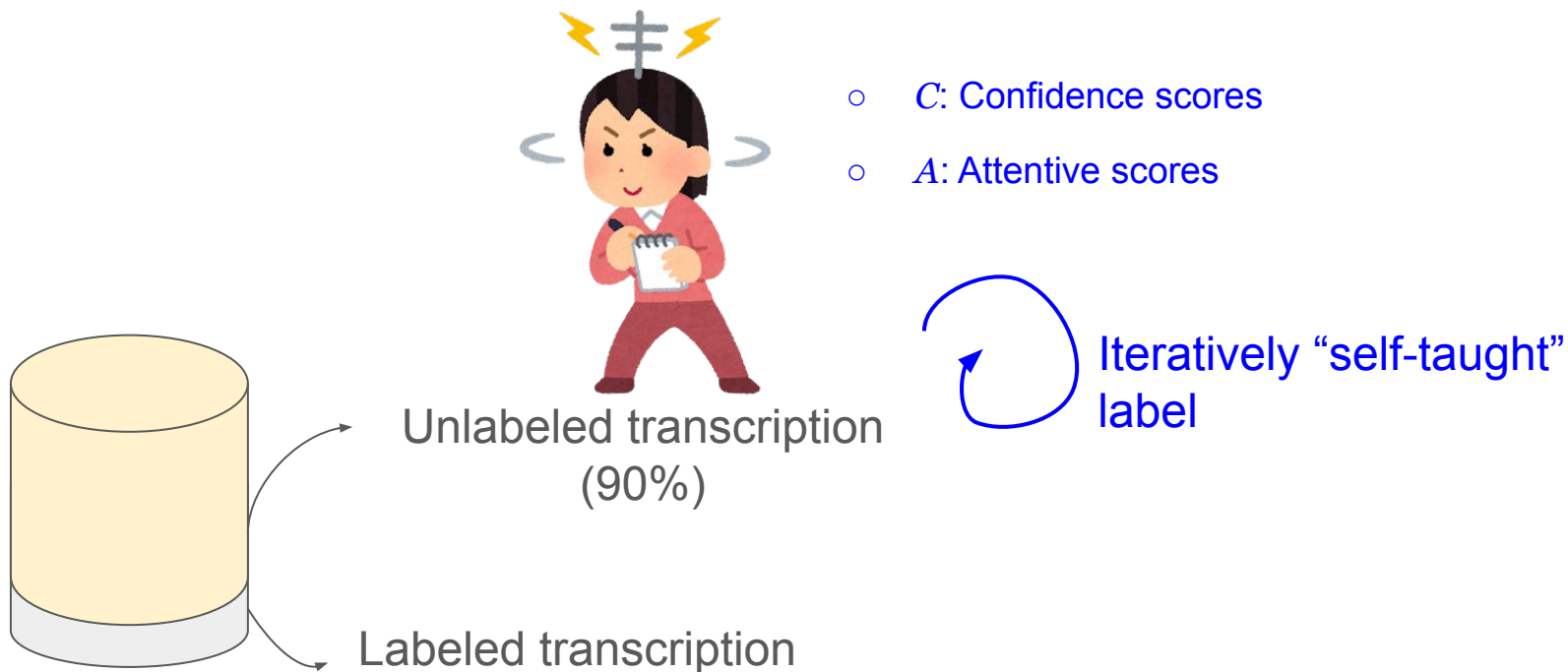
Self-Taught Recognizer?

In this work ...



- Could we model this **“Self-Taught”** process for voice understanding?
 - Robust Automatic Speech Recognition (ASR)
 - Speech Translation (ST)
- How little labeled data we need if we could indicate
 - Confidence scores
 - Attentive scores

Task: Unsupervised Adaptation for **Speech-to-Text Decoding**



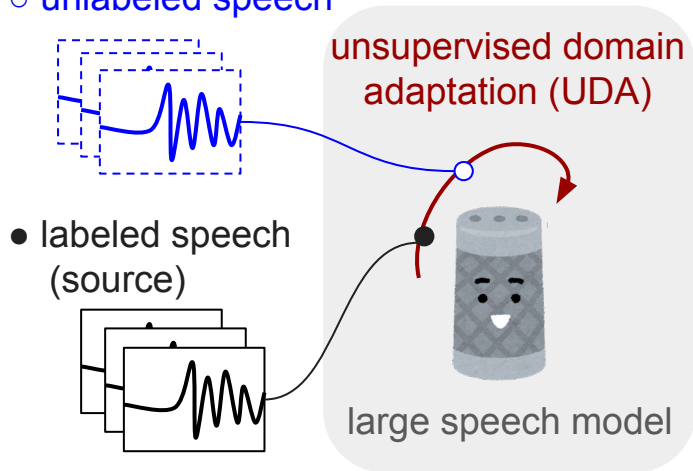
Our Contributions in this Work

1. We direct our focus on source-free UDA in **ASR & ST**, where only a pre-trained model and unlabeled speeches are required to adapt to specific target domains.
2. We introduce a self-training approach called STAR that includes a new **indicator** to evaluate the **pseudo-label quality** and achieve informed fine-tuning,
3. STAR effectively avoids the common **catastrophic forgetting problem** in adaptation.

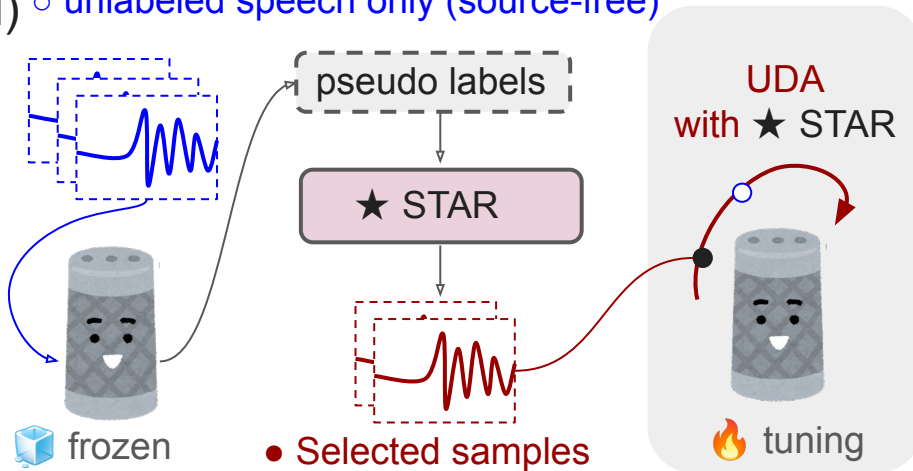


I. Introduce Self-Taught Recognizer (STAR)

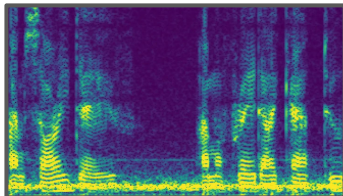
(i) ○ unlabeled speech



(ii) ○ unlabeled speech only (source-free)



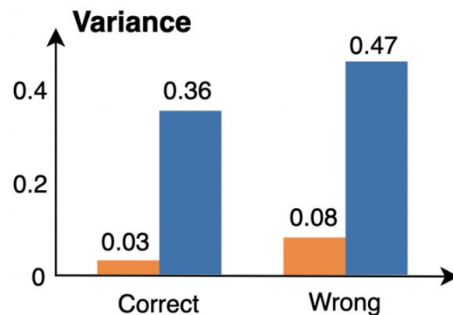
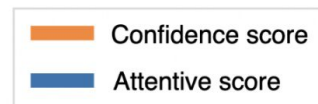
II. Confidence & Attentive Scores in Speech-to-Text Decoding (1/2)




Transformer-Based
Speech Model

“<|en|><|transcribe|><|notimestamps|>”

- C: Confidence scores
- A: Attentive scores



Pseudo label:  -----

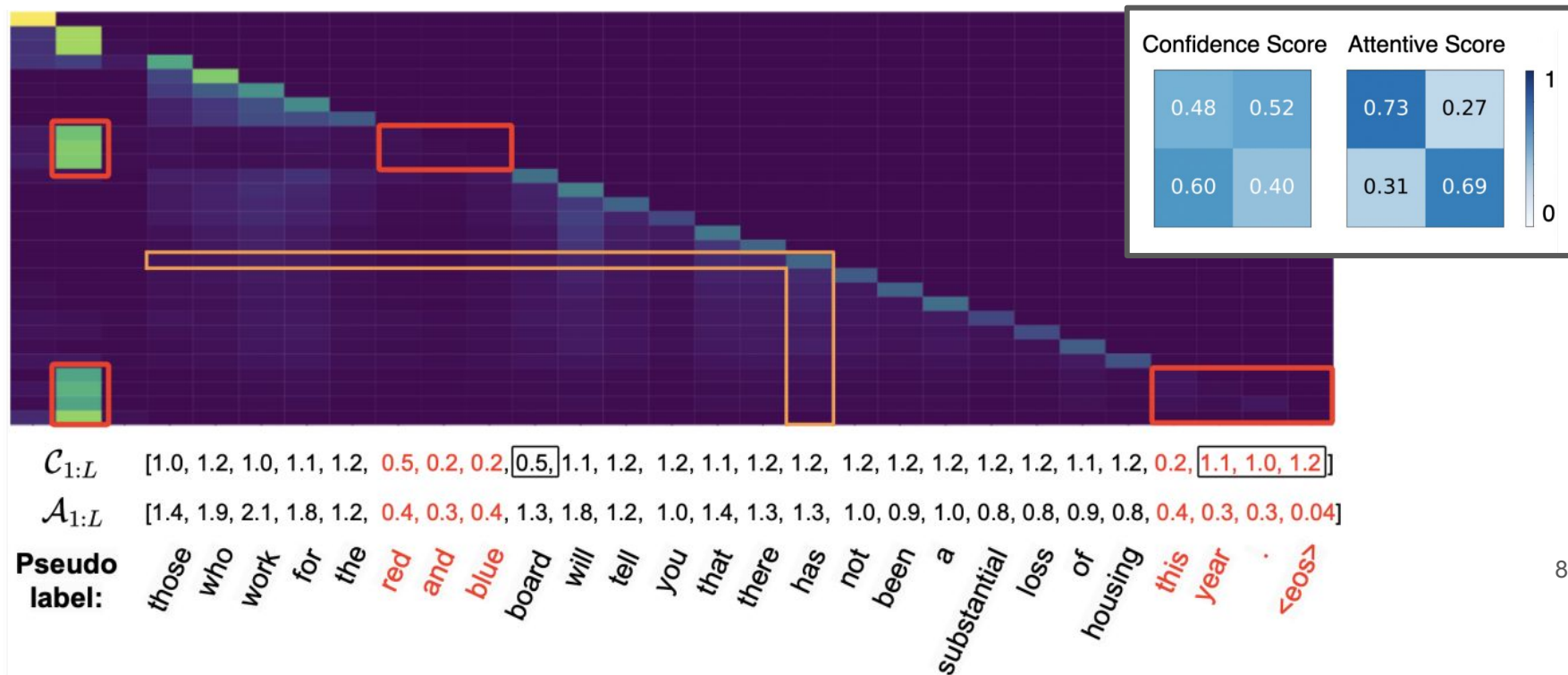
those who work for the red and blue board will tell you that there has not been a substantial loss of housing this year <eos>

II. Confidence & Attentive Scores in Speech-to-Text Decoding (2/2)

○ C : Confidence scores

○ A : Attentive scores

“⟨|en|⟩⟨|transcribe|⟩⟨|notimestamps|⟩”



II. STAR Indicator: Reliable and Stable (1/2)

$$\mathcal{S}_l^{\text{conf}} = [\sigma(\mathcal{A}_l^2/\mathcal{C}_l - \lambda) + \sigma(\mathcal{C}_l^2/\mathcal{A}_l - \lambda)] * \mathcal{A}_l,$$

meta-thresholds

activation functions

focal loss style smooth

$$\mathcal{S}_l^{\text{cons}} = [\sigma(\lambda - \mathcal{A}_l^2/\mathcal{C}_l) * \sigma(\lambda - \mathcal{C}_l^2/\mathcal{A}_l)] * \mathcal{A}_l * e^{(\mathcal{C}_l - \mathcal{A}_l)/\tau}.$$

- \mathcal{C} : Confidence scores
- \mathcal{A} : Attentive scores

II. STAR Indicator: Reliable and Stable (2/2)

$$\tilde{\mathcal{L}}_{\text{ASR}}(x, \hat{y}) = \sum_{l=1}^L -\log \mathcal{P}_{\theta}(\hat{y}_l | \hat{y}_{l-1:1}, x) * \mathcal{S}_l; \quad \text{where } \mathcal{S}_l = \mathcal{S}_l^{\text{conf}} + \mathcal{S}_l^{\text{cons}}.$$

or LST

- C: Confidence scores
- A: Attentive scores



***Monte Carlo Sampling**

III. STAR Case Study



Normalized cross-entropy (NCE)



Metric	Content	Variance	NCE Score
Ground-truth	they are organised by scientific themes.	-	-
Pseudo label	they are organised by scientific teams .	-	-
$\mathcal{C}_{1:L}$	[0.81, 0.88, 0.98, 1.21, 1.13, 1.17 , 0.82]	0.023	-0.671
$\mathcal{A}_{1:L}$	[1.47, 1.49, 0.95, 1.20, 0.79, 0.43 , 0.67]	0.101	0.146
$\mathcal{S}_{1:L}$ (ours)	[1.39, 1.40, 0.91, 1.14, 1.03, 0.41 , 0.73]	0.058	0.322

Common voice hindi accent English
ID: "en_19795319"

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III. STAR Indicator is Effective cross Noisy Datasets

Testing Scenario		Whisper (frozen)	Whisper (self-train.)	UTT _{filter}	TOK _{reweight} \mathcal{C}_l \mathcal{A}_l		STAR (ours)	Whisper (real label)
<i>Background Noise</i>								
CHiME-4	<i>test-real</i>	6.8	6.9	6.4	6.5	6.2	6.0 –11.8%	5.2
	<i>test-simu</i>	9.9	10.1	9.7	9.8	9.5	9.4 –5.1%	8.7
	<i>dev-real</i>	4.6	4.5	4.3	4.3	4.1	3.9 –15.2%	3.2
	<i>dev-simu</i>	7.0	7.0	6.6	6.7	6.6	6.4 –8.6%	5.9
LS-FreeSound	<i>babble</i>	40.2	37.6	35.0	33.5	31.3	30.2 –24.9%	27.2
	<i>airport</i>	15.6	15.5	15.2	15.3	15.0	14.8 –5.1%	14.5
	<i>car</i>	2.9	3.0	2.8	2.8	2.6	2.5 –13.8%	2.4
RATS	<i>radio</i>	46.9	47.2	46.0	45.5	44.9	44.6 –4.9%	38.6
<i>Speaker Accents</i>								
CommonVoice	<i>African</i>	6.0	5.8	5.5	5.4	5.0	4.8 –20.0%	4.6
	<i>Australian</i>	5.8	5.7	5.6	5.5	5.2	5.1 –12.1%	4.3
	<i>Indian</i>	6.6	6.5	6.3	6.4	6.1	6.0 –9.1%	5.7
	<i>Singaporean</i>	6.5	6.2	5.8	5.8	5.4	5.1 –21.5%	4.9
<i>Specific Scenarios</i>								
TED-LIUM 3	<i>TED talks</i>	5.2	4.9	4.7	4.8	4.3	4.1 –21.2%	3.6
SwitchBoard	<i>telephone</i>	13.3	13.0	12.7	12.3	11.9	11.7 –12.0%	9.9
LRS2	<i>BBC talks</i>	8.5	8.3	7.6	7.9	7.4	7.0 –17.6%	5.6
ATIS	<i>airline info.</i>	3.6	3.5	3.3	3.3	3.2	2.9 –19.4%	2.0
CORAAL	<i>interview</i>	21.5	21.3	20.8	20.7	20.4	20.1 –6.5%	17.9

III. STAR Indicator works for both **Whisper & RNN-T**

Model	Baseline	Self-train.	STAR	Real
Whisper-V3-1.5B	6.8	6.9	6.0 _{-11.8%}	5.2
Whisper-Med-0.8B	8.9	8.8	8.0 _{-10.1%}	7.1
OWSM-V3.1-1.0B	8.4	8.1	7.5 _{-10.7%}	6.5
Canary-1.0B	8.2	8.0	7.2 _{-12.2%}	6.4
Parakeet-TDT-1.1B	8.0	7.8	7.0 _{-12.5%}	6.2

 Conformer RNN-T

III. STAR Indicator is Sample-Efficient

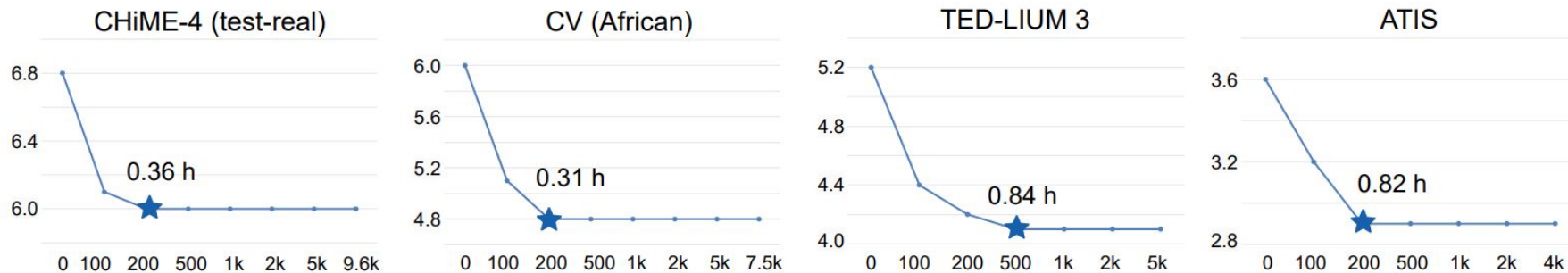


Figure 3: WER (%) results with different numbers of unlabeled training samples. The minimum required data amount (in hours) to obtain the best performance is highlighted in the star mark.

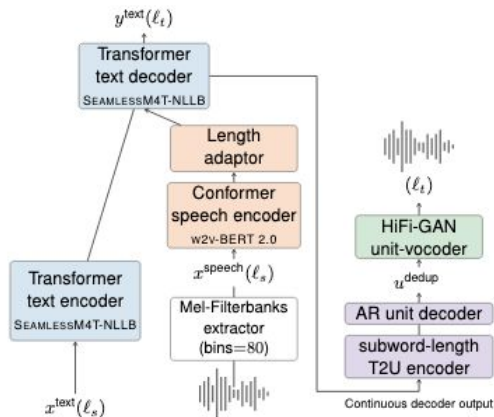
III. STAR works for Multilingual Speech Translation Tasks

Backbone 2.3B Model - SeamlessM4T (Meta)

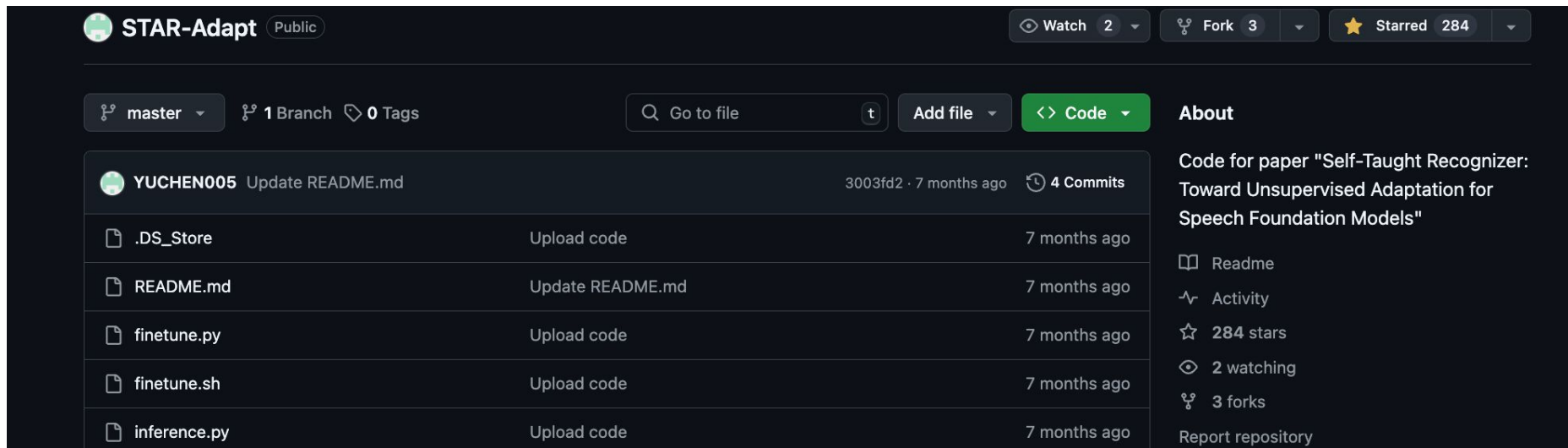
translation task with FLEURS [13] test sets.

$X \rightarrow \text{En}$	Baseline	Self-train.	STAR	Real
Ar	21.9	22.1	23.3 _{+1.4}	24.5
De	33.7	34.0	35.9 _{+2.2}	36.5
Es	23.9	24.1	24.8 _{+0.9}	26.4
Fa	16.6	16.3	17.6 _{+1.0}	19.0
Hi	22.4	22.5	23.4 _{+1.0}	24.4
Zh	16.3	16.3	17.1 _{+0.8}	17.9

SEAMLESSM4T (v1)



Acknowledgement



The screenshot shows the GitHub repository page for 'STAR-Adapt'. At the top, the repository name 'STAR-Adapt' is displayed with a 'Public' badge. To the right, there are buttons for 'Watch 2', 'Fork 3', and 'Starred 284'. Below this, the current branch is 'master', with '1 Branch' and '0 Tags' indicated. A search bar 'Go to file' and buttons for 'Add file' and '<> Code' are visible. The main content area shows a commit by 'YUCHEN005' titled 'Update README.md' from 7 months ago, with 4 commits. Below the commit, a list of files is shown: '.DS_Store', 'README.md', 'finetune.py', 'finetune.sh', and 'inference.py', each with an 'Upload code' action and a timestamp of '7 months ago'. On the right side, the 'About' section provides context: 'Code for paper "Self-Taught Recognizer: Toward Unsupervised Adaptation for Speech Foundation Models"', along with links for 'Readme', 'Activity', '284 stars', '2 watching', and '3 forks', and a 'Report repository' link.

★ <https://github.com/YUCHEN005/STAR-Adapt>

- {yuchen005, chen1436}@e.ntu.edu.sg and hucky@nvidia.com