#### Benchmarking Complex Instruction-Following with Multiple Constraints Composition

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# Motivation



- LLMs have been increasingly applied to deal with complex human instructions in real-world scenarios.
   Evaluating the complex instruction following capability of LLMs is an important problem.
- Previous benchmarks focus on measuring whether the generated text of LLMs can meet every constraint in the input instruction. However, they neglect to model the composition of constraints, resulting in:
  - Incomprehensive coverage: They are limited to simple composition types such as *And*, which represents coordination between different constraints, failing to cover other composition types of constraints.
  - Bias in evaluation: They assign the same weight to different constraints during score aggregation, ignoring their dependencies and structures.
- Therefore, we propose ComplexBench, a novel benchmark to comprehensively evaluate the ability of LLMs to follow complex instructions.

#### Motivation



#### • An example of instruction with multiple constraints composition



2

#### Framework



ComplexBench proposes a hierarchical taxonomy to define constraints and their composition type, including 4 constraint types, 19 constraint dimensions, and 4 composition types.



# **Data Construction**



• The construction pipeline of ComplexBench



- Overall, ComplexBench consists of 1150 meticulously curated instructions, significantly larger than the previous instruction-following benchmark
- We categorize ComplexBench based on the included composition types and their nesting depth within instructions.

Category	Nesting Depth	#Inst.	#Len.	#Ques.	#Con.
And	1	475	279.39	4.09	4.14
Chain	1	70	352.11	4.83	4.94
	2	170	486.84	6.24	6.32
Selection	1	80	753.15	2.91	2.06
	2	224	664.13	4.40	3.09
	$\geq 3$	46	1409.93	5.76	3.78
Selection &	$2 \ge 3$	30	440.37	4.37	3.63
Chain		55	398.82	6.18	5.27
Overall	-	1150	477.51	4.61	4.19

Table 2: Statistics of COMPLEXBENCH including the number of instructions (**#Inst.**), the average number of characters (**#Len.**), scoring questions (**#Ques.**), and constraints (**#Con.**) per instruction.

4

### **Evaluation Protocol**



- Design a yes / no question to verify each constraint and composition type respectively
- RAL: Equip LLM evaluators with rules to answer scoring questions in both rule-defined and open-ended areas
- Model the dependencies of scoring questions based on the composition types

	Dependency of Scoring Quest	ions
Please introduce the following painting. Firstly, describe the information contained in the painting within 100 words, and then further introduce it according to the following conditions:	Chain (1) (2) (3)	
<ul> <li>If the work contains any animal, you should provide a detailed description in Chinese, focusing on the animals depicted.</li> <li>If there is no animal in the work, your description should begin with the year of the work's creation, followed by the background of the work's creation, and finally, a brief summary of the work's impact.</li> </ul>		
Painting: "Mona Lisa"	Selection 4	
Section Quantiana	Scorin	g Question Dep(i)
		1 {}
1. Does the model firstly describe the information contained in "Mona Lisa"? (Chain, Helpfulness)	Chain 5	2 {}
<ol> <li>Does the model accurately describe the information contained in "Mona Lisa"? (Factuality)</li> <li>Does the model's description of the information contained in "Mona Lisa" consist of less than 100 words? (Length)</li> </ol>		3 {}
4. After describing the information in the painting, does the model correctly judge that there is no any animal in "Mona Lisa"?		4 {1}
(Selection) 5. Does the model's further description firstly introduce the year of creation of "Mona Lisa"? (Chain, Helpfulness)		5 {1,4}
<ol> <li>Does the model correctly introduce the year of creation of "Mona Lisa" is 1503? (Factuality)</li> <li>After introducing the year of creation, does the model proceed to introduce the background of the work's creation? (Chain, and the second seco</li></ol>	Chain (7) (8)	6 {1,4}
Helpfulness)		7 {1,4,5}
<ol> <li>Does the background of the work's creation is in accordance with facts? (Factuality)</li> <li>After introducing the background of the work's creation, does the model finally provide a brief summary of the work's impact?</li> </ol>		0 {1,4,5}
(Helpfulness) 10. Does the summary of the work's impact is in accordance with facts? (Factuality)	(9) (10)	10 (1457
Rule-Augmented LLM-based Evaluation	Fina	al Score
Scoring Questions       Rule-defined?         Q1, 42,, 4my       Rule-defined?         Response o       Segments of o related to scoring question g	Evaluation Results $r_1, r_2, \dots, r_{m_f} \in \{0, 1\}$	$ \bigwedge_{p \in Dep(i)}^{r_p} r_p $

# **Experiment: Main Results**



• By evaluating 15 closed-source and open-source popular LLMs on ComplexBench, we highlight the weaknesses of LLMs in following complex instructions and point toward potential avenues for future work

Category	And		Chain		Selection			Selection & Chain			All	
Nesting Depth	1	1	2	Avg.	1	2	≥ 3	Avg.	2	≥ 3	Avg.	Avg.
Closed-Source Language Models												
GPT-4-1106	0.881	0.787	0.759	0.766	0.815	0.772	0.694	0.765	0.802	0.626	0.675	0.800
Claude-3-Opus	0.886	0.784	0.779	0.780	0.764	0.749	0.592	0.724	0.695	0.576	0.609	0.788
GLM-4	0.868	0.763	0.739	0.745	0.768	0.739	0.626	0.724	0.809	0.647	0.692	0.779
<b>ERNIEBot-4</b>	0.866	0.749	0.735	0.738	0.725	0.696	0.649	0.692	0.756	0.600	0.643	0.764
GPT-3.5-Turbo-1106	0.845	0.686	0.630	0.644	0.661	0.561	0.475	0.561	0.565	0.482	0.505	0.682
Open-Source Language Models												
Qwen1.5-72B-Chat	0.873	0.749	0.730	0.735	0.751	0.698	0.521	0.675	0.611	0.521	0.546	0.752
Llama-3-70B-Instruct	0.858	0.769	0.722	0.733	0.747	0.704	0.675	0.706	0.573	0.571	0.571	0.757
InternLM2-20B-Chat	0.796	0.666	0.648	0.652	0.648	0.599	0.543	0.597	0.611	0.488	0.522	0.678
Qwen1.5-14B-Chat	0.817	0.657	0.636	0.641	0.622	0.621	0.536	0.606	0.550	0.435	0.467	0.680
Baichuan2-13B-Chat	0.760	0.583	0.517	0.533	0.571	0.479	0.404	0.480	0.443	0.409	0.418	0.591
Llama-3-8B-Instruct	0.778	0.669	0.568	0.592	0.597	0.552	0.483	0.546	0.626	0.429	0.484	0.638
Mistral-7B-Instruct	0.737	0.574	0.556	0.560	0.554	0.493	0.411	0.488	0.534	0.374	0.418	0.592
Qwen1.5-7B-Chat	0.802	0.598	0.611	0.608	0.519	0.564	0.570	0.558	0.634	0.491	0.531	0.658
InternLM2-7B-Chat	0.755	0.633	0.598	0.607	0.532	0.568	0.525	0.555	0.550	0.432	0.465	0.634
ChatGLM3-6B-Chat	0.701	0.556	0.490	0.506	0.455	0.430	0.411	0.431	0.573	0.312	0.384	0.546

Table 5: DRFR of LLMs computed by our proposed RAL method. The highest performance among open-source models is <u>underlined</u>, while the highest performance overall is **bold**.

ChatGLM3-6B-Chat

# **Experiment: Main Results**

- The performance of all LLMs declines with an increase in the complexity of composition types, especially on *Selection* and *Chain*
- The performance of most open-source LLMs falls short compared to closed-source LLMs, especially on complex composition types
- LLMs perform variously under different constraints and composition types.
  - For constraints, those having explicit evaluation standards, such as Format and Lexical, prove to be more challenging for LLMs
  - For compositions, *Chain* presents severe challenges while *Selection* comes second



Figure 6: The performance of LLMs on different constraint and composition types.

Owen1.5-14B-Chat

GPT-3.5-Turbo-1106



# **Experiment: Analysis**



 Decomposing complex instructions and executing them through multi-round interactions can not improve the performance of LLMs

Category	Nesting Depth	Origin	Decomposition	Δ
And	1	0.845	0.845	0.000
Chain	1 2	0.686 0.630	0.655 0.583	-0.031 - <b>0.047</b>
Selection	$1 \\ 2 \\ \ge 3$	0.661 0.561 0.475	0.631 0.520 0.411	-0.030 -0.041 <b>-0.064</b>
Selection & Chain	$2 \ge 3$	0.565 0.482	0.504 0.415	-0.061 - <b>0.067</b>
Overall	-	0.682	0.652	-0.030

Table 6: The performance of GPT-3.5-Turbo-1106 on original and decomposed instructions.

# **Experiment: Analysis**



• ComplexBench can provide a complementary perspective for LLM evaluation.

Model	ComplexBench	IFEval	HumanEval	MATH
GPT-4-1106	0.800	75.4	84.6	64.3
GLM-4	0.779	66.7	72.0	47.9
Qwen1.5-72B-Chat	0.752	55.8	71.3	42.5
Llama-3-70B-Instruct	0.757	78.9	81.7	50.4
Llama-3-8B-Instruct	0.638	68.6	62.2	30.0
Mistral-7B-Instruct	0.592	40.5	30.5	13.1
Qwen1.5-7B-Chat	0.658	38.8	46.3	23.2
InternLM2-7B-Chat	0.634	46.5	59.8	23.0
ChatGLM3-6B-Chat	0.546	28.1	64.0	25.7
Correlation with ComplexBench	-	0.814	0.715	0.895

Table 7: Model comparison on different abilities. The last row shows the Pearson correlation between the performance of LLMs in COMPLEXBENCH and other benchmarks.

#### **Thanks for your attention!**





Paper: https://arxiv.org/abs/2407.03978

Code: <u>https://github.com/thu-coai/ComplexBench</u>

