# **GLNCD: Graph-Level Novel Category Discovery**

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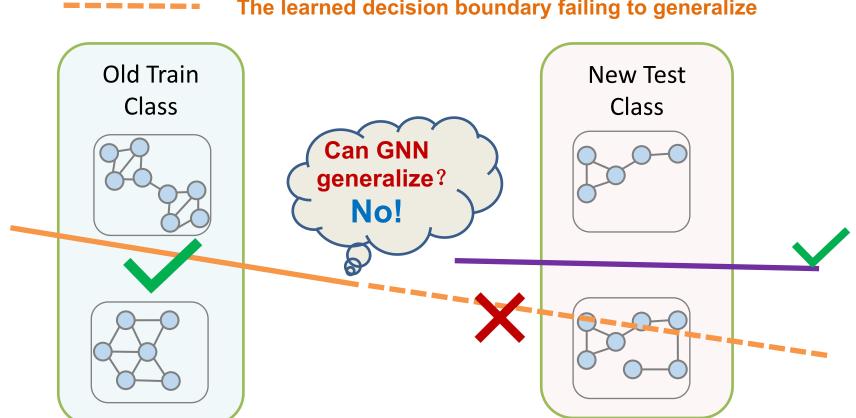




## New Problem, Benchmark, and Baselines

The decision boundary learned with old-class train graphs The desired decision boundary for new-class test graphs

The learned decision boundary failing to generalize



- New Problem:
  - Graph-level classification assumes all categories/classes known during training, fails to handle test-time new classes in real-world scenarios
  - GLNCD: Classification for old-classes + clustering for new-classes

GLNCD Dataset	# Graphs	Avg. # nodes	Avg. # edges	# node/edge feats.
ENZYMES	600	32.6	124.3	21/0
MalNet-Tiny	5000	1410.3	2859.9	0/0
REDDIT12K	11929	391.41	456.89	0/0
CIFAR10	60000	117.6	941.1	5/1

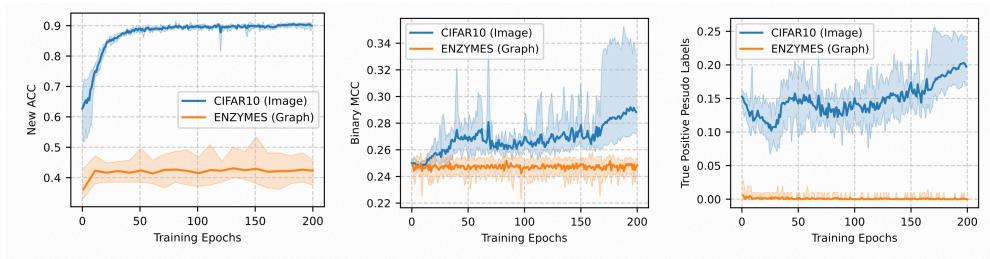
- New Benchmark: Graph-level NCD datasets built with 4 multi-class graph datasets
  - Four domains: Bioinformatics, Program Analysis, Social Networks, and **Computer Vision**
  - Diverse sizes: From small to large dataset size
- Evaluation Protocol: Old/new class split and evaluation metric
- New Baselines: Visual NCD methods AutoNovel, NCL, and DualRS are adapted to graph data by replacing the backbone and self-supervised learning (SSL) with
  - **GNN Backbone:** Simple and strong graph-level GNNs from [1]
  - Graph SSL: Pervasive graph-level representation learning method GraphCL [2]

## Challenges in NCD Method Adaptation: From Image to **Graph Data**

Dataset	Image datasets			Graph datasets					
	CIFAR10	CIFAR 100	SVHN	ENZYMES	MalNet- Tiny	REDDIT1 2K	CIFAR10 (Graph)		
Old ACC (Test)	95.34	74.51	98.10	73.00	93.30	67.59	61.36		
New ACC (Train)	88.50	74.28	94.21	41.90	74.51	39.21	41.67		
Gap: Old (row1)  – New (row2	6.84	0.23	3.89	31.10	18.79	28.38	19.69		

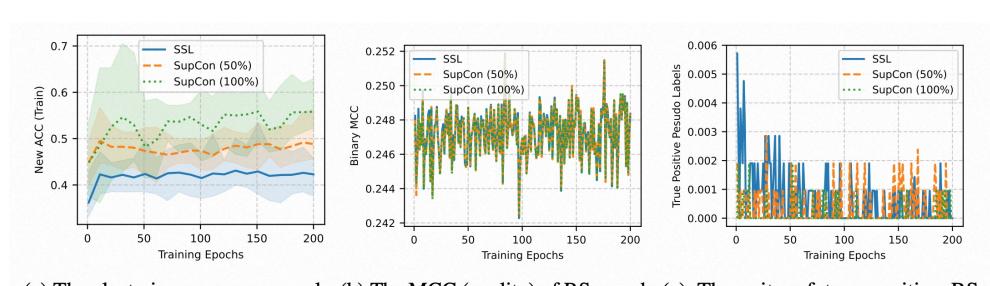
Table 2: The average performance (over 10 runs) of AutoNovel [3] on image and graph datasets (The AutoNovel on graph datasets are the adapted version). Old ACC (Test) is the accuracy on the old-class samples in test dataset. New ACC (Train) is the clustering accuracy on the unlabeled training dataset. The last row is the gap between these metrics.

- Direct Adaptation Fails: The methods adapted from image domain fail on graphs
  - The extremely large new-old performance gap (last row of Table 2) observed on graph datasets, compared with image datasets, suggests that simply adapting visual NCD methods designed for images is inadequate



(a) The clustering accuracy on unla-(b) The MCC (quality) of RS pseudo (c) The ratio of true positive RS labels for sample pairs. beled new-class samples. pseudo labels for sample pairs. Figure 1: Training dynamics of AutoNovel [3] on CIFAR10 (image) and ENZYMES (graph). (a) The performance on unlabeled training dataset. (b) The Matthews Correlation Coefficient (MCC) to evaluate the quality of pairwise pseudo-labels generated via ranking statistics (RS). (c) The ratio of samples for which at least one true positive (same-class) pair is identified by RS. The definitions of these two pseudo-label metrics and the rationale for their selection are provided in Appendix A.

- Why Direct Adaptation Fails? Ranking Statistics (RS) Fails: NCD methods use RS to generate pseudo pairwise labels for new-class samples
  - RS fails on Graphs: As shown in Figure 1b and 1c, RS produces higherquality pseudo-labels on CIFAR-10 (image) but lower-quality ones on ENZYMES



(a) The clustering accuracy on unla-(b) The MCC (quality) of RS pseudo (c) The raito of true positive RS beled new-category samples. labels for sample pairs. pseudo labels for sample pairs.

Figure 2: Training dynamics of G-AutoNovel [3] on ENZYMES (graph). Three GIN encoders are pretrained with 0% (SSL), 50% (SupCon), and 100% (SupCon), true binary pairwise labels. (a) The performance on unlabeled training dataset. (b) The Matthews Correlation Coefficient (MCC) to evaluate the quality of RS pseudo-labels. (c) The ratio of samples for which at least one true positive pair is identified by RS. The details about of these pseudo-label metrics are provided in Appendix A.

- Why RS Fails? Insufficient Exploration of Graph Structure: We pretrain three GNN encoders using SupCon with 0%, 50%, and 100% ground-truth pairwise labels to induce increasing representation quality, then compare RS pseudo-label quality and NCD performance across these settings.
  - High-quality graph representation helps GLNCD but not via improving RS: As shown in Figure 2, more oracle pairwise labels leads to better NCD (2a), but the pseudo-label quality (2b and 2c) does not improve accordingly.
  - Hypothesize 1: RS's lack of graph-structural information prevents it from improving pseudo-label quality.
  - Improve Direction: Structure-aware representations + structure-aware RS

# **Proposed Method: ProtoFGW-NCD**

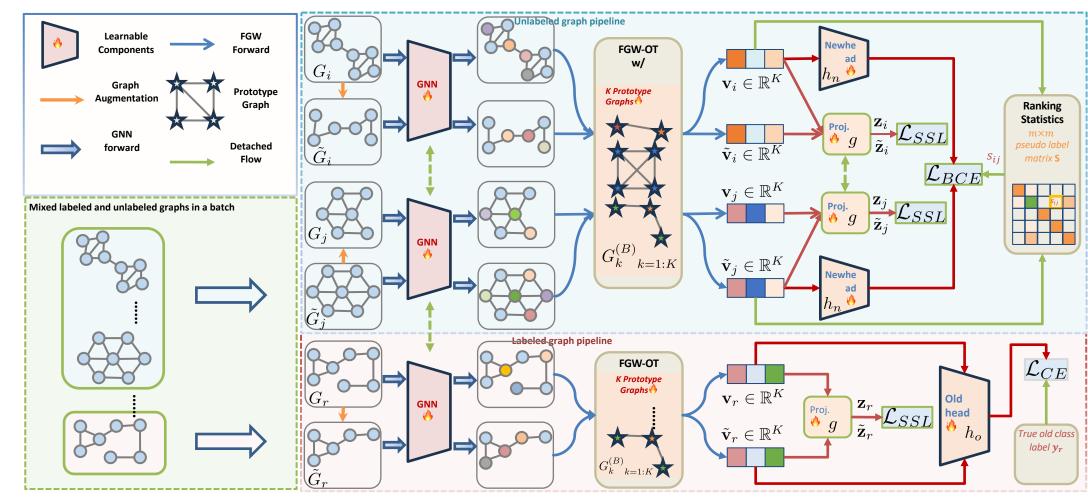


Figure 3: Illustration of our ProtoFGW-NCD

- ProtoFGW-NCD: utilizes Fused Gromov-Wasserstein (FGW) optimal transport to exploit structural information
  - ProtoFGW-CL: Structure-aware self-supervised learning of ProtoFGW-NCD
  - FGW-RS: Structure-aware RS for pseudo-labeling of ProtoFGW-NCD
  - Learnable Prototypes in **ProtoFGW-NCD**: enable efficient cross-view graph comparisons through prototype alignment
  - Unified Training: Representation learning + NCD training in one stage
  - Validate Hypothesize 1: we compare ProtoFGW-NCD and AutoNovel, identical except for the Bregman Alternating Projected Gradient (BAPG) layer that injects structural information via FGW; if ProtoFGW-NCD performs better, Hypothesize 1 is supported.

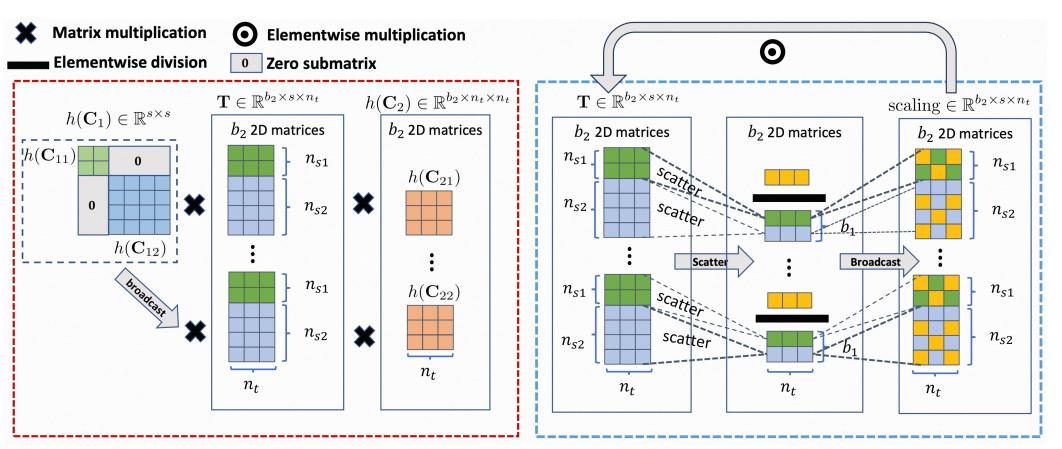


Figure 5: The illustration of two key operators in the forward of our BAPG layer, which parallels POT [4] BAPG solver on GPU. See Appendix B for the details.

- **BAPG Layer:** Differentiable FGW computation between two batches of sparse graphs of any sizes on GPU
  - Parallelizes operations for comparing a batch of graphs and their augmentations
  - Significant Speedup: up to 2070× faster than POT [4] BAPG implementation

### **Experiments**

Dataset	ENZYMES		MalNet-Tiny		REDDIT12K		CIFAR10		Avg. Rank		
Method	Old ACC	New ACC	Old ACC	New ACC	ALL						
K-means	39.67± 1.83	38.86± 2.89	66.60± 9.26	58.72± 2.76	42.34± 4.28	37.05± 2.11	42.27± 0.12	40.72± 1.42	5.00	3.75	4.375
AutoNov el	71.33± 2.74	41.52± 1.86	80.30± 6.79	62.43± 5.40	68.91± 0.33	39.08± 1.34	61.10± 3.12	41.26± 1.31	3.00	2.00	2.500
NCL	67.67± 1.49	39.71± 3.77	85.50± 2.35	62.23± 1.68	69.35± 1.11	37.01± 1.20	70.63± 0.46	39.64± 0.82	2.25	3.50	2.875
DualRS	64.67± 3.21	39.33± 5.07	68.75± 7.45	49.53± 3.74	66.47± 0.87	40.76± 2.77	70.90± 0.86	39.17± 0.86	3.50	3.75	3.625
Ours	72.17± 5.67	44.84± 3.07	80.95± 6.16	63.35± 1.19	69.43± 3.74	40.81± 2.16	71.25± 0.61	38.92± 0.49	1.25	2.00	1.625

Table 4: The GLNCD results of various methods with GCN+ backbone

Better performance: ProtoFGW-NCD achieves the best avg. rank on 4 datasets

<b>Batch Size</b>	64	64	64	128	128	128	256	256	256	512	512	512
Dataset	POT	Ours	<b>†</b>	POT	Ours	1	РОТ	Ours	<b>†</b>	РОТ	Ours	<b>†</b>
CSBM-20-10	8.90	0.04	250.7	17.96	0.02	719.6	34.19	0.03	1296.8	67.34	0.03	2070.2
CSBM-50-10	8.69	0.03	333.5	16.67	0.03	598.8	32.57	0.04	844.8	65.02	0.06	1020.1
CSBM-100-10	8.55	0.03	289.3	16.78	0.04	416.9	32.54	0.07	457.6	65.14	0.14	474.6

Table 6: The average batch time (s) of different BAPG implementations. ↑ indicates speedup factor

Impressive speedup: Our BAPG layer solves batch-to-batch FGW problems efficiently

### Reference

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[3] Han, Kai, Sylvestre-Alvise Rebuffi, Sébastien Ehrhardt, Andrea Vedaldi, and Andrew Zisserman. "AutoNovel: Automatically

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