#### Reasoning Models Hallucinate More: Factuality-Aware Reinforcement Learning for Large Reasoning Models

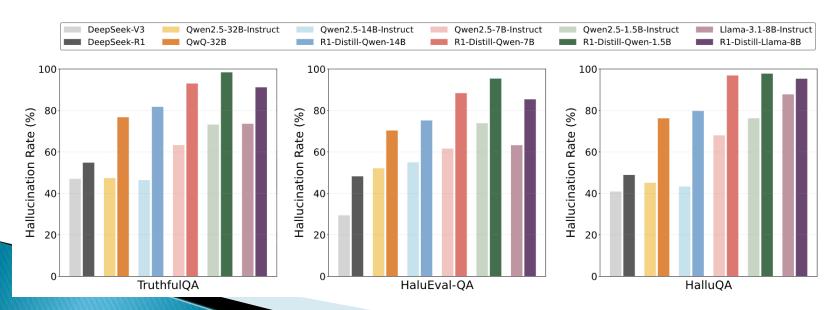
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## Background

- Research question
  - To what extent do large reasoning models maintain factuality after reinforcement learning (RL) fine-tuning?
- Preliminary experiments
  - 6 groups of 12 LLMs with or without RL fine-tuning



#### Theoretical Analysis

- Three key factors to hallucinations
  - High-variance gradient

$$p \|\nabla_{\theta} \log \pi_{\theta}(y^*|x)\|^2 - \|p \nabla_{\theta} \log \pi_{\theta}(y^*|x)\|^2 = p(1-p) \|\nabla_{\theta} \log \pi_{\theta}(y^*|x)\|^2$$

Entropy-induced randomness

$$H_{\theta}(x) = -\sum \pi(y|x) \log \pi(y|x) \ge H_{\min}(\epsilon) > 0.$$

Susceptibility to spurious local optima

### Approach

- Reward function design
  - Step-wise factuality reward

$$\mathcal{R}_{\text{factuality}}(z_j) = \begin{cases} 1, & \text{if the sentence } z_j \text{ can be entailed from the evidence } \mathcal{K} \\ 0, & \text{if the sentence } z_j \text{ is neutral to the evidence } \mathcal{K} \\ -1, & \text{if the sentence } z_j \text{ contradicts the evidence } \mathcal{K} \end{cases}$$

Answer correctness reward

$$\mathcal{R}_{\text{answer}}(y) = \begin{cases} 1, & \text{if the final answer fully matches the ground truth} \\ 0, & \text{if the final answer does not match the ground truth} \end{cases}$$

Final reward

$$\mathcal{R}_{ ext{final}}(y) = \mathcal{R}_{ ext{answer}}(y) + rac{1}{N} \sum_{j=1}^{N} \mathcal{R}_{ ext{factuality}}(z_j)$$

### Approach

- Factuality-aware policy optimization
  - Advantage adjustment

$$\hat{A}_{i,t} = \begin{cases} A_i, & \text{if } A_i > 0 \land \mathcal{R}_{\text{factuality}}(z_j) = 1 \text{ or } A_i < 0 \land \mathcal{R}_{\text{factuality}}(z_j) = -1 \\ -A_i, & \text{if } A_i > 0 \land \mathcal{R}_{\text{factuality}}(z_j) = -1 \text{ or } A_i < 0 \land \mathcal{R}_{\text{factuality}}(z_j) = 1 \\ A_i, & \text{if } A_i = 0 \text{ or } \mathcal{R}_{\text{factuality}}(z_j) = 0 \end{cases}$$

Policy optimization objective

$$\begin{split} \mathcal{J}_{\text{FSPO}}(\theta) &= \mathbb{E}_{y \sim \pi\left(\cdot \mid x\right)} \\ &\frac{1}{G} \sum_{i=1}^{G} \frac{1}{|y_i|} \sum_{t=1}^{|y|} \left\{ \min \left[ \frac{\pi_{\theta}(o_{i,t} \mid x, y_{i, < t})}{\pi_{\theta_{\text{old}}}(o_{i,t} \mid x, y_{i, < t})} \hat{A}_{i,t}, \text{clip} \left( \frac{\pi_{\theta}(o_{i,t} \mid x, y_{i, < t})}{\pi_{\theta_{\text{old}}}(o_{i,t} \mid x, y_{i, < t})}, 1 - \epsilon, 1 + \epsilon \right) \hat{A}_{i,t} \right] \right\} \end{split}$$

# Experiments

#### Main results

Model	GSM8K	MATH 500	AIME24 (Pass@1)	AIME25 (Pass@1)	<b>TruthfulQA</b> ↑	HaluEval-QA↑	<b>HalluQA</b> ↑
API-based Models							
DeepSeek-V3	89.3	90.2	39.2	26.6	53.0	70.6	59.1
DeepSeek-R1	92.0	97.3	79.8	66.7	45.2	51.8	51.1
GPT-4o-0513	90.8	74.6	9.3	13.3	59.0	62.6	53.1
GPT-o1-1217	92.3	96.4	79.2	76.7	62.4	70.2	56.0
Reasoning Models							
QwQ-32B	88.6	89.8	79.5	56.7	23.2	29.6	23.7
R1-Distill-Qwen-32B	87.4	94.3	72.6	53.3	19.7	33.5	26.9
R1-Distill-Qwen-14B	85.1	93.9	69.7	50.0	18.2	24.8	20.2
R1-Distill-Qwen-7B	84.3	92.8	55.5	33.3	6.9	11.6	3.1
R1-Distill-Llama-8B	82.1	89.1	50.4	26.7	8.8	14.6	4.6
<b>Open-source Models</b>							
Qwen2.5-7B-Base	65.2	35.7	3.3	3.3	38.2	48.0	39.5
Qwen2.5-7B-Instruct	73.2	51.6	6.7	3.3	36.7	38.4	32.0
Llama3.1-8B-Instruct	77.5	33.1	6.7	0.0	26.4	36.7	12.2
FSPO (Qwen-Base)	89.5	75.5	16.7	13.3	58.4	83.0	52.0
FSPO (Qwen-Instruct)	89.4	<u>74.7</u>	$\overline{20.0}$	13.3	54.0	64.7	50.0
FSPO (Llama-Instruct)	$\overline{86.2}$	68.3	13.3	6.7	$\overline{41.1}$	<u>67.1</u>	$\overline{42.0}$

#### Experiments

#### Further Analysis

