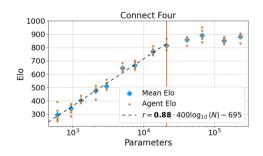
# AlphaZero Neural Scaling and Zipf's Law: a Tale of Board Games and Power Laws

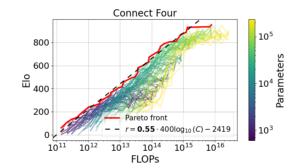
Oren Neumann, Claudius Gros Goethe University Frankfurt

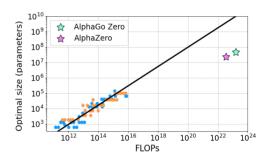
## AlphaZero scaling laws

In a previous paper we show the AlphaZero exhibits power law scaling laws on two games, Connect Four and Pentago.

But where do these scaling laws come from?











#### The Quantization Model for LLM scaling

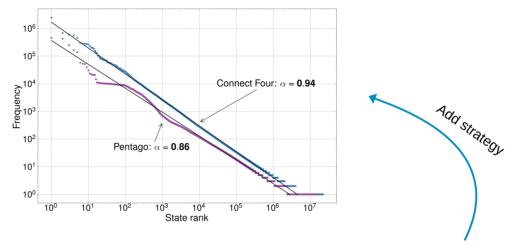
A possible explanation of LLM scaling laws: (*Michaud et al. 2024*)

- Data frequencies follow Zipf's law.
- LLMs learn tasks in discrete, independent quanta.
- Quanta are learned one after the other, in descending order of frequency.

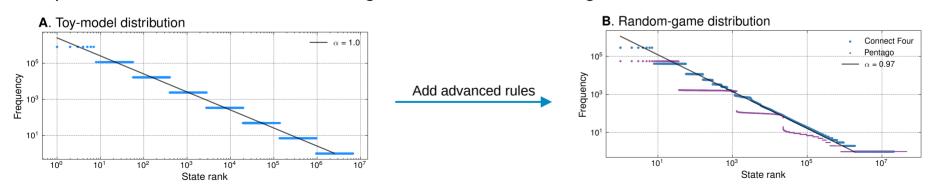


Performance power laws stem from Zipf's law.

Human games are known to follow Zipf's law (chess, go). AlphaZero's games follow Zipf's law too:



The power law arises from the branching-tree structure of board games:



## The Quantization Model for LLM scaling

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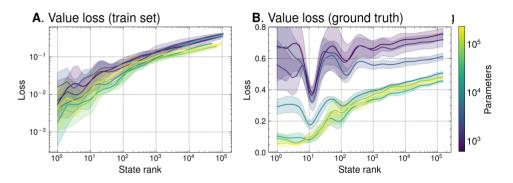
Performance power laws stem from Zipf's law.

# Value loss scaling

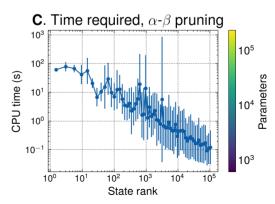
Value loss  $L_{\nu}$  is a good proxy for performance. States (=board positions) are a proxy for ,tasks'.

AlphaZero agents learn board states in decreasing order of frequency.

 $L_{v} = (z - v)^{2}$ Game result (-1,1) Agent prediction



This means agents learn board positions in REVERSE order of complexity, getting the *highest* accuracy on the *hardest* positions.



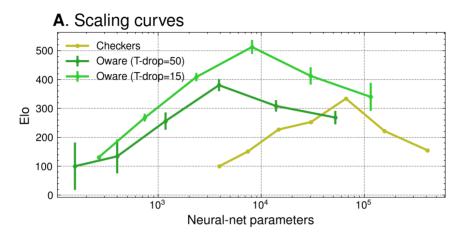
# The Quantization Model for LLM scaling

A possible explanation of LLM scaling laws: (Michaud et al. 2024)

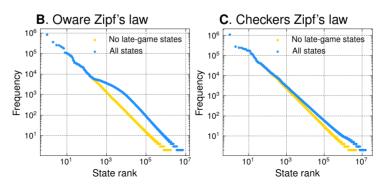
- Data frequencies follow Zipf's law.
  - LLMs learn tasks in discrete, independent *quanta*.
  - Quanta are learned one after the other, in descending order of frequency.

Performance power laws stem from Zipf's law.

In some games (checkers & Oware) power-law scaling laws break down, even scaling negatively with model size:



Coincidentally, these games also have strange deviations from Zipf's law.



#### Turn distribution anomaly

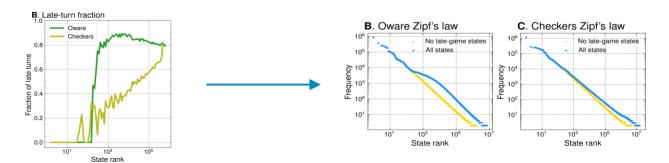
The games displaying inverse scaling also share a specific tree structure:

The number of board configurations expands during play, but then contracts at the end of the game.



Checkers and Oware are both capture-to-win games, where the game ends only by capturing *all* the opponent's pieces.

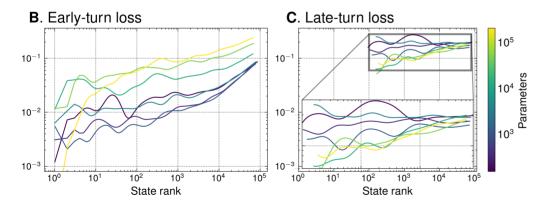
This anomaly skews the Zipf distribution:

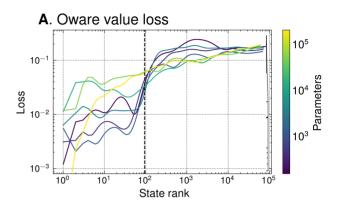


#### Turn distribution connection to inverse scaling

- Looking at the total loss, larger Oware models have lower loss.
- But smaller models' loss jumps at the transition to late-game states, why?

 Breaking down the loss to early- and late-game states, we see that larger models perform worse on openings, but better on end-game positions.





 Large models initially improve on early-game, then degrade.
But they continuously improve on late-game.

