## Ranking-based Preference Optimization for Diffusion Models from Implicit User Feedback

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#### Introduction

Preference alignment for diffusion models often relies on RL frameworks such as Direct Preference Optimization (DPO) [5, 6]. However, these approaches face two challenges:

- Collecting paired preference data is labor-intensive.
- The sigmoid-based surrogate loss limits learning efficiency in diffusion models.

# Inverse Reinforcement Learning (IRL)

To remove the need for paired data, we directly learn preferences from high-quality samples using an inverse RL framework:

$$\mathbb{E}_{\boldsymbol{c} \sim \mathcal{C}, \bar{\boldsymbol{x}}_0 \sim \mathcal{D}(\boldsymbol{c})} \left[ \underbrace{r(\bar{\boldsymbol{x}}_0, \boldsymbol{c})}_{\text{reward for expert data}} \right] - \mathbb{E}_{\boldsymbol{c} \sim \mathcal{C}, \boldsymbol{x}_0 \sim p_{\boldsymbol{\theta}}(\boldsymbol{x}_0 | \boldsymbol{c})} \left[ \underbrace{r(\boldsymbol{x}_0, \boldsymbol{c})}_{\text{reward for policy model}} \right], \tag{1}$$

where  $\mathcal{D}$  denotes the expert dataset.

Goal: Learn a reward model that distinguishes expert samples from generated ones.

### Policy Optimization

The inner loop of the inverse RL involves reward maximization for the policy model. Following prior works [1, 2, 3, 4] the optimal policy has a closed-form solution:

$$\hat{p}_{\theta}(\boldsymbol{x}_0|\boldsymbol{c}) = \frac{1}{Z(\boldsymbol{c})} p_{\theta_{\text{ref}}}(\boldsymbol{x}_0|\boldsymbol{c}) \exp\left(\frac{1}{\beta} r(\boldsymbol{x}_0, \boldsymbol{c})\right), \tag{2}$$

where Z(c) is the intractable normalization term.

Goal: Learn a policy model that maximizes rewards to imitate expert demonstration.

#### Parameterization of the Reward Model

We propose to parameterize the reward model to mirror the closed-form structure of the policy model:

$$r_{\phi}(\boldsymbol{x}_{0}, \boldsymbol{c}) = \beta \log \frac{p_{\phi}(\boldsymbol{x}_{0}|\boldsymbol{c})}{p_{\theta_{ref}}(\boldsymbol{x}_{0}|\boldsymbol{c})} + \beta \log Z(\boldsymbol{c}), \tag{3}$$

This ensures an elegant equivalence between the policy and reward models in the inner loop.

$$\hat{p}_{\theta}(\boldsymbol{x}_0|\boldsymbol{c}) = \hat{p}_{\phi}(\boldsymbol{x}_0|\boldsymbol{c}). \tag{4}$$

Thus, the IRL problem is reduced to reward optimization.

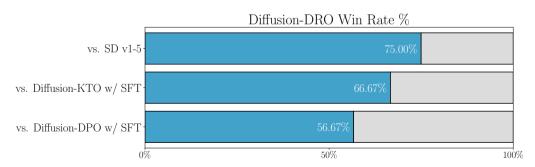
### Experiments

Table 1: Automated win rates of Diffusion-DRO compared to baseline methods.

Baseline Method	Pick-a-Pic v2 Test				HPDv2 Benchmark			
	PickScore	Aesthetic Score	CLIP Score	ImageReward	PickScore	Aesthetic Score	CLIP Score	ImageReward
SD v1-5	87.80	85.20	48.40	88.60	90.47	82.91	46.59	87.69
SD v1-5 w/ SFT	71.20	58.00	66.40	57.80	<b>70.62</b>	57.22	64.97	62.03
SPIN-Diffusion	56.20	64.80	58.20	70.60	54.87	62.78	54.78	69.78
Diffusion-SPO	62.80	63.60	71.40	78.00	60.59	67.66	75.78	$\boldsymbol{77.94}$
Diffusion-SPO w/ SFT	86.60	81.60	42.40	87.20	88.75	80.25	42.69	85.78
Diffusion-DPO	78.40	83.20	41.40	84.20	79.75	79.97	39.09	$\bf 82.25$
Diffusion-DPO w/ SFT	64.00	55.00	59.00	56.20	<b>63.62</b>	$\bf 56.12$	59.91	58.75
Diffusion-KTO	74.20	69.00	42.20	66.60	71.19	71.03	39.81	$\boldsymbol{62.81}$
Diffusion-KTO w/ SFT	70.20	58.60	64.00	58.60	71.09	$\bf 56.12$	65.31	62.75

## User Study

- For each comparison, we collected 2,100 pairwise preference annotations between Diffusion-DRO and each baseline.
- The win rate represents the proportion of cases where participants preferred the results from Diffusion-DRO.



## Thank you.











Model

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