Machine Unlearning under Overparameterization

Jacob L. Block

UT Austin

Aryan Mokhtari

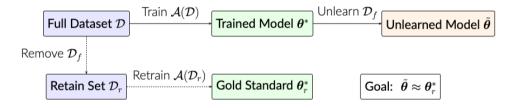
UT Austin and Google Research

NeurIPS 2025, San Diego, USA

Sanjay Shakkottai

UT Austin

The Unlearning Problem



Problem: Modify a model θ^* trained on dataset \mathcal{D} to forget a subset of samples \mathcal{D}_f and produce a new model as if it had been trained only on $\mathcal{D}_r = \mathcal{D} \setminus \mathcal{D}_f$.

Prior work defines the unlearning solution as the loss minimizer over \mathcal{D}_r [Guo et al.'20; Bae et al. '22; Sekhari et al.'21; ...]

- Prior work defines the unlearning solution as the loss minimizer over \mathcal{D}_r [Guo et al.'20; Bae et al. '22; Sekhari et al.'21; ...]
- lacktriangle Overparameterized setting: Original model $m{ heta}^*$ is already a loss minimizer over \mathcal{D}_r

- Prior work defines the unlearning solution as the loss minimizer over \mathcal{D}_r [Guo et al.'20; Bae et al. '22; Sekhari et al.'21; ...]
- lacktriangle Overparameterized setting: Original model $m{ heta}^*$ is already a loss minimizer over \mathcal{D}_r
- Existing unlearning algorithms perturb θ^* using loss gradients over \mathcal{D}_r and \mathcal{D}_f [Neel et al.'21; Graves et al. '20; Kurmanji et al. '23; ...]

- Prior work defines the unlearning solution as the loss minimizer over \mathcal{D}_r [Guo et al.'20; Bae et al. '22; Sekhari et al.'21; ...]
- lacktriangle Overparameterized setting: Original model $m{ heta}^*$ is already a loss minimizer over \mathcal{D}_r
- Existing unlearning algorithms perturb θ^* using loss gradients over \mathcal{D}_r and \mathcal{D}_f [Neel et al.'21; Graves et al. '20; Kurmanji et al. '23; ...]
- \blacktriangleright Overparameterized setting: Loss gradients vanish to $\bf 0$ over all of $\cal D$

- Prior work defines the unlearning solution as the loss minimizer over \mathcal{D}_r [Guo et al.'20; Bae et al. '22; Sekhari et al.'21; ...]
- lacktriangle Overparameterized setting: Original model $m{ heta}^*$ is already a loss minimizer over \mathcal{D}_r
- Existing unlearning algorithms perturb θ^* using loss gradients over \mathcal{D}_r and \mathcal{D}_f [Neel et al.'21; Graves et al. '20; Kurmanji et al. '23; ...]
- ightharpoonup Overparameterized setting: Loss gradients vanish to ${f 0}$ over all of ${\cal D}$
- We introduce:
 - A new definition for the unlearning solution
 - An algorithmic framework to recover it

Defining Unlearning under Overparameterization

- ▶ **Goal:** Find the *simplest* model θ that minimizes the loss over the retain set $\mathcal{J}(\theta; \mathcal{D}_r)$.
- **Definition:** For a model complexity measure $R(\theta)$, define the unlearning solution:

$$\boldsymbol{\theta}_r^* \in \operatorname*{argmin}_{\boldsymbol{\theta}} R(\boldsymbol{\theta}) \quad \text{s.t.} \quad \boldsymbol{\theta} \in \operatorname*{argmin}_{\boldsymbol{\theta}'} \mathcal{J}\left(\boldsymbol{\theta}'; \mathcal{D}_r\right)$$

- ► Key Properties:
 - θ_r^* reflects information only from \mathcal{D}_r (for suitable R)
 - Generalizes well

ightharpoonup Relax the constraint to its first-order approximation centered at $heta^*$

$$\min_{m{\Delta}} R(m{ heta}^* + m{\Delta})$$
 s.t. $m{\Delta} \perp
abla_{m{ heta}} f(m{ heta}^*, m{x}_i)$ $orall (m{x}_i, m{y}_i) \in \mathcal{D}_r$

ightharpoonup Relax the constraint to its first-order approximation centered at $heta^*$

$$\min_{oldsymbol{\Delta}} R(oldsymbol{ heta}^* + oldsymbol{\Delta})$$
 s.t. $oldsymbol{\Delta} \perp
abla_{oldsymbol{ heta}} f(oldsymbol{ heta}^*, oldsymbol{x}_i) \quad orall (oldsymbol{x}_i, oldsymbol{y}_i) \in \mathcal{D}_r$

lacktriangle Apply regularization \hat{R} to drift variable $oldsymbol{\Delta}$

ightharpoonup Relax the constraint to its first-order approximation centered at $heta^*$

$$\min_{oldsymbol{\Delta}} R(oldsymbol{ heta}^* + oldsymbol{\Delta})$$
 s.t. $oldsymbol{\Delta} \perp
abla_{oldsymbol{ heta}} f(oldsymbol{ heta}^*, oldsymbol{x}_i) \quad orall (oldsymbol{x}_i, oldsymbol{y}_i) \in \mathcal{D}_r$

- ightharpoonup Apply regularization \hat{R} to drift variable $oldsymbol{\Delta}$
- ► Solve relaxed, regularized problem:

$$\tilde{\Delta} = \operatorname*{argmin}_{\Delta} R(\boldsymbol{\theta}^* + \boldsymbol{\Delta}) + \hat{R}(\boldsymbol{\Delta}) \quad \text{s.t.} \quad \boldsymbol{\Delta} \perp \nabla_{\boldsymbol{\theta}} f(\boldsymbol{\theta}^*, \mathbf{x}_i) \quad \forall (\mathbf{x}_i, \mathbf{y}_i) \in \mathcal{D}_r$$

ightharpoonup Relax the constraint to its first-order approximation centered at $heta^*$

$$\min_{oldsymbol{\Delta}} R(oldsymbol{ heta}^* + oldsymbol{\Delta})$$
 s.t. $oldsymbol{\Delta} \perp
abla_{oldsymbol{ heta}} f(oldsymbol{ heta}^*, oldsymbol{x}_i) \quad orall (oldsymbol{x}_i, oldsymbol{y}_i) \in \mathcal{D}_r$

- ightharpoonup Apply regularization \hat{R} to drift variable Δ
- ► Solve relaxed, regularized problem:

$$ilde{m{\Delta}} = \mathop{\mathsf{argmin}}_{m{\Delta}} R(m{ heta}^* + m{\Delta}) + \hat{R}(m{\Delta}) \quad \text{s.t.} \quad m{\Delta} \perp
abla_{m{ heta}} f(m{ heta}^*, m{x}_i) \quad orall (m{x}_i, m{y}_i) \in \mathcal{D}_r$$

lackbox Updated model is then $oldsymbol{ heta}^* + ilde{oldsymbol{\Delta}}$

Given a model class and complexity measure $R(\theta)$:

- We construct a specific drift regularizer $\hat{R}(\Delta)$
- ► Show that solving the relaxed problem gives an exact/approximate solution to the exact unlearning problem

Linear Models:

- Any complexity measure R
- For $\hat{R} = \mathbf{0}$, the solution to the relaxed problem satisfies **exact unlearning**

Linear Models:

- Any complexity measure R
- For $\hat{R} = \mathbf{0}$, the solution to the relaxed problem satisfies **exact unlearning**

Linear Networks:

- Complexity measure R = operator norm
- For a suitable \hat{R} , the solution to the relaxed problem again satisfies **exact unlearning**

Linear Models:

- Any complexity measure R
- For $\hat{R} = \mathbf{0}$, the solution to the relaxed problem satisfies **exact unlearning**

Linear Networks:

- Complexity measure R = operator norm
- For a suitable \hat{R} , the solution to the relaxed problem again satisfies **exact unlearning**

2-Layer Perceptrons:

- Complexity measure R = network width
- For a specific \hat{R} , the relaxed solution **interpolates** \mathcal{D}_r with **width** $\leq |\mathcal{D}_r|$

- ▶ We instantiate our framework as a practical algorithm MinNorm-OG which
 - Accesses batches of retain set samples
 - Employs an iterative update

- ▶ We instantiate our framework as a practical algorithm MinNorm-OG which
 - Accesses batches of retain set samples
 - Employs an iterative update
- ► Set $R(\theta) = \|\theta\|_2^2$ and $\hat{R}(\Delta) = \lambda \|\Delta\|_2^2$ for some $\lambda \ge 0$

- ▶ We instantiate our framework as a practical algorithm MinNorm-OG which
 - Accesses batches of retain set samples
 - Employs an iterative update
- ► Set $R(\theta) = \|\theta\|_2^2$ and $\hat{R}(\Delta) = \lambda \|\Delta\|_2^2$ for some $\lambda \ge 0$
- ▶ For batch $\mathcal{B}_r \subseteq \mathcal{D}_r$, alternate between
 - Unlearning subproblem: $oldsymbol{ heta} \leftarrow oldsymbol{ heta} + ilde{oldsymbol{\Delta}}$

$$\tilde{\Delta} = \underset{\Delta}{\operatorname{argmin}} \| \boldsymbol{\theta} + \Delta \|_{2}^{2} + \lambda \| \Delta \|_{2}^{2} \quad \text{s.t. } \Delta \perp \nabla f(\boldsymbol{\theta}, \boldsymbol{x}_{i}) \quad \forall \boldsymbol{x}_{i} \in \mathcal{B}_{r}$$

- ▶ We instantiate our framework as a practical algorithm MinNorm-OG which
 - Accesses batches of retain set samples
 - Employs an iterative update
- ► Set $R(\theta) = \|\theta\|_2^2$ and $\hat{R}(\Delta) = \lambda \|\Delta\|_2^2$ for some $\lambda \ge 0$
- ▶ For batch $\mathcal{B}_r \subseteq \mathcal{D}_r$, alternate between
 - Unlearning subproblem: $oldsymbol{ heta} \leftarrow oldsymbol{ heta} + ilde{oldsymbol{\Delta}}$

$$\tilde{\Delta} = \underset{\Delta}{\operatorname{argmin}} \| \boldsymbol{\theta} + \boldsymbol{\Delta} \|_{2}^{2} + \lambda \| \boldsymbol{\Delta} \|_{2}^{2} \quad \text{s.t. } \Delta \perp \nabla f(\boldsymbol{\theta}, \boldsymbol{x}_{i}) \quad \forall \boldsymbol{x}_{i} \in \mathcal{B}_{r}$$

• Loss descent: $\theta \leftarrow \theta - \frac{\eta}{|\mathcal{B}_r|} \sum_{(\mathbf{x}_i, \mathbf{y}_i) \in \mathcal{B}_r} \nabla_{\theta} \mathcal{J}\left(\theta; \mathcal{B}_r\right)$

Experimental Results

Data Poisoning

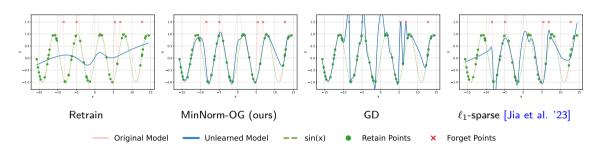


Figure: Example unlearned model fits after 100 unlearning epochs

Experimental Results

- Two additional unlearning experiments:
 - Class Unlearning: Remove knowledge of a specific class (colored versions of CIFAR-10 and TinyImageNet)
 - Feature Unlearning: Forget samples so the model learns a spurious color correlation on \mathcal{D}_r (colored CIFAR-10)
- Comparisons to additional baselines:
 - SCRUB and NegGrad+ [Kurmanji et al. '23]
 - NPO [Zhang et al. '24]
 - SalUn [Fan et al. '24]
 - ..

Reference

J. L. Block, A. Mokhtari, S. Shakkottai "Machine Unlearning under Overparameterization", NeurIPS 2025. arXiv: 2505.22601 [cs.LG]

Thank you!